

1 **Title: Human blindsight is mediated by an intact geniculo-**  
2 **extrastriate pathway**

3 **Abbreviated title: DTI of human blindsight**

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19 **Number of pages:** 44

20 **Number of figures:** 8

21 **Number of tables:** 1

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27

28 **Abstract**

29 Although damage to the primary visual cortex (V1) causes hemianopia, many  
30 patients retain some residual vision; known as blindsight. We show that  
31 blindsight may be facilitated by an intact white-matter pathway between the  
32 lateral geniculate nucleus and motion area hMT+. Visual psychophysics,  
33 diffusion-weighted magnetic resonance imaging and fibre tractography were  
34 applied in 17 patients with V1 damage acquired during adulthood and 9 age-  
35 matched controls. Individuals with V1 damage were subdivided into blindsight  
36 positive (preserved residual vision) and negative (no residual vision) according  
37 to psychophysical performance. All blindsight positive individuals showed intact  
38 geniculo-hMT+ pathways, while this pathway was significantly impaired or not  
39 measurable in blindsight negative individuals. Two white matter pathways  
40 previously implicated in blindsight; (i) superior colliculus to hMT+ and (ii)  
41 between hMT+ in each hemisphere were not consistently present in blindsight  
42 positive cases. Understanding the visual pathways crucial for residual vision may  
43 direct future rehabilitation strategies for hemianopia patients.

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46

## 47 **Introduction**

48

49 Following damage to the primary visual cortex (V1) patients experience  
50 homonymous hemianopia, in which vision on one side of the visual field is lost.  
51 However, in spite of this cortical blindness, some patients are still able to  
52 ascertain information about visual stimulation within the blind area; this is  
53 called blindsight. Over the past 30 years, several visual pathways have been  
54 proposed to underlie this residual vision, but the relative role of these pathways  
55 and the neurobiological bases for blindsight remains unknown (see Cowey,  
56 2010) for review).

57

58 Diffusion-weighted magnetic resonance imaging (dMRI) combined with  
59 tractography offers a practical and non-invasive method for estimating large-  
60 scale white matter tracts and studying their microstructural properties in living  
61 humans (Catani et al., 2012; Johansen-Berg, 2010; Jones et al., 2013). The  
62 method provides a unique approach to investigate how white matter properties  
63 relate to visual behaviour in blindsight.

64

65 Using dMRI in a number of individual patients, intact ipsilateral white matter  
66 connecting lateral geniculate nucleus (LGN) and extrastriate cortex, specifically  
67 area hMT+, has been proposed as a candidate circuit that could support  
68 blindsight (Bridge et al., 2010; de Gelder et al., 2008). In agreement with this  
69 proposal, the macaque LGN can support residual visual processing after V1  
70 lesion (Schmid et al., 2010). Two alternative proposals suggest blindsight results  
71 either from visual plasticity, for example to strengthen interhemispheric white

72 matter in humans (Bridge et al., 2008; Leh et al., 2006; Tamietto et al., 2012) or  
73 intact connections to hMT+ from the superior colliculus and pulvinar,  
74 demonstrated in the macaque (Warner et al., 2010; Warner et al., 2015). The  
75 superior colliculus has also been implicated in human residual vision after V1  
76 damage, particularly for indirect blindsight and saccadic localisation (Kato et al.,  
77 2011; Leh et al., 2006; Mohler and Wurtz, 1977). To date the necessary circuitry  
78 supporting preserved vision after V1 damage in humans has not been identified.

79

80 The present study investigated visual white matter tracts in the largest group of  
81 patients measured to date with chronic unilateral V1 damage in adulthood (n =  
82 17, see Supplementary File 1 for clinical and demographic details) and healthy  
83 age-matched controls (n = 9). The large subject group enabled the division of  
84 patients into those demonstrating blindsight, and those who did not. Three  
85 pathways were selected (1) ipsilateral connections between the LGN and hMT+,  
86 (2) ipsilateral tracts between the superior colliculus and hMT+, and (3)  
87 interhemispheric tracts between hMT+ bilaterally. We evaluated the ability to  
88 identify these tracts in all individuals and characterised their anatomy and white  
89 matter properties.

90

91 The preservation or destruction of the geniculate-hMT+ tract predicted presence  
92 or absence of blindsight respectively. More specifically, the geniculate-hMT+  
93 tract was reliably identified in all blindsight positive patients, but was impossible  
94 to track or showed considerably impaired white matter microstructure in all  
95 blindsight negative individuals. In contrast, the two alternative candidate tracts

96 showed variable predominance in both patient groups and therefore seem  
97 unlikely to underlie blindsight function.

98

## 99 **Results**

100

### 101 **Behavioural measurements of blindsight**

102 Blindsight was determined according to performance on a high salience 2-AFC  
103 temporal detection paradigm presented within the blind region of the visual field  
104 (Figure 1A). Patients detected the interval in which the target appeared (Figure  
105 1B) and were classified as 'blindsight positive' if average performance or  
106 performance for stimuli of 100% contrast was significantly above chance (Figure  
107 1C; Ajina et al., 2015b). Based on these criteria, 12 were classified as 'blindsight  
108 positive' and this relatively sensitive binary measure allowed us to be confident  
109 that patients labelled as 'blindsight negative' (n = 5) showed no residual visual  
110 function. No patients could describe the stimulus in their blind field, although the  
111 degree of awareness varied from a complete absence of awareness to an  
112 appreciation of motion at times in the minority of cases.

113 Classification of participants as either 'blindsight positive' or 'blindsight  
114 negative' was further validated using cross-validation. Two different  
115 classification algorithms were applied to participants' performance across all  
116 contrast levels and they were compared to classification based only on  
117 performance at 100% contrast. The first algorithm was k-nearest-neighbours: it  
118 classified participants based on the labels ('blindsight positive' or 'blindsight  
119 negative') assigned to the majority of the 5 participants with behavior most  
120 similar to theirs, based only on the performance of these 5 participants at 100%

121 contrast. The second algorithm was a Gaussian mixture model classification:  
122 centroids of two Gaussian distributions were used to fit the data without labels  
123 (i.e. with no knowledge of whether *any* of the participants were classified as  
124 'blindsight positive' or 'blindsight negative' based on performance at 100%  
125 contrast). Each participant was then assigned to one of the two classes based on  
126 their similarity to the centroid of each of these distributions. Both classification  
127 algorithms agree with the distinction based on performance in 100% contrast in  
128 all cases.

129

130 **White matter tracts between LGN and hMT+ are demonstrable in the**  
131 **majority of patients**

132 All 12 blindsight positive patients and the 9 age-matched controls were found to  
133 have ipsilateral, uncrossed tracts between the LGN and hMT+. We combined  
134 High-Angular Resolution Diffusion-weighted magnetic resonance Imaging  
135 (HARDI; 60 diffusion directions, b-value=1500) and modern probabilistic  
136 tractography (Tournier et al., 2012); see Materials and Methods for more details)  
137 to track between different pairs of regions of interest (ROIs) with a fixed number  
138 of fascicles, or streamlines (target 10,000, max generated 1,000,000). We counted  
139 the number of fascicles between each of several ROI pairs in each brain. The  
140 precise number of fascicles is an arbitrary value, dependent on many interacting  
141 tracking parameters and properties of the measured diffusion data (see Pestilli  
142 et al., 2014 and Discussion for more details). Here, we used fascicle count as an  
143 indirect measure of the difficulty of tracking a white matter pathway. To further  
144 standardise the measure, we used an anatomical standardisation method to  
145 eliminate outlier fascicles from counts while constraining the number to a

146 conservative lower bound within each individual brain. This was achieved by  
147 removing outlier fascicles defined as those more than about 2.5 standard  
148 deviations away from or longer than each core tract (2.6 and 2.8 SD respectively;  
149 see Allen et al., 2015; Pestilli et al., 2014; Yeatman et al., 2012 and Materials and  
150 Methods for details). This process generated a core tract-bundle containing a  
151 conservative  $25\% \pm 8\%$  of the original number of fascicles in each subject (see  
152 Supplementary File 2 for original numbers).

153

154 The number of fascicles measured was of similar magnitude in control and  
155 blindsight positive individuals. All tracts were reliably measured in both  
156 hemispheres, including the hemisphere with V1 damage in blindsight positive  
157 patients (see Table 1). As expected (Jones et al., 2013; Pestilli et al., 2014), even  
158 after cleaning, there was considerable variation in fascicle numbers between  
159 participants and, in some cases, between hemispheres although this variability  
160 was similar for controls (range = 19 - 653) and patients (range = 17 - 635). In  
161 blindsight negative patients it was possible to track a pathway between the LGN  
162 and hMT+ in the damaged hemisphere of 4/5 patients (we failed to identify the  
163 tract in PN4), with a similar number of fascicles to blindsight positive patients  
164 (Figure 2A, Table 1). However, all of these patients showed considerable  
165 abnormality in the microstructure of these tracts compared to their intact  
166 hemisphere or control participants, highlighting the importance of considering  
167 white matter microstructure in patient tractography studies. Figure 2A shows  
168 examples of the anatomical trajectory of these identified pathways for  
169 participants from the three groups.

170

171 **Different white matter microstructure in the geniculate-hMT+ tract**  
172 **between blindsight positive and negative patients**

173 Fractional anisotropy (FA) and mean diffusivity (MD) are commonly used  
174 diffusion MRI indices, representing tissue microstructure in situations of  
175 neuronal damage (Jones et al., 2013; Werring et al., 2000). These measures are  
176 quite sensitive to a number of tissue properties, such as axonal ordering, axonal  
177 packing density, degree of myelination, membrane permeability, without being  
178 very specific to any one of them. This can result in difficulties related to  
179 interpretation. FA is derived from the relationship between the amounts of free  
180 water anisotropic diffusion in a single (principal) direction, relative to all other  
181 directions (Basser, 1995; Jones et al., 2013). Decreases in FA have been  
182 associated with impaired tissue microstructure. MD is a measure of the total  
183 mean diffusion magnitude in all directions in a voxel, and its value also reflects a  
184 complex relationship with tissue microstructure. In general, white matter tissue  
185 damage has been associated with an increase in MD (Jones et al., 2013). We used  
186 an advanced tract-anatomy informed analysis (Allen et al., 2015; Yeatman et al.,  
187 2012; see Materials and Methods for more details) and measured FA and MD  
188 along the length of each individual tract. We then computed the mean FA and MD  
189 measures along each tract using the core portion of the pathway to eliminate  
190 artifactual measurements due to potential partial voluming with grey matter and  
191 scar tissue. Mean FA and MD for each core tract were averaged across  
192 participants to generate separate measures for the ipsilesional and intact  
193 hemispheres. Mean FA, calculated across all blindsight positive patients and  
194 collapsed along the whole geniculate-hMT+ pathway, was  $0.43 \pm 0.05$  (mean  $\pm$   
195 s.d.) in the damaged hemisphere and  $0.49 \pm 0.05$  in the intact hemisphere,



corresponding to a laterality of 13.7% (see Figure 2B and Materials and Methods for details). Laterality, representing the relative difference in diffusivity for equivalent tracts in opposite hemispheres, was slightly more prominent over the early-mid portions of the pathway. In blindsight negative patients (Figure 2B, middle column), the microstructure of ipsilesional tracts was particularly abnormal. Mean FA was  $0.35 \pm 0.1$  (mean  $\pm$  s.d.) on the ipsilesional side, versus  $0.47 \pm 0.03$  in the intact hemisphere (laterality = 34.7%). In comparison, control participants (Figure 2B, right column) show a left-right laterality of 3.3% for FA (range = 0.3 – 0.66. Mean FA =  $0.51 \pm 0.03$  left,  $0.49 \pm 0.03$  right hemisphere) and 1.6% for MD (range =  $0.56 \times 10^{-3}$  –  $0.91 \times 10^{-3}$ , Mean MD =  $0.73 \times 10^{-3} \pm 0.03 \times 10^{-3}$  left,  $0.72 \times 10^{-3} \pm 0.03 \times 10^{-3}$  right hemisphere).

White matter tract MD in patients was consistent with the findings for FA. In blindsight positive cases, mean MD was  $0.81 \times 10^{-3} \pm 0.07 \times 10^{-3}$  in the damaged hemisphere, and  $0.73 \times 10^{-3} \pm 0.05 \times 10^{-3}$  in the intact hemisphere (laterality = 9.6%). Conversely, blindsight negative patients had a mean MD of  $1.05 \times 10^{-3} \pm 0.22 \times 10^{-3}$  in the damaged hemisphere, compared to  $0.77 \times 10^{-3} \pm 0.05 \times 10^{-3}$  on the intact side, representing a laterality of 27.0%.

