- 1 *Plasmodium* P36 determines host cell receptor usage during sporozoite invasion
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33 ABSTRACT

34 Plasmodium sporozoites, the mosquito-transmitted forms of the malaria parasite, first infect 35 the liver for an initial round of replication before the emergence of pathogenic blood stages. 36 Sporozoites represent attractive targets for antimalarial preventive strategies, yet the 37 mechanisms of parasite entry into hepatocytes remain poorly understood. Here we show that 38 the two main species causing malaria in humans, Plasmodium falciparum and Plasmodium 39 vivax, rely on two distinct host cell surface proteins, CD81 and the Scavenger Receptor BI 40 (SR-BI), respectively, to infect hepatocytes. By contrast, CD81 and SR-BI fulfil redundant 41 functions during infection by the rodent parasite P. berghei. Genetic analysis of sporozoite 42 factors reveals the 6-cysteine domain protein P36 as a major parasite determinant of host 43 cell receptor usage. Our data provide molecular insights into the invasion pathways used by 44 different malaria parasites to infect hepatocytes, and establish a functional link between a 45 sporozoite putative ligand and host cell receptors.

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48 **INTRODUCTION**

Hepatocytes are the main cellular component of the liver and the first replication niche for the malaria-causing parasite *Plasmodium*. Malaria begins with the inoculation of sporozoites into the host skin by infected *Anopheles* mosquitoes. Sporozoites rapidly migrate to the liver and actively invade hepatocytes by forming a specialized compartment, the parasitophorous vacuole (PV), where they differentiate into thousands of merozoites (1). Once released in the blood, merozoites invade and multiply inside erythrocytes, causing the malaria disease.

56 Under natural transmission conditions, infection of the liver is an essential, initial and 57 clinically silent phase of malaria, and therefore constitutes an ideal target for prophylactic 58 intervention strategies. However, the molecular mechanisms underlying *Plasmodium* 59 sporozoite entry into hepatocytes remain poorly understood. Highly sulphated proteoglycans 60 in the liver sinusoids are known to bind the circumsporozoite protein, which covers the 61 parasite surface, and contribute to the homing and activation of sporozoites (2,3). 62 Subsequent molecular interactions leading to sporozoite entry into hepatocytes have not 63 been identified yet. Several parasite proteins have been implicated, such as the 64 thrombospondin related anonymous protein (TRAP) (4), the apical membrane antigen 1 65 (AMA-1) (5), or the 6-cysteine domain proteins P52 and P36 (6-10), however their role 66 during sporozoite invasion remains unclear (11).

67 Our previous work highlighted the central role of the host tetraspanin CD81, one of 68 the receptors for the hepatitis C virus (HCV) (12), during *Plasmodium* liver infection (13). 69 CD81 is an essential host entry factor for human-infecting *P. falciparum* and rodent-infecting 70 P. yoelii sporozoites (13,14). CD81 acts at an early step of invasion, possibly by providing 71 signals that trigger the secretion of rhoptries, a set of apical organelles involved in PV 72 formation (15). Whereas CD81 binds the HCV E2 envelope protein (12), there is no evidence 73 for such a direct interaction between CD81 and *Plasmodium* sporozoites (13). Rather, we 74 proposed that CD81 acts indirectly, possibly by regulating an as yet unidentified receptor for 75 sporozoites within cholesterol-dependent tetraspanin-enriched microdomains (16,17).

Intriguingly, the rodent malaria parasite *P. berghei* can infect cells lacking CD81 (13,18),
however the molecular basis of this alternative entry pathway was until now totally unknown.