The differences between blindsight patients and lesion side can be illustrated using a two-way ANOVA of the FA values within the geniculate-hMT+ tract. While there was no significant effect of blindsight status (positive or negative;  $F = 2.6$ ,  $p = 0.13$ ), there was a highly significant effect of lesion side (ipsilateral or contralateral;  $F = 35.7$ ;  $p < 0.00005$ ) and interaction, suggesting a differential effect of the lesion ( $F = 5.1$ ;  $p = 0.04$ ). This effect was even stronger for MD:

220 significant effect of blindsight status ( $F = 9.2$ ;  $p < 0.01$ ), significant effect of lesion  
221 side ( $F = 35.7$ ;  $p < 0.00005$ ) and interaction ( $F = 12.4$ ;  $p < 0.005$ ).

222

223 Since estimates of distinct pathways frequently overlapped (Figure 3A), the  
224 slight laterality in patients may, at least in part, be driven by an overlap with  
225 degenerated optic radiations supplying damaged V1. Figure 3A shows how this  
226 overlap can occur in the early-mid portions of the geniculate- hMT+ pathway. In  
227 the central nervous system, anterograde (Wallerian) or retrograde neuronal  
228 degeneration can occur following axonal injury. Consequently, the integrity of  
229 optic radiation fibres innervating damaged V1 would be abnormal throughout  
230 their course (similar to Danek et al., 1990). Where overlap with such fibres  
231 occurs, the dMRI measurements would not distinguish between separate axonal  
232 bundles due to limitations in spatial resolution (restricted here to 2mm isotropic  
233 voxel size). Thus measures of the geniculate-hMT+ tract could become  
234 contaminated with overlapping degenerated optic radiation fibres. It may  
235 therefore be useful to measure the diffusivity spanning only the distal portion of  
236 the geniculate-hMT+ tract, which has branched away from large geniculate-V1  
237 radiation bundle. This may represent a purer measure of the pathway, removing  
238 artefacts due to overlapping tracts. If the pathway to hMT+ were actually  
239 damaged, one would still expect this measure to reflect the damage.

240

241 Figure 3B shows the microstructure of just the distal portions of the geniculate-  
242 hMT+ pathway (region between 60-85% of the total tract length from the LGN).  
243 Mean FA in blindsight positive patients was  $0.39 \pm 0.06$  in the damaged  
244 hemisphere and  $0.42 \pm 0.04$  in the intact hemisphere, corresponding to a

245 laterality of only 7.7% (mean MD =  $0.79 \times 10^{-3} \pm 0.06 \times 10^{-3}$  versus  $0.73 \times 10^{-3} \pm$   
246  $0.06 \times 10^{-3}$ , laterality = 7.6%). Individual data also confirmed that the FA or MD  
247 standard deviation in each blindsight positive patient overlapped with the  
248 opposite hemisphere. Overall, this implies a less pronounced impairment to the  
249 white matter than suggested by the entire extent of the tract.

250

251 In blindsight negative patients, mean FA in the distal portion of this tract was  
252  $0.29 \pm 0.07$ , compared to  $0.44 \pm 0.05$  in the intact hemisphere (laterality = 51.7%),  
253 mean MD =  $1.04 \times 10^{-3} \pm 0.23 \times 10^{-3}$  versus  $0.74 \times 10^{-3} \pm 0.07 \times 10^{-3}$ , (laterality =  
254 28.8%). Therefore, unlike the blindsight positive group, blindsight negative  
255 patients still showed a relatively marked and significant drop in FA and increase  
256 in MD throughout this purer geniculate-hMT+ tract ROI when compared to the  
257 intact hemisphere. Although the effect of blindsight status on mean FA within  
258 this distal portion was not significant ( $F = 0.7$ ;  $p = 0.42$ ), both the effect of lesion  
259 side ( $F = 48.6$ ;  $p < 0.00001$ ) and the interaction ( $F = 15.6$ ;  $p < 0.0005$ ) were  
260 highly significant. The effect of blindsight status on mean MD was significant ( $F =$   
261  $7.9$ ;  $p = 0.01$ ), as were the effect of lesion side ( $F = 31.4$ ;  $p < 0.0001$ ) and the  
262 interaction ( $F = 15.6$ ;  $p = 0.001$ ). This difference can also be appreciated in brain  
263 images by inspecting the tracts in the white matter, and their corresponding FA  
264 and MD maps (Figure 4). Only the blindsight negative patients (Figure 4, lower  
265 portion) possess tracts in the damaged hemisphere that appear to traverse a  
266 region of white matter displaying very abnormal FA and MD levels. Thus,  
267 although fascicles successfully propagated through this region, they passed  
268 through regions of profoundly abnormal, damaged tissue (see also supplement  
269 figure 1 for greater detail).

270

271 To ensure that any differences in tract microstructure between blindsight  
272 positive and negative patients were not driven by differences in grey matter  
273 volume in hMT+, the volume was directly compared both between hemispheres  
274 and groups. In the blindsight positive patients the mean grey matter volume was  
275  $159 \text{ mm}^3 \pm 59$  in the ipsilesional hemisphere and  $167 \text{ mm}^3 \pm 55$  in the intact  
276 hemisphere. In blindsight negative patients the equivalent numbers were  $135$   
277  $\text{mm}^3 \pm 71$  and  $169 \text{ mm}^3 \pm 83$ . There was no significant effect of blindsight status  
278 ( $F = 0.1$ ;  $p = 0.8$ ), lesion side ( $F = 1.7$ ;  $p = 0.2$ ) or interaction ( $F = 1.0$ ;  $p = 0.3$ ),  
279 indicating that differences in grey matter volume within hMT+ are unlikely to  
280 have affected the results significantly.

281

### 282 **Alternative pathways cannot account for the presence of blindsight**

283 So far we have observed a difference in geniculate-hMT+ tract properties  
284 between blindsight positive and negative patients, indicating that this pathway  
285 might be a candidate for blindsight. Visual motion information could, however,  
286 travel via other pathways such as a transcallosal tract connecting left and right  
287 hMT+ (Figure 5A-C), or a pathway connecting the superior colliculus and hMT+  
288 (Figure 5D-F). Next we tested whether these alternate tracts could account for  
289 blindsight.

290

291 Interestingly, in a number of blindsight positive patients it was not possible to  
292 identify either a pathway between hMT+ and the superior colliculus, between  
293 hMT+ in the two hemispheres, or both. Similarly, intact pathways between these  
294 regions were present in blindsight negative cases. Overall both pathways

generated approximately ten-fold fewer fascicles than the geniculate-hMT+ pathway (mean 18.9/18.7 versus 202.8 in controls, and mean 15.6/15.0 versus 122.7 in blindsight positive patients). Furthermore, the collicular-hMT+ tracts appeared less consistent in shape and trajectory between individuals.

### **Interhemispheric hMT+ tracts**

Crossing tracts between hMT+ bilaterally were identified in only 6/12 patients with blindsight and 6/9 controls (Table 1, columns 3-4). As expected, pathways always crossed to the opposite hemisphere via the corpus callosum (Figure 5A-C). Where present, tracts also appeared to possess normal FA and MD. In blindsight positive cases, mean FA was  $0.64 \pm 0.07$  (mean  $\pm$  s.d.) and mean MD  $0.70 \times 10^{-3} \pm 0.03 \times 10^{-3}$ , averaged along the entire tract for both directions (left to right, and right to left), and across participants (Figure 6A). These values were similar to controls (Figure 6C, mean FA =  $0.64 \pm 0.07$ , mean MD =  $0.67 \times 10^{-3} \pm 0.05 \times 10^{-3}$ ).

Only a single blindsight negative patient showed an interhemispheric connection between hMT+ bilaterally (PN2; Table 1, Figure 5B), and in this case, the tract appeared to be largely intact and remained within the control FA range (0.28 – 0.91), with mean FA = 0.59 and MD =  $0.73 \times 10^{-3}$  (see Figure 6B for FA plots along this path).

### **Superior colliculus tracts**

Similarly, the collicular-hMT+ pathway, could not be tracked in all patients with blindsight, and was demonstrable in some blindsight negative individuals.

Specifically, these pathways were tracked in the damaged hemisphere of 8/12 blindsight positive patients (Figure 5D). The same proportion of patients had tracts in their intact hemisphere, although not necessarily in the same cases (Table 1, columns 5-6). In comparison, this pathway was present in 3/5 blindsight negative patients (Figure 5E). Control participants showed these pathways in all nine cases on the left, and 7/9 on the right (Figure 5F). Of the patients demonstrating this pathway, mean FA was  $0.40 \pm 0.05$  in the damaged hemisphere of blindsight positive cases, versus  $0.46 \pm 0.03$  on the intact side, with some regions of overlap along their trajectory (laterality of 13.9%, see Figure 6D for FA plots). Mean MD was  $0.78 \times 10^{-3} \pm 0.08 \times 10^{-3}$  versus  $0.69 \times 10^{-3} \pm 0.04 \times 10^{-3}$  (laterality = 10.8%).

In blindsight negative patients (Figure 6E), the pattern of FA was more variable along its trajectory compared to other tract profiles (i.e. Figures 2B, and 6A-C). Collapsed along the pathway, mean FA was  $0.37 \pm 0.05$  versus  $0.42 \pm 0.03$  on the intact side (laterality = 14.0%), and MD was  $0.83 \times 10^{-3} \pm 0.13 \times 10^{-3}$  versus  $0.77 \times 10^{-3} \pm 0.07 \times 10^{-3}$  (laterality = 7.5%). In fact, this laterality and distal drop in FA was strongly influenced by data from one patient (PN1). The other two patients (PN2 and PN3) showed a similar microstructure in the distal portion of their collicular tracts in both hemispheres ( $t = 1.7$ ,  $p = 0.2$ , mean FA = 0.32 versus 0.37) despite a significant laterality in their geniculate-hMT+ pathway ( $t = 12.2$ ,  $p = 0.01$ , mean FA = 0.34 versus 0.48). This implies that intact tracts from the superior colliculus can occur in blindsight negative patients. However, it is worth noting that the FA in one of these two patients (PN2) does drop below the

control range despite a normal laterality, reaching an FA of 0.22 between nodes 69-79 (control range = 0.26 – 0.62, see Figure 6E). Statistical comparison of these values is complicated because only 5/12 of the blindsight positive and 3/5 blindsight negative patients had tracts in both hemispheres. This makes the comparison between the ipsi- and contra-lesional hemispheres problematic. However, a comparison of FA and MD in just the ipsilesional hemisphere indicated no significant difference in either measure between the two groups (FA:  $t = 0.9$ ;  $p = 0.4$ ; d.f. = 9; MD:  $t = 0.8$ ;  $p = 0.45$ ; d.f. = 9).

353

#### 354 **Relationship between blindsight performance and tract microstructure**

355 The analyses thus far have addressed group differences by division of patients  
356 into blindsight positive and negative groups. However, even within the  
357 blindsight positive group, there is considerable variability in performance.  
358 Therefore, the percentage of correct responses in the blindsight task was  
359 correlated with the measures of mean FA and MD extracted from the three tracts  
360 of interest. In the geniculate-hMT+ tract, 16 patients were included as one  
361 blindsight negative patient did not have an identifiable tract, and for this tract  
362 only the distal portion was used. Figure 7A shows the correlation for FA and MD  
363 from this distal portion of the tract across all patients, with blindsight negative  
364 indicated by the open symbols and blindsight positive indicated by the filled  
365 symbols. Although both correlations were in the predicted direction, positive for  
366 FA and negative for MD, neither was significant ( $r = 0.44$ ;  $p = 0.09$  for FA;  $r = -$   
367  $0.48$ ;  $p = 0.06$  for MD). Since age can be a confounding factor in tract  
368 microstructural properties, the partial correlation coefficients, accounting for

age were also calculated, but did not differ from the full correlations. Neither the collicular-hMT+ ( $r = -0.03$ ;  $p = 0.93$  for FA;  $r = -0.10$ ;  $p = 0.77$  for MD) nor the interhemispheric hMT+ ( $r = -0.33$ ;  $p = 0.39$  for FA;  $r = 0.26$ ;  $p = 0.50$  for MD) tracts showed any correlation with behaviour.

#### **Lesion size and location**

In addition to performing tractography between pre-defined regions of interest, a useful and unbiased approach to understand why certain patients have blindsight and others do not is to quantify lesion extent and location from the T1-weighted anatomical image. This is particularly valuable in larger patient cohorts given the heterogeneity of damage in such groups. Figure 8 shows the total lesion volume and distribution of damage across all patients. Average lesion volume in the blindsight positive group was  $13,461\text{mm}^3 \pm 7101 \text{ mm}^3$  s.d., compared to  $36,923\text{mm}^3 \pm 23,035$  s.d. in the blindsight negative group. On average, blindsight negative patients had lesions approximately 2.5 times larger than the blindsight positive group, although Figure 8B shows the overlap of occipital lobe damage between patients. There was a significant association between the extent of occipital lobe damage and the microstructural measures of ipsilesional geniculate-hMT+ pathways across all patients (FA:  $r = -0.59$ ,  $p = 0.015$ ; MD:  $r = 0.63$ ,  $p = 0.01$ ). This reinforces the likelihood that reduced FA and increased MD in blindsight negative individuals reflects an involvement of surrounding white matter pathways in occipital lesions.

There was no clear association between blindsight function and the presence of additional, non-occipital damage. As seen in Figure 8B, the damage in some



394 patients extended to other regions, including the temporal (PB8, PN3, and PN5)  
395 or parietal lobe (PN4 and PN5). However, the pattern of such damage was not  
396 associated with a particular group. Furthermore, only one participant showed  
397 evidence of significant subcortical pathology (PN5), seen to extend to a region  
398 including the ipsilesional LGN and pulvinar, although the superior colliculi  
399 appeared intact.

400 At least three patients with blindsight showed complete destruction of calcarine  
401 cortex or its underlying white matter (PB1, PB4, PB10). Similarly there were  
402 blindsight negative cases with small regions of V1 apparently intact (PN2, PN4).  
403 The majority of cases with lesions affecting less than 20% of the occipital lobe  
404 had some small area of V1 sparing, which usually corresponded to the occipital  
405 pole, or the anterior tip of the calcarine sulcus.

406

407

## 408 **Discussion**

409

410 This is the first study to perform dMRI-based tractography and a comparison of  
411 the microstructural tissue properties of visual pathways in a group of patients  
412 with V1 damage, categorised according to blindsight function. All patients were  
413 labelled as blindsight positive or negative according to their ability to detect a  
414 highly salient stimulus in their blind hemifield. By combining the results from  
415 these psychophysical and MRI techniques, it has been possible to directly relate  
416 residual visual function to the underlying properties of the visual pathways.

417

## 418 **A direct geniculate pathway consistently supporting blindsight function**

419 The principal finding was that all patients with blindsight function showed  
420 intact, undamaged tracts between the LGN and hMT+ in the hemisphere with V1  
421 damage. This is consistent with our recent fMRI report of motion processing  
422 after V1 damage (Ajina et al., 2015a). A similar direct geniculate pathway was  
423 identified in all age-matched controls, and is consistent with neuroanatomical  
424 investigation in the macaque (Sincich et al., 2004). This was not the case in  
425 blindsight negative patients, where such geniculo-extrastriate tracts were either  
426 absent or demonstrated significant impairment in mean diffusivity and fractional  
427 anisotropy). This has two important implications that support geniculate-  
428 extrastriate connections in blindsight. (1) It is possible that intact connections  
429 between the LGN and hMT+ are *sufficient* for blindsight, since no patients with  
430 blindsight function demonstrated an absence or impairment in these pathways.  
431 (2) Intact connections between the LGN and hMT+ may also be *necessary* for  
432 blindsight function. This is supported by the results in blindsight negative  
433 patients, as none of the patients without blindsight function possessed normal,  
434 intact connections in this pathway. However, since the current study only  
435 investigated a limited number of potential pathways, it is possible that other,  
436 unexplored, pathways could also underlie blindsight function in these patients.

437

438 **Intact collicular or interhemispheric pathways are unlikely to underlie**  
439 **blindsight**

440 Unlike direct geniculate connections, there were examples of patients with  
441 blindsight function who had absent or impaired collicular and interhemispheric  
442 pathways. Similarly, there were blindsight negative cases with apparently  
443 undamaged connections between these regions. These results suggest that

444 neither of these other putative blindsight pathways have a major role in  
445 blindsight function, but support the argument that in blindsight positive cases,  
446 another pathway must have facilitated visual performance. However, these  
447 pathways may still contribute to blindsight in some circumstances.

448

#### 449 **Relationship between blindsight performance and tract microstructure**

450 Only the geniculate-hMT+ tract showed a marginal correlation of behavioural  
451 performance with MD and FA that was in the correct direction: improved  
452 performance correlated positively with FA and negatively with MD. However,  
453 neither of these correlations was significant. Although the current study  
454 provides the largest participant group reported to date, the considerable  
455 variability in values for tract microstructure means that there may not be  
456 sufficient power to find differences, particularly for smaller tracts.

457

#### 458 **Pulvinar pathways to hMT+**

459 Here we have selected three pathways with very distinct trajectories to  
460 investigate. There are, however, other potential pathways by which blindsight  
461 information could be processed, the most prominent of which is the one from the  
462 medial portion of the inferior pulvinar to MT identified in multiple primate  
463 species (Maunsell and van Essen, 1983; Warner et al., 2012). There is some  
464 debate as to the relative strength of the connections to hMT+ from LGN or the  
465 inferior pulvinar (Sincich et al., 2004; Warner et al., 2010), although there is  
466 evidence that the pulvinar connection is stronger during early development  
467 (Warner et al., 2015).

468 There are several practical reasons why the pulvinar connection with hMT+ has  
469 not been quantified in the current study. Firstly, the most commonly described  
470 pathway is a di-synaptic pathway from colliculus to hMT+ via the inferior  
471 pulvinar (Lyon et al., 2010). Thus, this corresponds to the collicular-hMT+  
472 pathway examined here that was both difficult to track, but also showed reduced  
473 microstructure in a number of blindsight positive patients. A direct,  
474 retinorecipient pathway from the inferior pulvinar has been described in the  
475 marmoset (Warner et al., 2010), suggesting that it would also be worth  
476 considering only a connection between inferior pulvinar and hMT+. A recent  
477 human tractography study considered tracts from both LGN and pulvinar to  
478 hMT+ as part of an investigation into the visual pathways in amblyopia (Allen et  
479 al., 2015). Quantification of the tract microstructure found that they were very  
480 similar with almost identical values for both FA and MD. Thus, at the current  
481 resolution of 2mm isotropic voxels, dissecting apart these two tracts may be  
482 impossible, due to the proximity of the thalamic structures. In future studies,  
483 higher spatial resolution may help to disentangle these two important pathways.  
484 Thus, we cannot completely rule out the presence of an intact direct pathway  
485 from the retina to hMT+ via the pulvinar.

486

#### 487 **Important differences compared to existing tractography studies**

488 All three of the pathways studied here have been previously investigated in case  
489 studies, although they have never been compared in the same patients. Two  
490 studies investigated the pathways underlying motion (Bridge et al., 2008) or  
491 affective blindsight (Tamietto et al., 2012) in blindsight patient GY. The motion  
492 study reported a direct ipsilateral connection between LGN and hMT+ in the

493 damaged hemisphere, similar to the results for blindsight positive patients here.  
494 However, GY also showed unusual patterns of connectivity that may be  
495 indicative of plasticity. These included a cortico-cortical callosal connection  
496 between hMT+ bilaterally (tested here, but absent in 6/12 blindsight positive  
497 patients) and a crossing pathway between LGN in the undamaged hemisphere  
498 and ipsilesional hMT+. In both cases these unusual pathways were largely  
499 demonstrable in controls, although GY showed a considerably greater number of  
500 fascicles (Bridge et al., 2008).

501

502 The only study to investigate collicular pathways was in patients following  
503 hemispherectomy, two of whom had attentional blindsight (Leh et al., 2006).  
504 Only patients with blindsight showed crossing tracts between the superior  
505 colliculus in the damaged hemisphere and regions of the intact hemisphere, as  
506 well as strong ipsilateral connections in the damaged hemisphere. These  
507 crossing tracts were seen in some control participants, although were arguably  
508 less prominent and were therefore also taken as a possible indicator of plasticity.

509

510 The current study found no evidence to support such plasticity in adult-onset V1  
511 damage and blindsight. Furthermore, additional evidence against a necessary  
512 transcallosal connection comes from cases of bilateral cortical damage with  
513 significant fMRI hMT+ activity and blindsight (Bridge et al., 2010). Where  
514 occipital damage is bilateral, the corpus callosum undergoes profound  
515 degeneration and is unlikely to provide useful visual information (de Gelder et  
516 al., 2008).

517

518 One possible explanation for some of these differences is the age of brain injury  
519 onset, since damage acquired in childhood may lead to greater plastic changes  
520 (Anderson et al., 2011; Tinelli et al., 2013). GY sustained his brain injury aged 8  
521 years, and the hemispherectomy patients sustained severe structural brain  
522 damage at birth or in early childhood, despite undergoing resective surgery later  
523 in life. Both studies identified increased interhemispheric connectivity in  
524 blindsight, unlike the cases of cortical blindness and patients in the current  
525 study, all of whom sustained damage in adulthood. This could be consistent with  
526 an increased propensity for plasticity in the corpus callosum, which continues to  
527 grow in cross-sectional area until early adulthood (Keshavan et al., 2002).

528

529 The other factor to consider is how blindsight is assessed, and the *type* of  
530 blindsight present. It has been argued in the past that different forms of  
531 blindsight may be mediated by distinct anatomical pathways or structures  
532 (Danckert and Rossetti, 2005). For example, collicular processing may be  
533 involved in ‘action’ or ‘attention’ blindsight, whilst the LGN is implicated in  
534 perceptual characteristics, described as ‘agnosopsia’ (Zeki and Ffytche, 1998).  
535 The definition of blindsight differs considerably between tractography studies,  
536 ranging from comparable 2-AFC testing (Bridge et al., 2010) to navigational tests  
537 (de Gelder et al., 2008) and indirect or ‘attentional’ blindsight (Leh et al., 2006).  
538 In particular, patients with extensive cortical damage beyond V1 appear to lack  
539 any awareness or direct response to blind field stimulation (de Gelder et al.,  
540 2008; Leh et al., 2006; Tomaiuolo et al., 1997). Indirect blindsight assessments  
541 may be more sensitive than the 2-AFC tests used here, and may rely on different  
542 structures. The only way to tackle this would be to improve consistency amongst

543 experiments, and to include multiple methods of assessing blindsight in future  
544 work.

545

#### 546 **Limitations of fascicle number as a useful measure in clinical populations**

547 A significant concern highlighted from the current study is that it was possible to  
548 track robust fascicles in patients traversing regions of extremely impaired FA  
549 and MD. Indeed, it may even be the case that fascicles are biased towards narrow  
550 regions of white matter running alongside a lesion boundary. Patient PN1, for  
551 example, showed almost ten times more fascicles in the geniculate-hMT+  
552 pathway of his damaged hemisphere compared to his intact side, even though  
553 tracts quite clearly passed through a region of abnormal (damaged) tissue  
554 (Figure 4). These tracts are unlikely to be functional, as indicated by the negative  
555 psychophysical performance.

556

557 Emphasis on fascicle numbers without considering the underlying  
558 microstructure and pathway viability is therefore problematic. Indeed, there are  
559 many reasons why fascicle numbers provide unreliable measures of true axonal  
560 projections and function (Jones et al., 2013; Pestilli et al., 2014). Even if the ‘true’  
561 fibre count is uniform, the number of reconstructed fascicles may differ due to  
562 the length, curvature, and degree of branching present (Jones and Cercignani,  
563 2010). Such variability was apparent here, as even control participants showed  
564 notable differences in fascicle numbers between hemispheres and individuals.  
565 Two of the key early papers on blindsight have focused on this measure (Bridge  
566 et al., 2008; Leh et al., 2006), interpreting a quantitative difference in fascicles as

567 suggestive of plasticity. Whilst this may be correct, any tractography algorithm  
568 with a bias for peri-lesional pathways could contribute to such findings.

569

### 570 **Absent fascicles do not necessarily mean an absent pathway**

571 One of the more controversial uses of MRI diffusion tractography is to comment  
572 on the existence or absence of a specific pathway, with false positive connections  
573 particularly problematic (Gao et al., 2013; Sherbondy et al., 2008). This is not  
574 surprising if one considers that the success or failure of fascicle propagation in  
575 tractography algorithms is subject to the same limitations as the fascicle count.

576

577 In the current study, an important source of variation was the process of  
578 ‘cleaning’ to isolate robust and consistent tracts. If a less stringent cut-off had  
579 been used, interhemispheric hMT+ connections would be identified in 100% of  
580 controls, thus necessitating care in their interpretation. Although the  
581 interhemispheric and geniculate pathways in patients would remain unaffected,  
582 a less stringent cut-off would suggest collicular tracts were present in all  
583 blindsight positive patients. However, when visualized, these pathways  
584 containing fewer than 5 fascicles appear largely implausible, reinforcing the  
585 need for a cleaning process to improve data reliability and reduce false positives.  
586 A novel mechanism to address this in the future may be to estimate the accuracy  
587 of an estimated connectome and tract, such as using Linear Fascicle Evaluation  
588 (Pestilli et al., 2014).

589

### 590 **Conclusions**



591 In summary this work provides strong evidence to support a direct geniculate  
592 connection to extrastriate cortex as being important for blindsight function in  
593 adult-onset V1 damage. Although alternate interhemispheric and collicular  
594 pathways were also demonstrable in a number of patients, these connections  
595 were unable to account for all blindsight cases and were often found to be intact  
596 in patients with absent blindsight performance. The results also highlight the  
597 importance of considering white matter microstructure when performing  
598 tractography in patients, which is applicable to anyone working with clinical  
599 diffusion data. Finally, appreciation of the important tracts may help to direct  
600 attempts to boost residual function through rehabilitative strategies in  
601 hemianopia.