78 Another hepatocyte surface protein, the scavenger receptor BI (SR-BI), was shown to 79 play a dual role during malaria liver infection, first in promoting parasite entry and 80 subsequently its development inside hepatocytes (19,20). However, the contribution of SR-BI 81 during parasite entry is still unclear. SR-BI, which is also a HCV entry factor (21,22), binds 82 high-density lipoproteins with high affinity and mediates selective cellular uptake of 83 cholesteryl esters (23). Yalaoui et al. reported that SR-BI is involved indirectly during P. yoelii 84 sporozoite invasion, by regulating the levels of membrane cholesterol and the expression of 85 CD81 and its localization in tetraspanin-enriched microdomains (19). In another study, 86 Rodrigues et al. observed a reduction of P. berghei invasion of Huh-7 cells upon SR-BI 87 inhibition (20). Since CD81 is not required for P. berghei sporozoite entry into Huh-7 cells 88 (18), these results suggested a CD81-independent role for SR-BI. More recently, Foquet et 89 al. showed that anti-CD81 but not anti-SR-BI antibodies inhibit P. falciparum sporozoite 90 infection in humanized mice engrafted with human hepatocytes (24), questioning the role of 91 SR-BI during *P. falciparum* infection.

These conflicting results prompted us to revisit the contribution of SR-BI during *P*. *falciparum, P. yoelii* and *P. berghei* sporozoite infections. For the first time, we also explored the role of CD81 and SR-BI during hepatocyte infection by *P. vivax*, a widely distributed yet highly neglected cause of malaria in humans, for which the contribution of hepatocyte surface receptors has not been investigated to date.

97 Here, we show that SR-BI is an important entry factor for *P. vivax* but not for *P.* 98 *falciparum* or *P. yoelii* sporozoites. Remarkably, SR-BI and CD81 fulfil redundant functions 99 during host cell invasion by *P. berghei* sporozoites, which can use one or the other molecule. 100 We further investigated parasite determinants associated with host cell receptor usage. We 101 show that genetic depletion of P52 and P36, two members of the *Plasmodium* 6-cysteine 102 domain protein family, abrogates sporozoite productive invasion and mimics the inhibition of 103 CD81 and/or SR-BI entry pathways, in both *P. berghei* and *P. yoelii*. Finally, we identify P36

- as the molecular driver of *P. berghei* sporozoite entry via SR-BI. Our data, by revealing a functional link between parasite and host cell entry factors, pave the way towards the identification of ligand-receptor interactions mediating *Plasmodium* infection of hepatocytes, and open novel perspectives for preventive and therapeutic approaches.
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110 **RESULTS**

111 Antibodies against SR-BI inhibit *P. vivax* but not *P. falciparum* or *P. yoelii* sporozoite

112 infection

113 To evaluate the contribution of CD81 and SR-BI during P. vivax infection, we tested 114 the effects of neutralizing CD81- and/or SR-BI- specific antibodies on P. vivax sporozoite 115 infection in primary human hepatocyte cultures (25). A monoclonal antibody (mAb) against 116 the main extracellular domain of CD81, previously shown to inhibit P. falciparum sporozoite 117 infection (13), had no effect on the number of P. vivax-infected cells in vitro (Figure 1A). In 118 sharp contrast, a mouse mAb specific for SR-BI (26) greatly reduced infection, with no 119 additive effect of anti-CD81 antibodies (Figure 1A). The same inhibitory effect was observed 120 using polyclonal anti-SR-BI antibodies (Figure 1B). We performed the same experiments 121 with P. falciparum sporozoites and found that anti-CD81 but not anti-SR-BI antibodies inhibit 122 P. falciparum infection in vitro (Figure 1C), in agreement with the in vivo data from Foguet et 123 al (24). These data strongly suggest that P. vivax and P. falciparum sporozoites use distinct 124 entry pathways to infect hepatocytes, reminiscent of the differences between P. berghei and 125 P. yoelii (13).

126 Two distinct populations of *P. vivax* exo-erythrocytic forms (EEFs) could be 127 distinguished in the infected cultures, large EEFs representing replicating schizonts, and 128 small EEFs that may correspond to hypnozoites (27) (*Figure 1D*). Anti-SR-BI antibodies 129 reduced the numbers of large and small EEFs to the same extent (*Figure 1E*), suggesting an 130 effect on sporozoite invasion rather than on parasite intracellular development.