602

603

## 604 **Materials and Methods**

605

### 606 **Participants**

607 Seventeen patients (five female) took part in this study, of which 15 had  
608 sustained posterior circulation stroke and two had undergone benign tumour  
609 resection, see Supplementary File 1 for details. All patients had sustained  
610 unilateral damage to V1, causing homonymous visual field loss recorded by  
611 Humphrey perimetry. Average age at the time of participation was 54.9 years  
612  $\pm 14.4$ , average time after pathology onset 45 months (range 6-252 months). Nine  
613 healthy participants (54.9  $\pm 11.7$  years old, three female) served as controls.  
614 Written consent was obtained from all participants. Control participants and  
615 patients were matched by age and sex at the time of testing. Controls all had

616 normal or corrected-to-normal visual acuity and no history of neurological  
617 disease. Ethical approval was provided by the Oxfordshire Research Ethics  
618 Committee (Ref B 08/H0605/156). Testing was performed at the John Radcliffe  
619 Hospital, Oxford.

620

## 621 **Psychophysics**

622 Psychophysical testing was conducted outside the MRI scanner, with a 60Hz CRT  
623 monitor at a distance of 68 cm. Visual stimuli consisted of a drifting achromatic  
624 Gabor patch of 5° or 8° diameter, displayed on a uniform grey background;  
625 temporal frequency 10Hz, spatial frequency 1.3 cycles/°. Five contrast levels  
626 were used: 1%, 5%, 10%, 50%, and 100%, with stimulus location restricted to  
627 the scotoma and its corresponding location in the sighted hemifield in patients, a  
628 minimum of 3 deg from fixation (see Figure 1A for schematic representation of  
629 stimulus location).

630

631 Participants were asked to indicate whether a stimulus appeared in the first or  
632 second time-interval (Figure 1B). If they saw nothing, they were instructed to  
633 guess. Onset of each interval was indicated by a 500ms auditory tone, 300Hz  
634 marking onset of the first interval, and 1200Hz for the second. Visual stimuli  
635 appeared for 500 ms with jittered onset while the participant fixated on a central  
636 black cross. Stimulus contrast was altered parametrically between the five levels  
637 at random, with 20 trials per condition. The allocated interval (first or second)  
638 was also generated at random. Participants additionally performed a run of  
639 control testing, with stimuli presented to the equivalent location in their sighted  
640 visual field. Fixation was recorded throughout with an Eyelink 1000 eye tracker

641 (SR Research Limited, Ontario, Canada), and any trials in which eye position  
642 exceeded 1 degree from fixation were excluded from analysis. Participants were  
643 reminded to maintain fixation, with the investigator observing this in real-time.  
644 Anyone making even a small eye movement into their damaged hemifield was  
645 given specific instruction not to do so, and it was explained that these data would  
646 have to be discarded.

647

648 The presence or absence of blindsight, or residual visual function was  
649 determined for each patient. This was defined as achieving either an average  
650 score, or a score for stimuli of 100% contrast that was significantly above  
651 chance, using a statistical threshold of  $p < 0.01$  and a cumulative binomial  
652 distribution. This criterion led to the allocation of 12 patients as 'blindsight  
653 positive' (PB1-PB12) and five as 'blindsight negative' (PN1-PN5), see Figure 1C  
654 and Table 1 for details. Classification of patients into these two groups  
655 ('blindsight positive' and 'blindsight negative') was therefore further validated  
656 using cross-validation with two other cross-validation strategies:

- 657 1. K-nearest neighbours: in each iteration one of the participants was held  
658 out and was blindly labelled (as 'blindsight negative' or 'blindsight  
659 positive') according to the label previously assigned to the majority of  
660 their k-nearest neighbours (using only performance at 100% contrast).  
661 Neighbourhood distance between the currently labelled individual and  
662 other individuals was measured in terms of their performance in all  
663 contrast levels (k was set to 5, but other values of k were also tested and  
664 results were found to be robust to choice of k).

665        2. A Gaussian mixture model was fit to behavioural performance data across  
666        all contrast level. Fitting was 'blind'. That is, no class labels ('blindsight  
667        positive' or 'blindsight negative') were used in fitting the multi-  
668        dimensional Gaussian distributions. Each participant was then classified  
669        into one of two groups according to their distance from the centroids of  
670        the two Gaussian distributions.

671        Both algorithms were implemented in scikit-learn (Pedregosa et al., 2011).  
672        Accuracy of the classification was evaluated relative to the labels ('blindsight  
673        positive' or 'blindsight negative') derived from the classification based only  
674        on performance at 100% contrast (also used in Ajina et al. 2015b).

675

676        Behavioural testing of control participants and the sighted hemisphere of  
677        patients was not possible, since the contrast task is too easy, resulting in 100%  
678        detection of even the 1% contrast stimulus.

679

## 680        **MRI acquisition and pre-processing**

### 681        **Anatomical acquisition**

682        A structural scan was acquired for each participant. This was a high-resolution  
683        (1 mm x 1 mm x 1 mm voxels) whole head T1-weighted MPRAGE anatomical  
684        image (TE = 4.68ms, TR = 2040ms, field of view = 200 mm, flip angle = 8 deg).

685

### 686        **Diffusion Data**

687        Diffusion-weighted data were acquired using echo planar imaging (EPI; TR =  
688        8900 ms, TE = 91.2 ms, and voxel size of  $2 \times 2 \times 2$  mm<sup>3</sup>). The diffusion weighting  
689        was isotropically distributed along the 60 directions (b-value = 1500 s/mm<sup>2</sup>),

690 and a non-DWI (B0) image was acquired every 16 volumes (total of four B0  
691 volumes per image set). EPI acquisitions are prone to geometric distortions that  
692 can lead to errors in tractography. To minimise this, two image sets were  
693 acquired with the phase-encoded direction reversed, “blip-up” and “blip-down”  
694 (Chang and Fitzpatrick, 1992). This results in images with geometric distortions  
695 of equal magnitude but in the opposite direction allowing for the calculation of a  
696 corrected image (Andersson et al., 2003). Before correcting for geometric  
697 distortions, each image set, blip-up and blip-down, was corrected for motion and  
698 eddy-current related distortions. These corrections were performed using tools  
699 from FSL (FMRIB Centre Software Library, Oxford University;  
700 <http://www.fmrib.ox.ac.uk/fsl/>), with other steps in DTI processing and  
701 tractography using the VISTALab (Stanford Vision and Imaging Science and  
702 Technology) diffusion MRI software suite. VISTALab image processing software  
703 is available as part of the open-source mrDiffusion package available at  
704 <https://github.com/vistalab/vistasoft/>

705

706 The corrected 4-D NifTI DTI images from both AP (blip-up) and PA (blip-down)  
707 image sets were concatenated in time and aligned to the motion-corrected mean  
708 of the non-diffusion weighted ( $b = 0$ ) images using a rigid body algorithm. dMRI  
709 images were then aligned to the T1 structural scan, which had been resampled to  
710 AC-PC orientation using an automated script.

711

## 712 **Diffusion MRI analysis**

713

## 714 **Regions of interest**

hMT+ masks were derived from anatomically defined probabilistic maps (Juelich atlas implemented in FSL, (Malikovic et al., 2007), non-linearly transformed from MNI to diffusion space for patients and controls to ensure consistency between participant groups. Average hMT+ ROI volume was  $366 \pm 60$  voxels in patients,  $415 \pm 60$  voxels in controls. For the LGN and superior colliculus, binary masks were created by manual inspection and drawing over the anatomical T1-weighted images (Horton et al., 1990), using a radiological brain atlas to aid identification of landmarks. The average LGN volume in patients measured  $245 \text{ mm}^3$  in the right, and  $244 \text{ mm}^3$  in the left. In controls, average LGN volume was  $245 \text{ mm}^3$  in the right and  $236 \text{ mm}^3$  in the left. These volumes are similar to previous reports using T1 anatomical and functional MRI scans in living humans ( $244 \text{ mm}^3$  in the right,  $234 \text{ mm}^3$  in the left; (Kastner et al., 2004). In post-mortem human tissue, investigation has shown LGN volume ranges from 91 to  $157 \text{ mm}^3$  (Andrews et al., 1997). However it has been suggested that this difference may, at least in part, arise due to tissue shrinkage during post-mortem processing (e.g. Annese et al., 2014). Superior colliculus masks had an average volume of  $203 \text{ mm}^3$  in the right and  $216 \text{ mm}^3$  in the left of patients. In controls, superior colliculus masks were  $214 \text{ mm}^3$  in the right and  $218 \text{ mm}^3$  in the left. These are similar in size to previous studies using T1 anatomical and functional MRI scans (Anderson and Rees, 2011). There were no significant differences between subject groups when comparing the volume of hMT+, LGN or superior colliculus masks (LGN:  $F = 0.96$ ,  $p = 0.4$ , hMT+:  $F = 2.0$ ,  $p = 0.1$ , SC:  $F = 0.12$ ,  $p = 0.9$ ).

### **Fascicle tracking**

740 The tracking algorithm was restricted to the white matter, defined as all voxels  
741 with a FA value greater than 0.15. This method of segmentation generated a  
742 white matter mask that excluded the ventricles. This was manually inspected  
743 and edited for each participant, to ensure optimal segmentation and to remove  
744 any satellite voxels.

745

746 The diffusion tensor model is prone to error in assigning the orientation of  
747 tracking in regions where multiple populations of nerve fibres cross. Models that  
748 account for the diffusion signal as a combination of signals from different  
749 bundles of nerve fibres provide better estimates of tracking directions in these  
750 locations (Frank, 2001, 2002; Rokem et al., 2014). Therefore, so-called fibre  
751 orientation distribution functions (fODF) were estimated in each voxel in the  
752 white matter using constrained spherical deconvolution (CSD; (Tournier et al.,  
753 2007). A response function, representing the signal of a single coherent bundle of  
754 nerve fibres, was estimated as a lower-order ( $L_{\max}=4$ ) CSD fit to the signal from  
755 voxels in which FA was larger than 0.7. CSD was then fit to the entire white  
756 matter with this response function and maximum harmonic order ( $L_{\max}$ ) was set  
757 to 8. The  $L_{\max}$  determines the maximal order of the spherical harmonics basis set  
758 used to estimate the fODF in each voxel by the CSD model. The number of  
759 coefficients for CSD grows with  $L_{\max}$ , as  $\frac{1}{2} (L_{\max}+1)(L_{\max}+2)$ . The  $L_{\max}$  was set to 8  
760 because this number requires a number of coefficients (45) lower than the  
761 number of diffusion directions used (60) and because it has been previously  
762 demonstrated that CSD-based probabilistic tractography using  $L_{\max} = 8$  generates  
763 accurate connectomes (Yeatman et al., 2014).

764

765 Fascicle tracking was performed on the fODFs estimated with CSD, using a  
766 probabilistic ‘region to region’ algorithm implemented in MRtrix (Tournier et al.,  
767 2012). The methodology has previously been shown to provide superior  
768 delineations of a number of known white matter tracts, in a manner that is  
769 robust to crossing fibre effects (Pestilli et al., 2014; Tournier et al., 2012).  
770 Fascicles were run from 10,000 seeds inside a union mask created by the  
771 combination of two ROIs. Tracts had to touch both ROIs and travel only within  
772 white matter to be included in the output. A curvature radius threshold of 1mm  
773 and step size of 0.2mm was used. The total number of fascicles generated was  
774 constrained to a maximum of 1,000,000.

775

#### 776 **Anatomically-informed identification of the tracts of interest**

777 After fascicles were created for each pathway of interest, we used an  
778 anatomically informed approach to identify core-fascicles to compare across  
779 individuals (Allen et al., 2015; Pestilli et al., 2014; Yeatman et al., 2012). Outlier  
780 fascicles were removed from tracts in each brain to retain a core fascicle bundle  
781 representing the most conservative estimate of the tract. To identify outlier  
782 fascicles, we calculated the Mahalanobis distance of nodes in each fascicle from  
783 the core fascicle bundle. This procedure assigned a weight to each fascicle  
784 depending on its distance from the core fascicle in standard deviations of the  
785 multivariate normal distribution. If the nodes in a fascicle were more than a  
786 predetermined number of standard deviations away from the core fascicles, then  
787 the fascicle was rejected as an outlier. This was performed using an iterative  
788 process to remove fascicles located more than 2.6 standard deviations away  
789 from the core of the tract, and more than 2.8 standard deviations longer than the



mean tract length, using a Gaussian distribution to represent fascicle distance and length. Where this was not possible because of a small number of sparse fascicles < 10, this was interpreted as a failure to accurately track between the two regions of interest. All subsequent measures of tract integrity were then carried out using these 'cleaned' fascicle bundles. Tracts were processed using software routines part of MBA (Matlab Brain Anatomy: <https://github.com/francopestilli/mba>) and LiFE (Linear Fascicle Evaluation: <https://francopestilli.github.io/life>; (Pestilli et al., 2014)

### **Tracts of interest**

Three tracts of interest were identified in this study, all of which pass through hMT+ and have been implicated in blindsight function. Two of these pathways projected between the LGN or superior colliculus and hMT+ in the same hemisphere. The other pathway was a crossing, interhemispheric connection between hMT+ bilaterally.

### **The tensor model**

Although the diffusion tensor model (Basser et al., 1994; Pierpaoli and Basser, 1996) can be inappropriate for tracking, it is an accurate representation of the signal and its statistics (Rokem et al., 2014). This model was fitted at each voxel to derive FA and MD maps, from which the mean and variation along any fascicle bundle could be calculated. FA provides a measure of the directionality of water molecule movement, which relates to the geometric organization of axons and fascicles in each voxel (e.g. crossing, merging or 'kissing' fibres), the degree of myelination of axons in the white matter (Beaulieu and Allen, 1994), and their

815 packing density (Sen and Bassar, 2005). In cases of brain damage, a decrease in  
816 FA can be indicative of loss of structural integrity of fibre bundles (Jones et al.,  
817 2013), such as Wallerian degeneration (Beaulieu et al., 1996). Similarly,  
818 increases in MD can indicate tissue damage, for example after a cerebral infarct  
819 (Werring et al., 2000), and this measure is also sensitive to axon packing density  
820 and myelination (Sen and Bassar, 2005). Since both of these measures are  
821 sensitive to a number of tissue properties, but may not specifically be  
822 attributable to any one of them (see also Johansen-Berg, 2010 for review) we  
823 acknowledged that the precise interpretation of MD and FA is unknown.  
824 Therefore, the broad term 'white matter microstructure' is used to describe  
825 these measures.

826

#### 827 **Tract-based statistics**

828 In order to compare values across participants, a standardised measure was  
829 derived for each tract. The voxel-wise tensor parameters (FA and MD) were  
830 combined with the spatial information of the trajectory of tracts within the white  
831 matter to compute a tract profile. Tract profiles represented the average FA or  
832 MD of the voxels touched by the tract, weighted by the distance from the mean of  
833 the tract at each location. This was done by resampling each tract to 100 nodes,  
834 distributed equally along the length of the tract (Yeatman et al., 2012). The  
835 region between nodes 15 and 85 was then used to represent 'whole tract'  
836 profiles, with the proximal and distal 15 nodes ignored to remove potential  
837 contamination with grey matter voxels or partial volume effects. This clipped  
838 tract profile was used to generate all subsequent measures of mean tract FA and  
839 MD. These measures were also used to calculate 'laterality', representing the

840 relative difference in FA or MD measures for the same tracts in opposite  
841 hemispheres.

842

843

$$\text{844} \quad \text{Laterality in patients (\%)} = \frac{|\text{FA/MD}_{(\text{intact})} - \text{FA/MD}_{(\text{ipsilesional})}|}{\text{FA/MD}_{(\text{ipsilesional})}}$$

846

$$\text{847} \quad \text{Laterality in controls (\%)} = \frac{|\text{FA/MD}_{(\text{left})} - \text{FA/MD}_{(\text{right})}|}{\text{FA/MD}_{(\text{right})}}$$

849

850

851 This technique of standardisation may be preferable to the alternative method of  
852 voxel-based analysis, including Tract-Based Spatial Statistics (TBSS, Smith et al.,  
853 2006), which computes summary statistics on coregistered voxel skeletons. This  
854 is because individual brains show substantial variation in tract location, size, and  
855 shape, which may not be sufficiently dealt with by standard techniques that warp  
856 FA data onto a template image. This can be particularly problematic for more  
857 peripheral, long-range tracts such as those being investigated here (Edden and  
858 Jones, 2011).

859

### 860 **Statistical testing of pathway microstructure**

861 In order to quantify differences in the microstructure of healthy controls,  
862 blindsight positive and blindsight negative patients, a number of different  
863 statistical approaches were taken, implemented in either Excel or Matlab. Firstly,  
864 a two-way ANOVA was used to investigate the effect of blindsight status (positive

865 or negative) and side of the brain (intact or lesioned). The presence of a  
866 significant interaction was used to determine a difference in the effect of the  
867 lesion between the two groups.

868 Where there were not sufficient samples to compute the ANOVA, an independent  
869 samples two-way t-test was employed to quantify the effect of blindsight status  
870 (positive or negative).

871

## 872 **Lesion estimation**

873 Lesion size in patients was estimated by creating lesion masks from their T1  
874 structural scans. This required a combination of thresholding raw T1 values to  
875 isolate damaged tissue (on T1-weighted MRI scans, ischaemic pathology shows  
876 low T1 intensity) and manually drawing over unequivocal regions of damage.  
877 The 3-D lesion masks were binarised, and the total volume measured in mm<sup>3</sup>. We  
878 were also interested in estimating the distribution and extent of damage across  
879 the brain. Lobar masks were created using the MNI structural atlas in standard  
880 space for all four lobes (frontal, parietal, temporal, occipital) in both  
881 hemispheres and separately for the subcortex. Masks were transformed into  
882 individual structural space using non-linear transformation, similar to the  
883 technique to create ROIs. A region of overlap between the lesion and lobe masks  
884 was then quantified as a percentage of the total lobe volume.

885

886

887

888 **Acknowledgments**

889 This work was supported by the Wellcome Trust (SA), the Royal Society (HB),  
890 the UK National Institute for Health Research (NIHR) Oxford Biomedical  
891 Research Centre (SA & CK). Franco Pestilli was supported by the US National  
892 Science Foundation and US National Institute of Health (grants to Brian Wandell,  
893 Stanford University, NSF BCS1228397 and NIH NEI EY015000), Indiana  
894 University College OF Arts and Sciences Startup funds and Indiana Clinical and  
895 Translational Institute CTSI (GLUE Grant; supported by NIH grants TR001108,  
896 TR001106, TR001107). Ariel Rokem was supported by the National Institute of  
897 Health (NRSA F32-EY022294), the Alfred P. Sloan Foundation and the Gordon &  
898 Betty Moore Foundation (UW eScience Institute Data Science Environment). The  
899 authors wish to thank all the participants for taking part in this study. We are  
900 grateful to Brian Wandell for generous support and advice and for hosting Dr.  
901 Ajina while undertaking this work.

902

903 **Conflict of Interest**

904 The authors declare no competing financial interests.

905

906 **References**

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**Table and Figures**

<b>Subject</b>	<b>LGN &lt;-&gt; hMT+</b>		<b>Crossing hMT+</b>		<b>SC &lt;-&gt; hMT+</b>	
	<b>Ipsi-lesional</b>	<b>Contra-lesional</b>	<b>left -&gt; right</b>	<b>right -&gt; left</b>	<b>Ipsi-lesional</b>	<b>Contra-lesional</b>
<b>Blindsight positive patients</b>						
<b>PB1</b>	75	115	9	<i>no</i>	12	12
<b>PB2</b>	19	196	6	<i>no</i>	<i>no</i>	18
<b>PB3</b>	50	67	24	24	17	17
<b>PB4</b>	19	315	<i>no</i>	<i>no</i>	<i>no</i>	15
<b>PB5</b>	93	83	7	19	14	14
<b>PB6</b>	12	64	13	15	<i>no</i>	17
<b>PB7</b>	397	17	<i>no</i>	<i>no</i>	16	8
<b>PB8</b>	87	37	12	16	20	17
<b>PB9</b>	635	53	<i>no</i>	<i>no</i>	16	<i>no</i>
<b>PB10</b>	32	29	<i>no</i>	<i>no</i>	<i>no</i>	<i>no</i>
<b>PB11</b>	291	47	9	18	12	<i>no</i>
<b>PB12</b>	194	17	17	13	15	<i>no</i>
<b>Blindsight negative patients</b>						
<b>PN1</b>	157	19	<i>no</i>	<i>no</i>	15	8
<b>PN2</b>	17	226	13	15	7	21
<b>PN3</b>	351	89	<i>no</i>	<i>no</i>	19	16
<b>PN4</b>	<i>no</i>	101	<i>no</i>	<i>no</i>	<i>no</i>	19
<b>PN5</b>	15	122	<i>no</i>	<i>no</i>	<i>no</i>	13
<b>Controls</b>						
<b>C1</b>	308	339	19	14	14	18
<b>C2</b>	619	269	<i>no</i>	<i>no</i>	39	17
<b>C3</b>	57	59	8	16	18	<i>no</i>
<b>C4</b>	176	114	8	6	18	16
<b>C5</b>	84	30	5	8	17	16
<b>C6</b>	57	19	<i>no</i>	<i>no</i>	15	16
<b>C7</b>	78	46	<i>no</i>	<i>no</i>	9	<i>no</i>
<b>C8</b>	498	182	19	14	35	14
<b>C9</b>	653	62	57	52	31	9

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**Table 1. Number of cleaned fascicles for the three pathways of interest in patients and control participants: (1) Ipsilateral LGN and hMT+ (2) hMT+ bilaterally via the corpus callosum (3) Ipsilateral SC and hMT+. Results are shown separately for the intact and damaged 'ipsi-lesion' hemispheres (right and left for control participants). No = zero fascicles survived the cleaning process.**

1096 **Figure legends**

1097

1098 **Figure 1.** Psychophysics protocol and results. (A) Example Humphrey visual  
1099 field deficit drawn schematically, with the location of the target stimulus  
1100 superimposed. Dense visual field loss is shown in black ( $< 0.5\%$ ) and partial loss  
1101 in grey ( $< 2\%$ ). (B) Illustration of the 2AFC-temporal detection procedure.  
1102 Participants fixated on a central cross, with the onset of each 1500ms interval  
1103 alerted by a low (interval 1) or high pitch (interval 2) tone. The stimulus could  
1104 appear in either interval, for a period of 500 ms. At the end of the trial,  
1105 participants were instructed to decide in which interval the stimulus appeared.  
1106 **(C)** Detection performance with increasing stimulus contrast, shown separately  
1107 for blindsight positive (blue) and blindsight negative (red) patients. Individual  
1108 results are also plotted for each patient. Chance level is 50%.

1109

1110 **Figure 2.** (A) 3-D representations of ipsilateral tracts between the LGN and  
1111 hMT+. Examples are shown for blindsight positive patients PB9 and PB8,  
1112 blindsight negative patients PN2 and PN3 and control participants C8 and C4.  
1113 Dark green tracts are in the ipsilesional damaged hemisphere, light green tracts  
1114 are in the intact hemisphere and controls. Tracts are overlaid on a 3-D  
1115 representation of participant's structural T1-weighted images. (B) Average FA  
1116 along the ipsilateral geniculate-hMT+ pathways of blindsight positive patients,  
1117 blindsight negative patients, and controls. Blindsight positive patients show a  
1118 slight reduction in anisotropy over the proximal half of the ipsilesional pathway,  
1119 although the distal half shows no notable difference to the intact hemisphere.  
1120 Blindsight negative patients show a marked reduction in FA in the damaged  
1121 hemisphere beyond the 35<sup>th</sup> node, continuing to the end of the tract. Control  
1122 participants show similar results for both hemispheres (right hemisphere blue,  
1123 left hemisphere red), with FA close to 0.5 throughout. The control range for this  
1124 pathway is displayed in yellow on all charts.

1125

1126 **Figure 3.** (A) Normal ipsilateral tracts between the LGN and hMT+, and the LGN  
1127 and V1 demonstrate a proximal region of overlap. Tracts are demonstrated in a  
1128 control participant, C2, comparing ipsilateral connections between the LGN and

1129 hMT+ (pink) and the LGN and V1 (blue). When these pathways are  
1130 superimposed, there is a significant region of overlap in the proximal portion of  
1131 these pathways. In cases of V1 damage where there is retrograde degeneration,  
1132 this overlapping region of the geniculate-hMT+ pathway may become  
1133 contaminated by degenerated tracts in the V1 path. (B) Box plots comparing FA  
1134 and MD in the distal portion of the geniculate-hMT+ pathway, in blindsight  
1135 positive and negative patients. The ipsilesional hemisphere is shown in purple,  
1136 and the intact hemisphere in green. Blindsight positive patients show significant  
1137 overlap in the FA of the distal portion of this pathway in the damaged and  
1138 sighted hemispheres. There is a slight increase in MD in the damaged  
1139 hemisphere, however this is not marked and both measures fall within the  
1140 control range. In comparison, blindsight negative patients show a marked  
1141 difference in FA and MD for this pathway in the damaged and sighted  
1142 hemispheres. The ipsilesional measures extend beyond the control range,  
1143 implying that they are pathological and significantly impaired. Adjacent values  
1144 are defined as the lowest and highest observations that are still inside the region  
1145 defined by the following limits: Lower Limit =  $Q1 - 1.5 \times IQR$ , Upper Limit =  $Q3 +$   
1146  $1.5 \times IQR$ . The age-matched control FA and MD range for this pathway are  
1147 displayed in yellow.

1148

1149 **Figure 4.** FA and MD maps in blindsight positive and negative patients,  
1150 demonstrating the spatial relationship with the geniculate-hMT+ pathways.  
1151 Individual results are shown for two blindsight positive patients PB5, and PB10  
1152 and two blindsight negative patients, PN1 and PN5. All four patients showed  
1153 bilateral ipsilateral fascicles between the LGN and hMT+, including the damaged  
1154 hemisphere (column two). In the damaged hemisphere of blindsight positive  
1155 patients the region directly underlying tracts corresponds to relatively intact MD  
1156 and FA measures, not notably different from the intact hemisphere. However,  
1157 both blindsight negative patients have tracts in the damaged hemisphere that  
1158 traverse a region of tissue with markedly abnormal FA and MD values (columns  
1159 three and four).