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132 SR-BI is required for productive invasion of CD81-null cells by *P. berghei* sporozoites

In order to investigate in more details the role of SR-BI during sporozoite entry, we used the more tractable rodent malaria parasite *P. berghei*. Indeed, *P. berghei* sporozoites readily infect HepG2 cells, which lack CD81 (28,29) but express high levels of SR-BI (*Figure 2-figure supplement 1*), raising the possibility that this rodent parasite uses a SR-BI route to infect CD81-null cells. To test this hypothesis, *P. berghei* sporozoites constitutively

expressing GFP (PbGFP) (30) were incubated with HepG2 cells in the presence of increasing concentrations of polyclonal anti-SR-BI rabbit antibodies. We observed a dramatic and dose-dependent reduction of the number of EEF-infected cells induced by anti-SR-BI antibodies (*Figure 2A*). Quantification of host cell invasion by FACS demonstrated that the rabbit anti-SR-BI antibodies block infection at the invasion step (*Figure 2B*). Similar results were obtained with polyclonal rat antibodies and a mouse mAb directed against human SR-BI (*Figure 2-figure supplement 2*).

145 As a complementary approach, we used small interfering RNA (siRNA) to specifically 146 knockdown SR-BI expression in HepG2 cells (Figure 2D). SR-BI silencing caused a 147 dramatic reduction of the number of EEF-infected cells (*Figure 2E*), but had no significant 148 effect on the intracellular development of the few invaded parasites (Figure 2F). Plasmodium 149 sporozoites migrate through several cells before invading a final one inside a PV (31). 150 Sporozoite cell traversal was increased in SR-BI-depleted HepG2 cells, as compared to the 151 control (*Figure 2-figure supplement 3*). This is likely due to the robust cell traversal activity 152 of *P. berghei* sporozoites, which continue to migrate through cells when productive invasion 153 is impaired. Altogether, these data establish that SR-BI is a major entry factor for *P. berghei* 154 sporozoites in CD81-null HepG2 cells.

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156 CD81 and SR-BI play redundant roles during *P. berghei* sporozoite invasion

157 We next tested whether the presence of CD81 would affect SR-BI function during P. 158 berghei sporozoite infection. We monitored invasion and replication of P. berghei sporozoites 159 in HepG2 cells genetically engineered to express CD81 (HepG2/CD81) (Figure 2-figure 160 supplement 1) (14), in the presence of anti-SR-BI and/or anti-CD81 antibodies. Strikingly, 161 unlike in CD81-null HepG2 cells, anti-SR-BI antibodies had no inhibitory effect on P. berghei 162 infection in HepG2/CD81 cells (Figure 3A). Remarkably, whilst neither anti-SR-BI nor anti-163 CD81 antibodies alone had any significant impact on invasion (Figure 3A) or parasite 164 intracellular development (Figure 3-figure supplement 1), the combination of CD81 and 165 SR-BI antibodies markedly reduced the number of infected cells (Figure 3A). Similarly,

siRNA-mediated silencing of either CD81 or SR-BI alone had no effect on infection, whereas
 simultaneous silencing of both factors greatly reduced infection (*Figure 3B*).

168 Blocking both CD81 and SR-BI was associated with an increase in cell traversal 169 activity (*Figure 3-figure supplement 1*), suggesting that the concomitant neutralization of 170 the two host factors prevented commitment to productive invasion. To directly test this 171 hypothesis, we analysed the invasion kinetics of PbGFP sporozoites in HepG2/CD81 cells, in 172 the presence of anti-SR-BI and/or anti-CD81 neutralizing antibodies. We have shown that in 173 vitro sporozoite invasion follows a two-step kinetics (32), with initially low invasion rates at 174 early time points, reflecting cell traversal activity, followed by a second phase of productive 175 invasion associated with PV formation. In HepG2/CD81 cells, the invasion kinetics of P. 176 berghei sporozoites in the presence of anti-SR-BI or anti-CD81 specific antibodies were 177 comparable to those of the control without antibody (Figure 3C). In sharp contrast, blocking 178 both CD81 and SR-BI simultaneously suppressed the second phase of productive invasion 179 (Figure 3C). Based on these results, we conclude that SR-BI and CD81 are involved in the 180 commitment to productive entry.

181 P. berghei sporozoites infect mouse hepatocytic Hepa1-6 cells via a CD81-dependent 182 pathway, as shown by efficient inhibition of infection by CD81 specific antibodies or siRNA 183 (18). Interestingly, we failed to detect SR-BI in Hepa1-6 cells (Figure 3D), providing a 184 plausible explanation as to why CD81 is required for *P. berghei* infection in this model. We 185 tested whether ectopic expression of SR-BI would rescue P. berghei infection of Hepa1-6 186 cells upon silencing of endogenous murine CD81 by siRNA. CD81-silenced Hepa1-6 cells 187 were transiently transfected with plasmids encoding human SR-BI or CD81, before exposure 188 to P. berghei sporozoites. The number of infected cells was greatly reduced in CD81-189 silenced cells as compared to control non-silenced cells (Figure 3E), in agreement with our 190 previous observations (18). Remarkably, transfection of either human CD81 or human SR-BI 191 was sufficient to rescue infection in CD81-silenced cells (*Figure 3E*), demonstrating that the 192 two receptors can independently perform the same function to support *P. berghei* infection.

193 Collectively, our data provide direct evidence that CD81 and SR-BI play redundant roles

194 during productive invasion of hepatocytic cells by *P. berghei* sporozoites.

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196 *P. yoelii* sporozoites require host CD81 but not SR-BI for infection

We next investigated the contribution of SR-BI to *P. yoelii* sporozoite infection in HepG2/CD81 cells. *P. yoelii* infection was dramatically reduced by anti-CD81 antibodies, consistent with our previous work (14), but strikingly was not affected by anti-SR-BI antibodies (*Figure 4A*). In addition, *P. yoelii* infection was not affected by siRNA-mediated silencing of SR-BI, but was almost abolished upon knockdown of CD81 (*Figure 4B*). These results indicate that CD81 but not SR-BI plays a central role during *P. yoelii* sporozoite invasion in HepG2/CD81 cells, similarly to *P. falciparum* in human hepatocytes.

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The 6-cysteine domain proteins P52 and P36 are required for sporozoite productive invasion irrespective of the host cell entry pathway

207 The data above show that *P. berghei* sporozoites can use either CD81 or SR-BI to 208 infect hepatocytic cells, whereas P. yoelii utilizes CD81 but not SR-BI, suggesting that one or 209 several P. berghei factors may be specifically associated with SR-BI usage. Among potential 210 candidate parasite factors involved in sporozoite entry, we focused on the 6-cysteine domain 211 proteins P52 and P36, two micronemal proteins of unknown function previously implicated 212 during liver infection (6–10,33,34). To facilitate monitoring of the role of P52 and P36 during 213 host cell invasion, we used a Gene Out Marker Out (GOMO) strategy (30) to generate highly 214 fluorescent p52/p36-knockout parasite lines in P. berghei (Figure 5-figure supplement 1) 215 and *P. yoelii* (*Figure 5-figure supplement 2*). Pure populations of GFP-expressing, drug 216 selectable marker-free Pb $\Delta p52/p36$ and Py $\Delta p52/p36$ blood stage parasites were obtained 217 and transmitted to mosquitoes in order to produce sporozoites.