1160

1161 Figure 4 – figure supplement 1. Zoomed in view demonstrating ipsilesional  
1162 geniculate-hMT+ tracts with the corresponding T1-weighted structural, FA and

MD maps. Blindsight positive patients are shown in A and B with blindsight negative patients in C and D.

**Figure 5.** 3-D representations of interhemispheric tracts between hMT+ bilaterally and ipsilateral tracts between SC and hMT+. (A-C) Interhemispheric hMT+ tracts in blindsight positive patient, PB3, a blindsight negative patient, PN2 and a control participant, C9. (D-F) Ipsilateral collicular-hMT+ tracts in blindsight positive patient, PB8, a blindsight negative patient, PN3 and a control participant, C2. Red tracts represent crossing, interhemispheric connections between hMT+ bilaterally. Dark blue tracts are connections between SC and hMT+ in the ipsilesional damaged hemisphere, light blue tracts show the same collicular-hMT+ pathway in the intact hemisphere, and in controls. Tracts are overlaid on a 3-D representation of participant's structural T1-weighted images.

**Figure 6.** Average fractional anisotropy along the Interhemispheric hMT+ pathway and ipsilateral pathway between SC and hMT+. (A) Blindsight positive patients show a similar FA to controls along the length of interhemispheric hMT+ pathways. (B) Blindsight negative patient, PN2, also shows a similar FA to controls along the length of this pathway. (C) Control participants show a normal peak in FA at the centre of the interhemispheric hMT+ pathway, representing the high degree of anisotropy at the corpus callosum. (D) Blindsight positive patients show a similar FA in the ipsilesional collicular-hMT+ pathway as the intact hemisphere and controls. (E) Blindsight negative patients show a slight drop in mean FA in the distal third of the ipsilesional collicular-hMT+ pathway. (F) Control participants show a fairly constant FA along the length of the collicular-hMT+ pathway, around 0.4. The control range for each pathway is displayed in yellow.

1191

1192 **Figure 7.** Correlation of tract microstructure in the distal region of the  
1193 geniculate-hMT+ pathway with behavioural performance on the contrast  
1194 detection task (% correct). In both plots the filled symbols represent the values  
1195 for the blindsight negative patients while the open symbols are from the  
1196 blindsight positive patients. A shows the data for the FA values ( $r = 0.43$ ;  $p =$   
1197  $0.09$ ) and B shows the corresponding values for MD ( $r = -0.48$ ;  $p = 0.06$ ).

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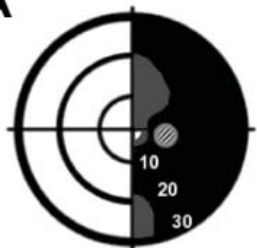
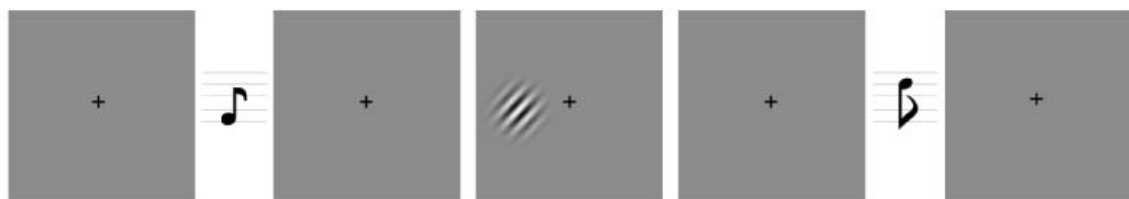
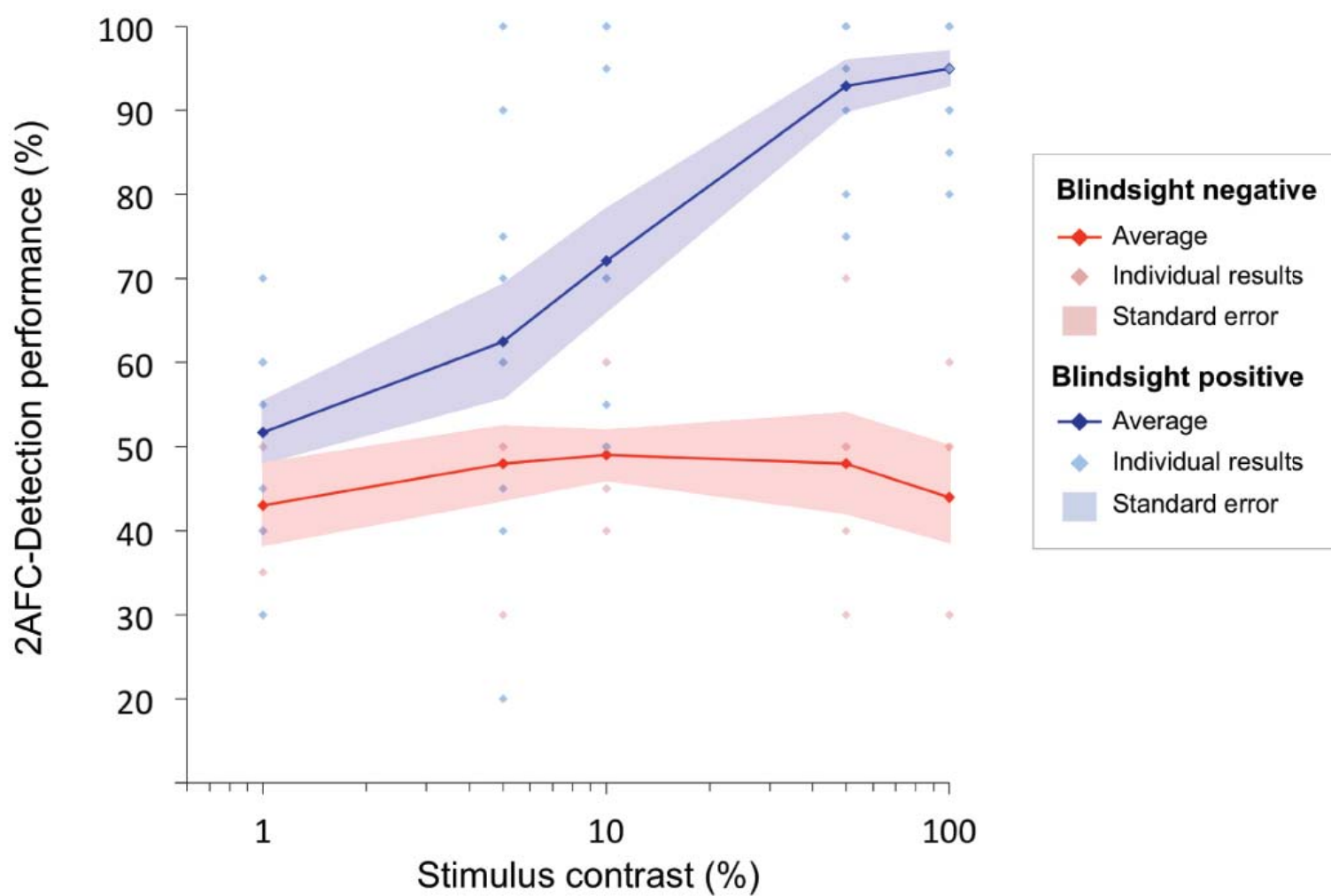
1199 **Figure 8.** Comparison of lesion size and location in blindsight positive and  
1200 negative patients. (A) Lesion size is given for each patient, and demonstrates a  
1201 wide range of volumes in both patient groups. (B) Lesion location shows the  
1202 proportion of lobe damage in each patient, within the occipital, temporal, and  
1203 parietal lobes, as well as the subcortex. Subcortex incorporates the thalamus  
1204 (including LGN and pulvinar), striatum, and superior colliculi, with an  
1205 approximate unilateral volume of  $50,000\text{mm}^3$ . Only one patient, PN5,  
1206 demonstrated some involvement of this region, including the ipsilesional LGN  
1207 and pulvinar, but not the superior colliculi.

1208

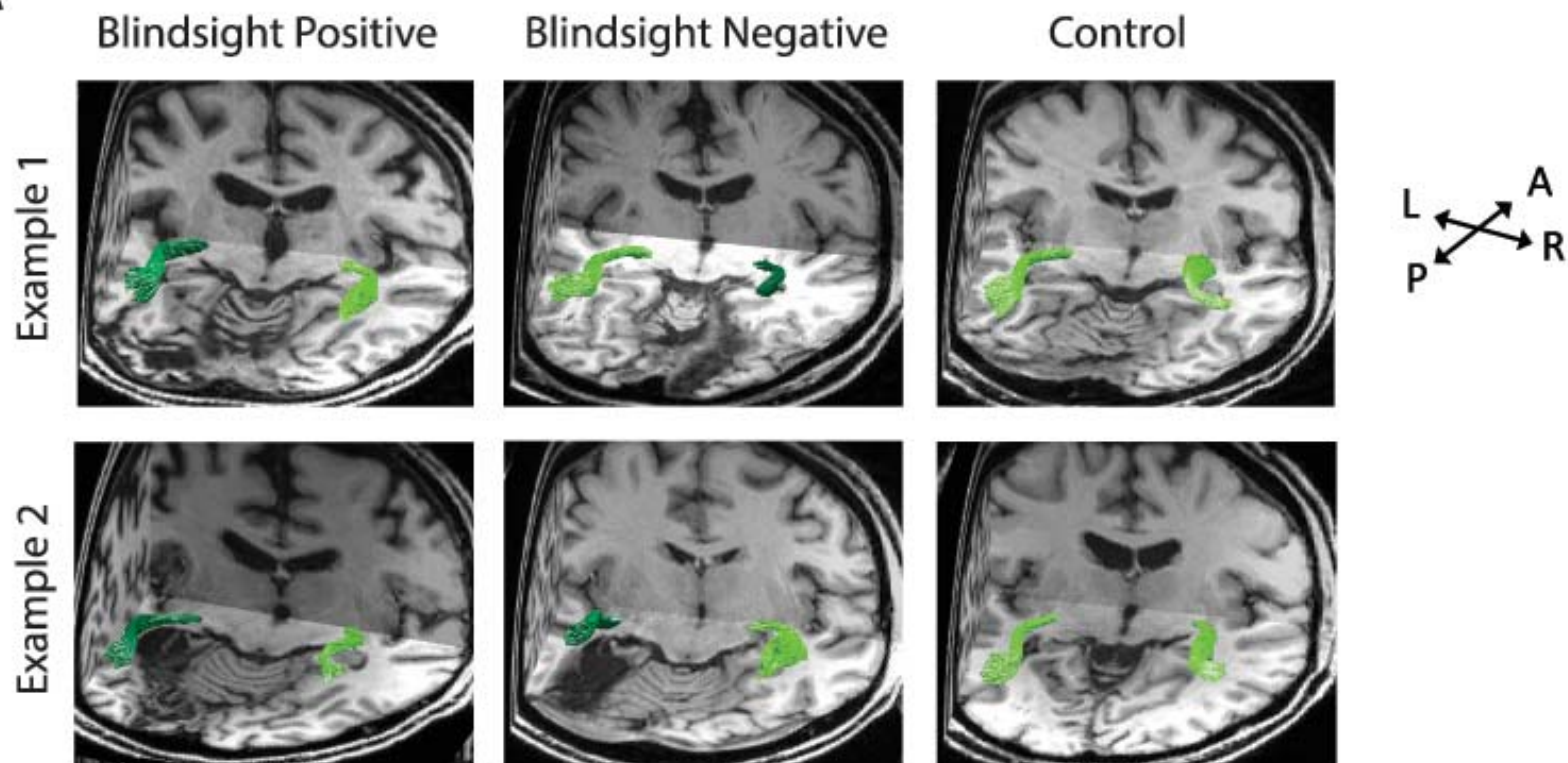
1209 Supplementary File 1. Clinical characteristics of patients.