218 Analysis of the kinetics of Pb $\Delta p52/p36$ sporozoite invasion by FACS, in comparison 219 to control PbGFP sporozoites, revealed that genetic ablation of p52/p36 abrogates 220 sporozoite productive invasion of HepG2 (SR-BI-dependent entry pathway) and Hepa1-6

221 cells (CD81-dependent entry pathway) (*Figure 5A and 5B*). PbΔp52/p36 sporozoite invasion 222 followed similar kinetics to those observed for PbGFP sporozoites upon blockage of SR-BI or 223 CD81, respectively, and was not modified by addition of anti-SRBI or anti-CD81 antibodies. 224 Using antibodies specific for UIS4, a marker of the PV membrane (PVM) that specifically 225 labels productive vacuoles (32,35), we confirmed that PbGFP but not $Pb\Delta p52/p36$ parasites 226 could form replicative PVs, in both HepG2 and HepG2/CD81 cells (*Figure 5C*). In both cell 227 types, only very low numbers of EEFs were observed with $Pb\Delta p52/p36$ parasites (Figure 228 5D), all of which were seemingly intranuclear and lacked a UIS4-labeled PVM (Figure 5E). 229 We have shown before that intranuclear EEFs result from cell traversal events (14). 230 Altogether these data demonstrate that $Pb\Delta p52/p36$ sporozoites fail to productively invade 231 host cells, irrespective of the entry route. Similar results were obtained with a P. berghei 232 $\Delta p36$ single knockout line using the GOMO strategy (*Figure 5-figure supplement 3*). 233 $Pb\Delta p36$ sporozoites did not invade HepG2 cells or HepG2/CD81 cells, reproducing a similar 234 phenotype as PbGFP parasites in the presence of anti-CD81 and anti-SR-BI neutralizing 235 antibodies (Figure 5-figure supplement 4).

236 We then examined the kinetics of *P. yoelii* $\Delta p52/p36$ sporozoite invasion, in 237 comparison to those of PyGFP sporozoites, in HepG2/CD81 versus HepG2 cells. 238 $Py\Delta p52/p36$ sporozoites showed a lack of productive invasion in HepG2/CD81 cells, 239 reproducing the invasion kinetics of PyGFP in the CD81-null HepG2 cells (Figure 5F). The 240 $Py\Delta p52/p36$ mutant failed to form PV in HepG2/CD81 cells (*Figure 5G*), where only 241 intranuclear EEFs lacking a UIS4-labeled PVM were observed, similarly to the control 242 PyGFP parasites in HepG2 cells (Figure 5H and 5I).

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Altogether, these results reveal that P52 and/or P36 are required for sporozoite 244 productive invasion, in both *P. berghei* and *P. yoelii*, irrespective of the entry route.

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246 P36 is a key determinant of host cell receptor usage during sporozoite invasion

247 We further sought to investigate whether P52 and/or P36 proteins contribute to the 248 selective usage of host cell receptors by different sporozoite species. We designed a trans-

249 species genetic complementation strategy in which copies of *P. berghei* (Pb), *P. yoelii* (Py), 250 *P. falciparum* (Pf) or *P. vivax* (Pv) P52 and P36 were introduced in the $\Delta p52/p36$ parasites. 251 For this purpose, we used centromeric plasmid constructs for stable expression of the 252 transgenes from episomes (36). Complementing $Pb\Delta p52/p36$ sporozoites with PbP52 and 253 PbP36 restored sporozoite infectivity to both HepG2 and HepG2/CD81 cells (Figure 6A), 254 where the parasite formed UIS4-positive vacuoles (Figure 6B, 6C and 6D), confirming that 255 genetic complementation was efficient. Remarkably, complementation of PbAp52/p36 with 256 PyP52 and PyP36 restored infection in HepG2/CD81 but not in HepG2 cells (*Figure 6A*), 257 where only low numbers of UIS4-negative intranuclear EEFs were observed (Figure 6B and 258 **6D**). Thus, the concomitant replacement of PbP52 and PbP36 by their *P. yoelii* counterparts 259 reproduced a *P. yoelii*-like invasion phenotype in chimeric *P. berghei* sporozoites, indicating 260 that P52 and/or P36 contribute to the selective usage of a CD81-independent entry pathway 261 in P. berghei sporozoites. Complementation of PbDp52/p36 parasites with either P. 262 falciparum or P. vivax P52 and P36 coding sequences did not restore infectivity of transgenic 263 sporozoites, not only in HepG2 and HepG2/CD81 cells (*Figure 6-figure supplement 1A*), 264 but also in primary human hepatocytes, the most permissive cellular system for human 265 malaria sporozoites in vitro (Figure 6-figure supplement 1B). Hence it was not possible 266 using this approach to assess the function of P. falciparum or P. vivax P52 and P36 in 267 transgenic P. berghei sporozoites.