1210

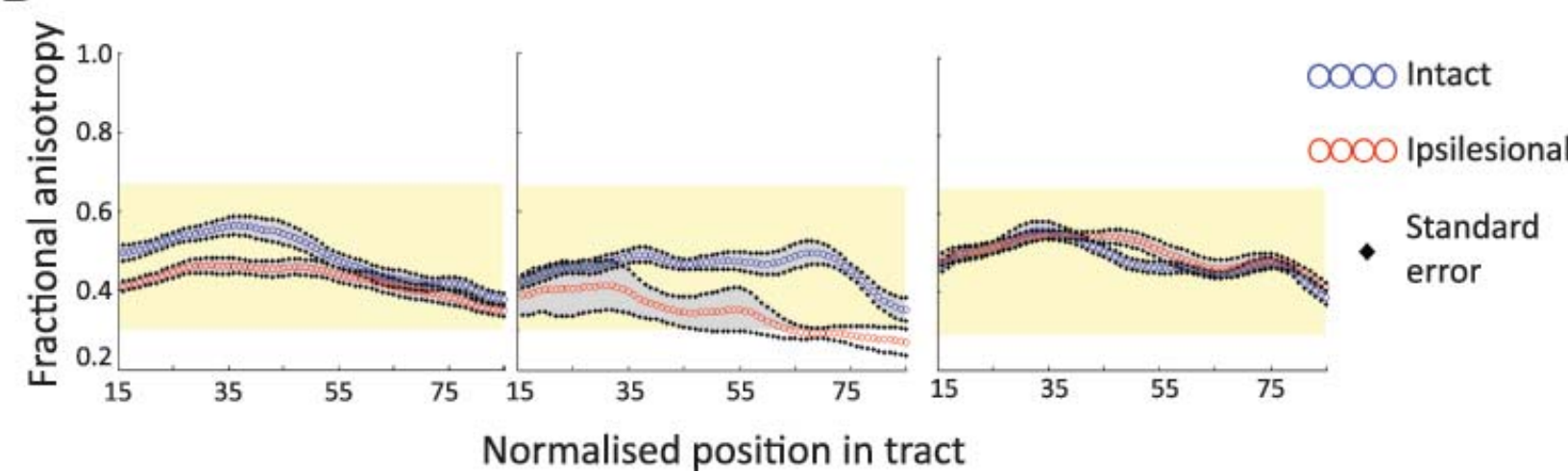
1211 Supplementary File 2. Number of uncleaned fascicles for the three pathways of  
1212 interest in patients and control participants: (1) Ipsilateral LGN and hMT+ (2)  
1213 hMT+ bilaterally via the corpus callosum (3) Ipsilateral SC and hMT+. Results are  
1214 shown separately for the intact and damaged 'ipsi-lesion' hemispheres (right and  
1215 left for control participants).

**A****B****C**

A



B

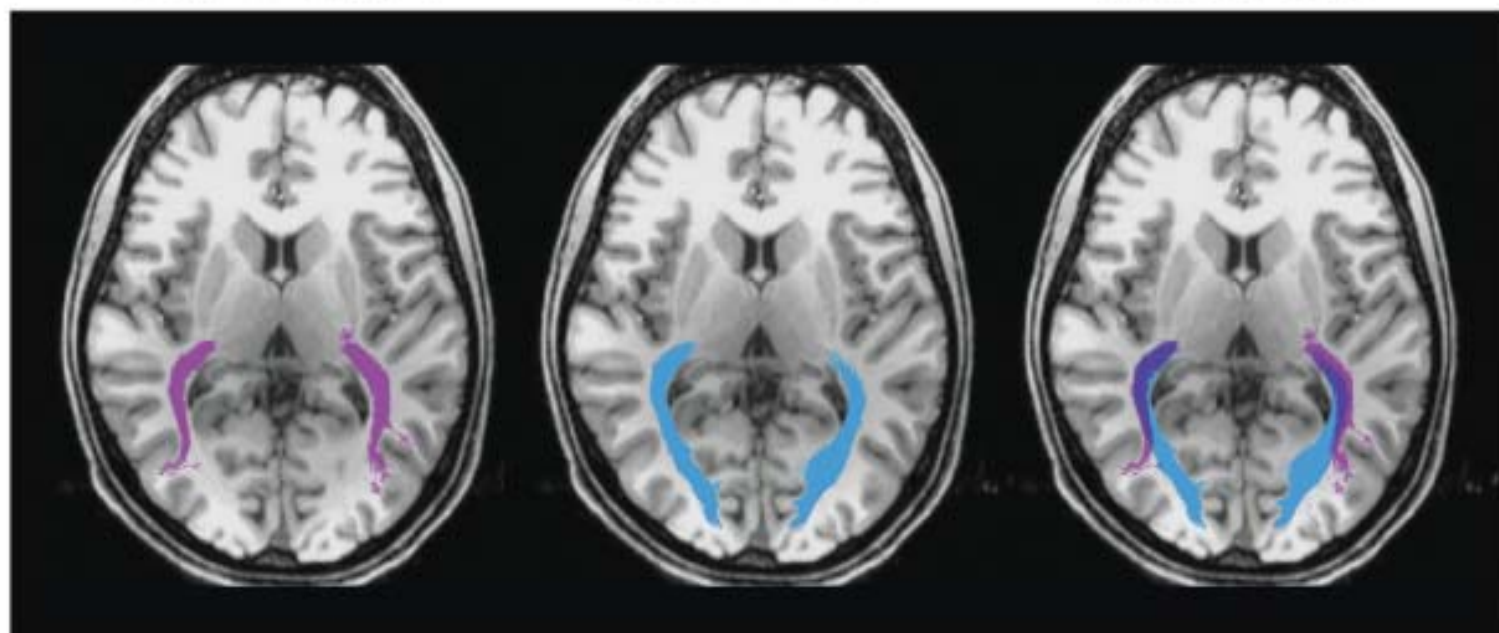




A

LGN  $\leftrightarrow$  hMT+LGN  $\leftrightarrow$  V1

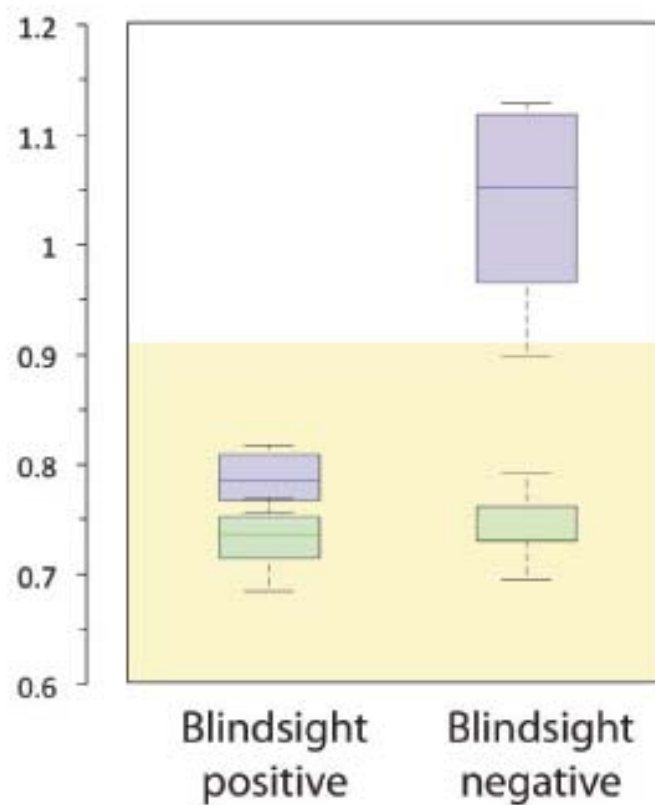
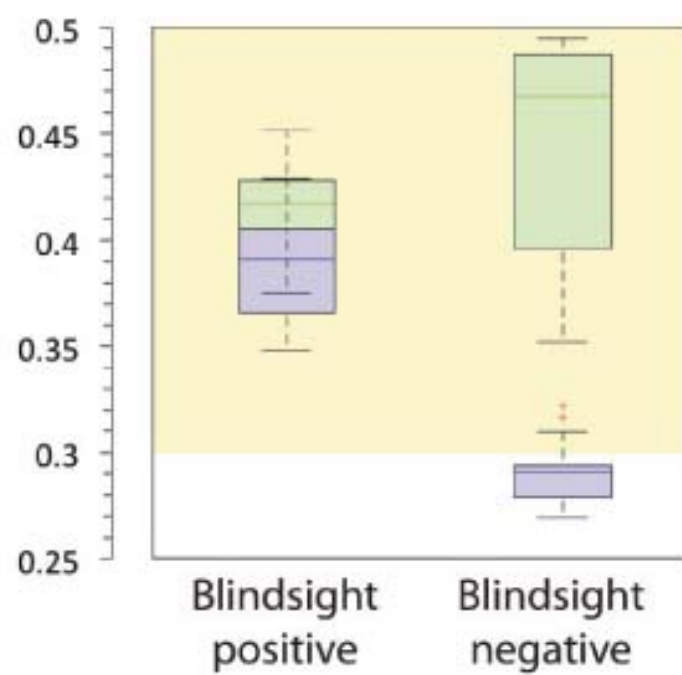
Tract overlap



B

Fractional Anisotropy

Mean Diffusivity



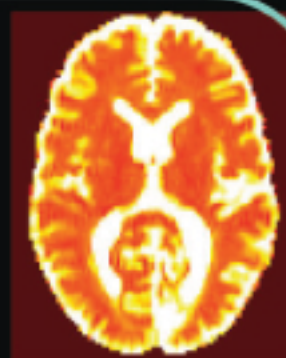
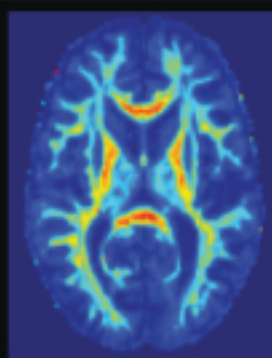
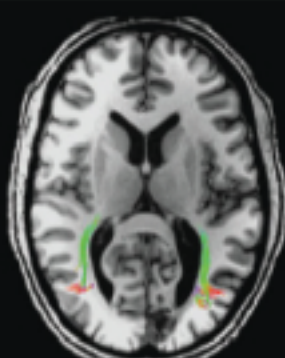
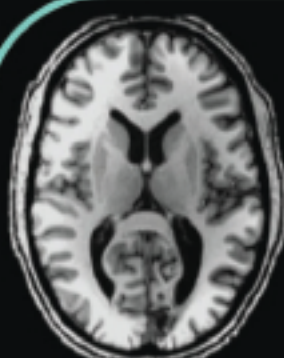
T1 Axial

LGN &lt;-&gt; hMT+

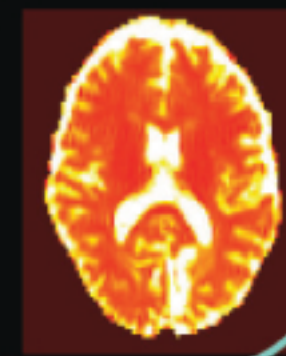
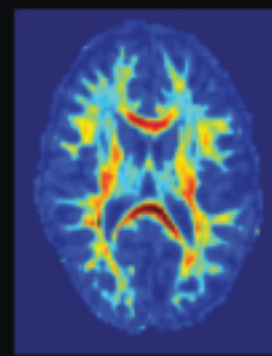
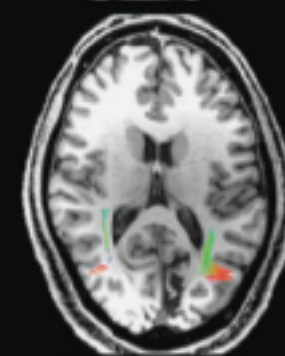
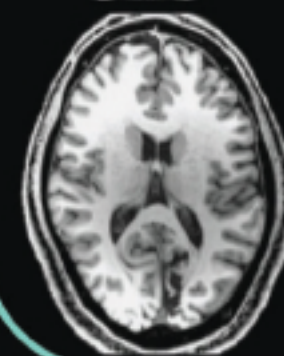
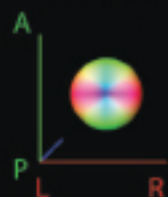
FA

MD

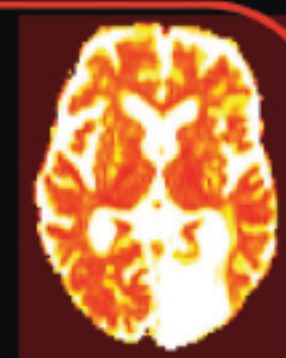
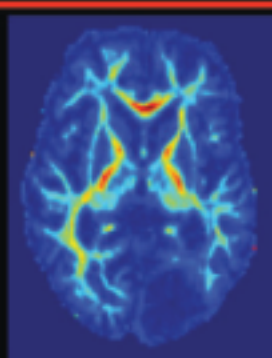
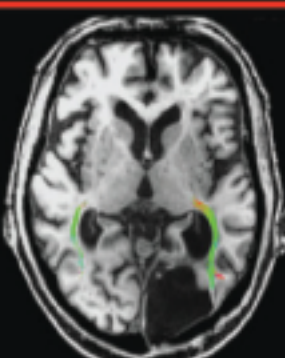
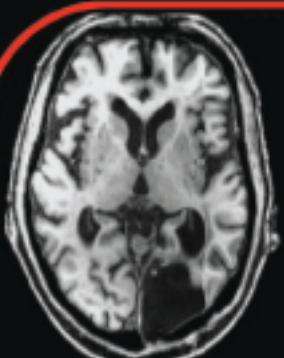
PB5

Blindsight  
positive

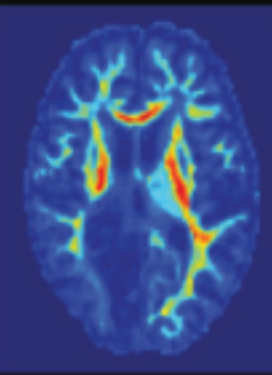
PB10

Tract  
directionFA  
1  
0

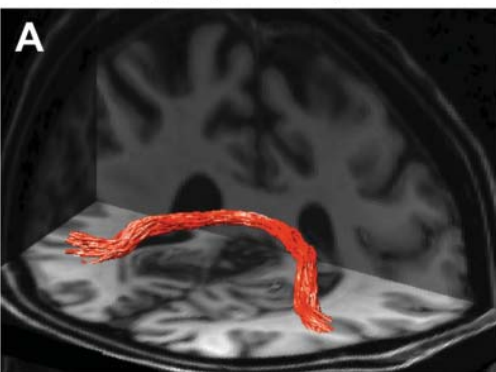
PN1

Blindsight  
negative

PN5

MD  
1.6  
0

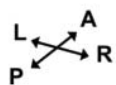
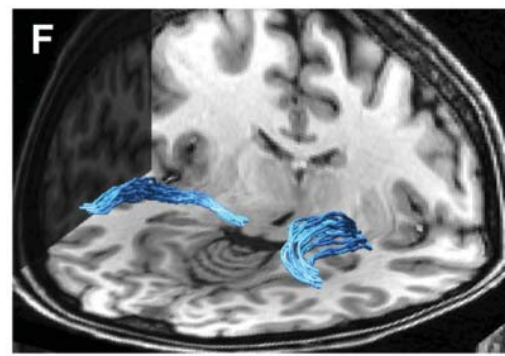
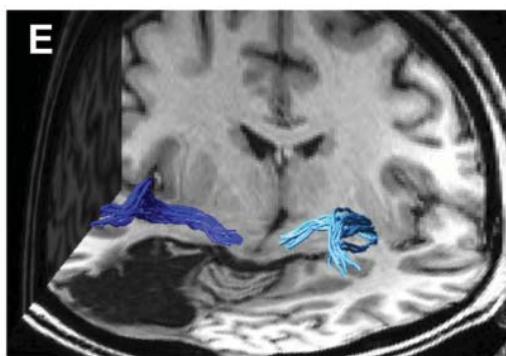
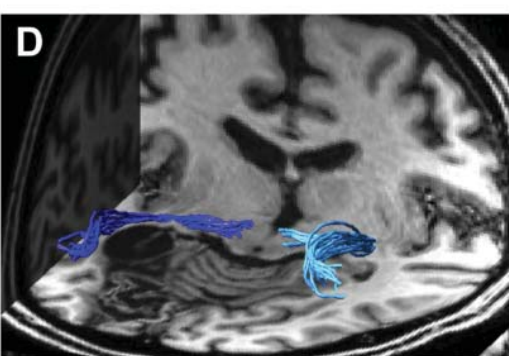
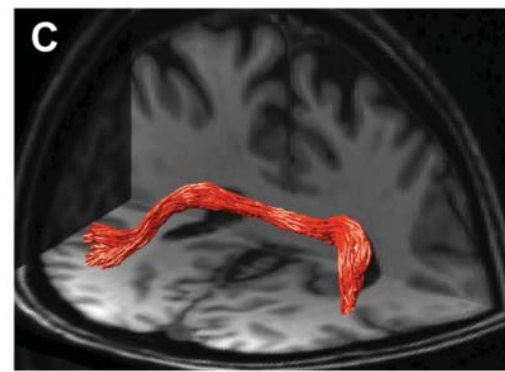
Blindsight positive patients



Blindsight negative patients



Controls



hMT+ > hMT+



Ipsilesional  
hemisphere  
SC > hMT+



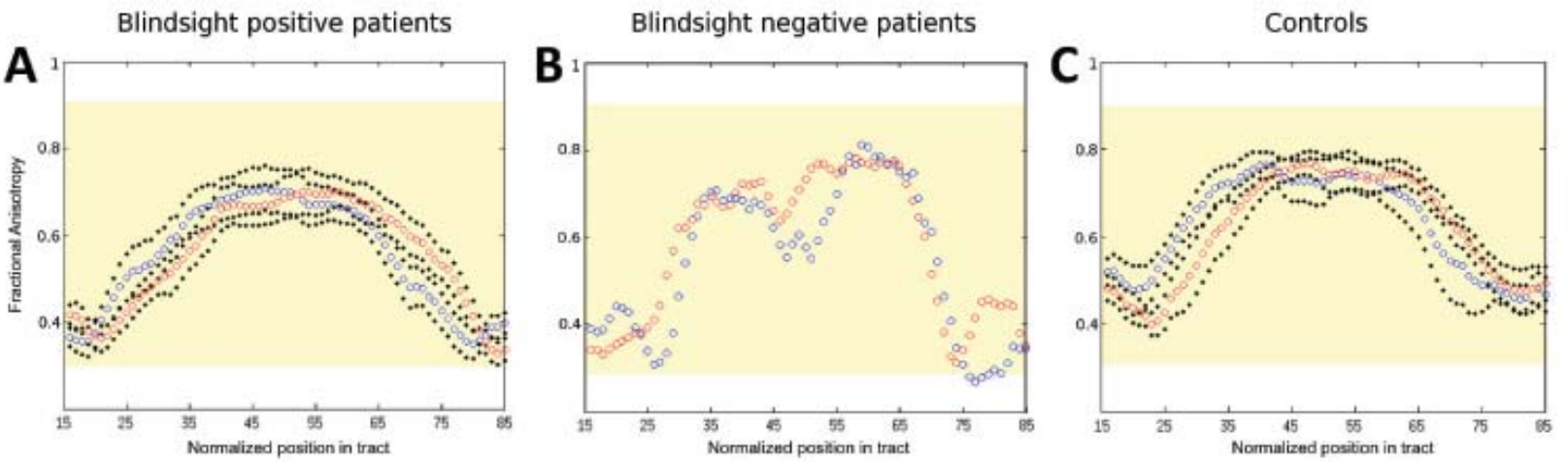
Intact hemisphere/  
controls  
SC > hMT+



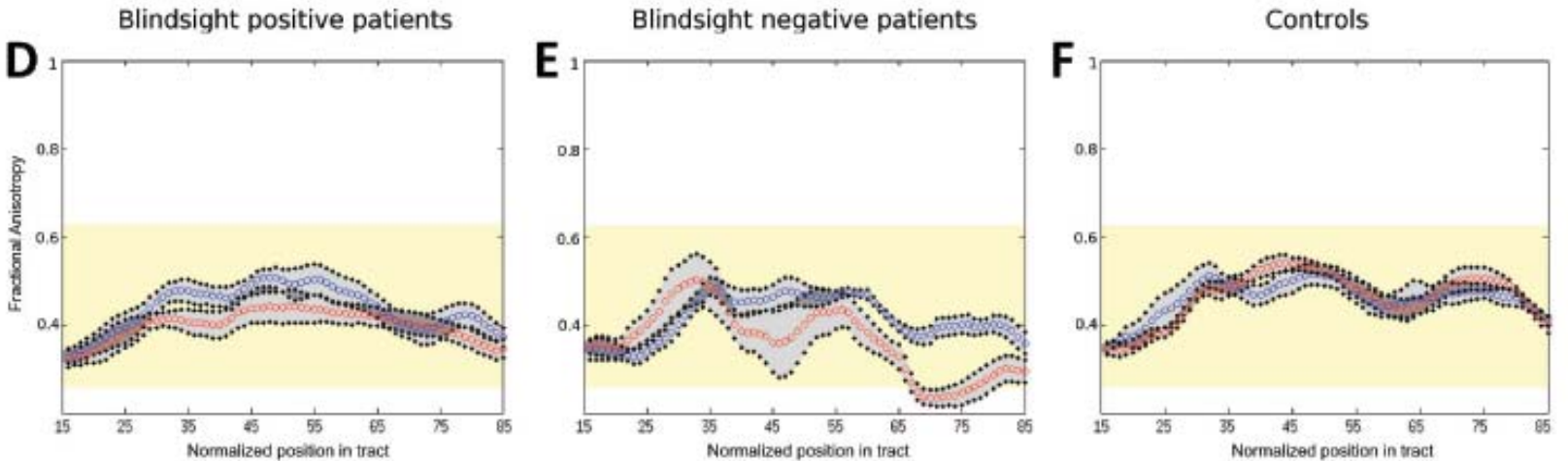


# Fractional Anisotropy along the (i) Interhemispheric hMT+ and (ii) Collicular-hMT+ pathways

## (i) hMT+ <-> hMT+ pathway



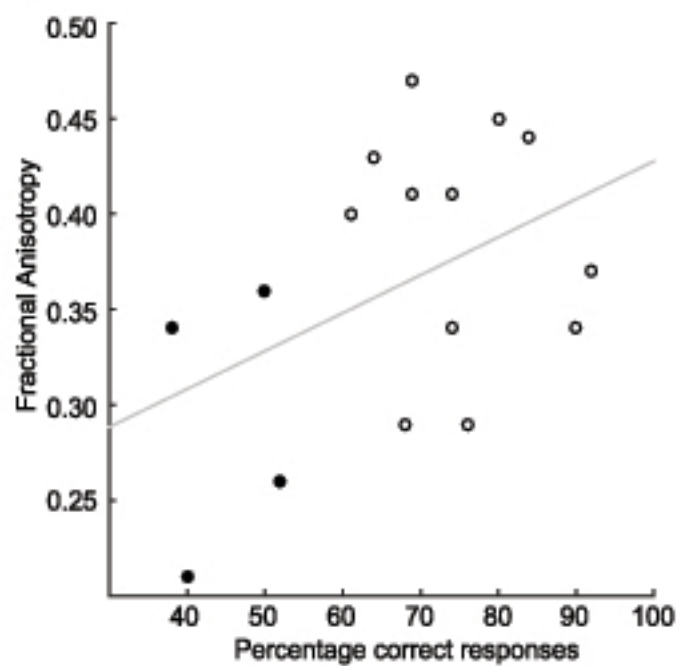
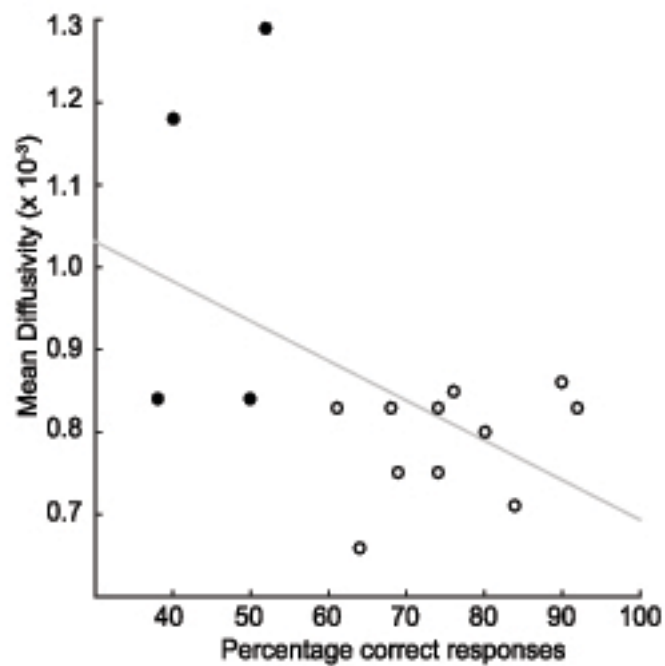
## (ii) SC <-> hMT+ pathway



Left > Right  
Right > Left  
Standard error

Intact hemisphere  
Ipsilesional hemisphere  
Standard error

Right hemisphere  
Left hemisphere  
Standard error

**A****B**

## A Lesion size

Patient	Total Lesion Volume
<b>Blindsight positive</b>	
<b>PB1</b>	20,224 mm <sup>3</sup>
<b>PB2</b>	7080 mm <sup>3</sup>
<b>PB3</b>	8752 mm <sup>3</sup>
<b>PB4</b>	28,736 mm <sup>3</sup>
<b>PB5</b>	16,432 mm <sup>3</sup>
<b>PB6</b>	4320 mm <sup>3</sup>
<b>PB7</b>	8408 mm <sup>3</sup>
<b>PB8</b>	8744 mm <sup>3</sup>
<b>PB9</b>	15,220 mm <sup>3</sup>
<b>PB10</b>	14,984 mm <sup>3</sup>
<b>PB11</b>	8584 mm <sup>3</sup>
<b>PB12</b>	20,048 mm <sup>3</sup>
<b>Blindsight negative</b>	
<b>PN1</b>	30,066 mm <sup>3</sup>
<b>PN2</b>	15,000 mm <sup>3</sup>
<b>PN3</b>	42,384 mm <sup>3</sup>
<b>PN4</b>	20,897 mm <sup>3</sup>
<b>PN5</b>	73,121 mm <sup>3</sup>

<span style="color: #4CAF50;">◆</span>	Blindsight positive
<span style="color: #000080;">◆</span>	Blindsight negative

## B Lesion location