268 We next dissected the individual contribution of P52 and P36 by complementing 269 $Pb\Delta p52/p36$ parasites with mixed combinations of either PyP52 and PbP36 or PbP52 and 270 PyP36. This approach revealed that P36 determines the ability of *P. berghei* sporozoites to 271 enter cells via a CD81-independent route. Indeed, $Pb\Delta p52/p36$ complemented with PyP52 272 and PbP36 infected both HepG2/CD81 and HepG2 cells (Figure 6A), forming UIS4-labeled 273 PVs in both cell types (Figure 6B, 6C and 6D). P52 therefore is not responsible for the 274 phenotypic difference between *P. berghei* and *P. yoelii*. In sharp contrast, complementation 275 of Pb $\Delta p52/p36$ with PbP52 and PyP36 restored sporozoite infectivity to HepG2/CD81 but not 276 HepG2 cells, thus reproducing a P. yoelii-like invasion phenotype (Figure 6A, 6B and 6D).

277 In reciprocal experiments, we analysed whether expression of PbP36 would be 278 sufficient to allow P. yoelii sporozoites to invade CD81-null cells. For this purpose, we 279 performed genetic complementation experiments in $Py\Delta p52/p36$ parasites, using the same 280 constructs employed with the *P. berghei* mutant. Strikingly, whilst HepG2 cells are normally 281 refractory to P. yoelii productive invasion, complementation with P. berghei P52 and P36 282 protein was sufficient to confer chimeric P. yoelii mutants the capacity to infect HepG2 cells 283 (*Figure 7A*). Most importantly, $Py\Delta p52/p36$ parasites complemented with PyP52 and PbP36 284 infected both HepG2 and HepG2/CD81 cells (*Figure 7A*). In particular, $Py\Delta p52/p36$ 285 parasites expressing PbP36 became capable of forming UIS4-positive PVs in HepG2 cells 286 (Figure 7B). Thus, the transgenic expression of PbP36 appears to be sufficient to 287 recapitulate a P. berghei-like invasion phenotype in P. yoelii sporozoites. In contrast, 288 complementation of $Py\Delta p52/p36$ parasites with PbP52 and PyP36 restored sporozoite 289 infectivity in HepG2/CD81 cells only, but not in HepG2 cells (Figure 7A and 7B). This 290 confirms that P52, although essential for sporozoite entry, is not directly associated with host 291 receptor usage. Finally, invasion of PbP36-expressing $Py\Delta p52/p36$ sporozoites was 292 abrogated by anti-SR-BI antibodies in HepG2 cells (Figure 7C), demonstrating that P. 293 berghei P36 is a key determinant of CD81-independent entry via SR-BI.

295 **DISCUSSION**

296 Until now, the nature of the molecular interactions mediating *Plasmodium* sporozoite 297 invasion of hepatocytes has remained elusive. Previous studies have identified CD81 and 298 SR-BI as important host factors for infection of hepatocytes (13,19,20). Still, the relation 299 between CD81 and SR-BI and their contribution to parasite entry was unclear (18,24), and, 300 importantly, parasite factors associated with CD81 or SR-BI usage had not been identified. 301 Here we demonstrate that CD81 and SR-BI define independent entry pathways for 302 sporozoites, and identify the parasite protein P36 as a critical parasite factor that determines 303 host receptor usage during hepatocyte infection.

304 Our data provide molecular insights into the host entry pathways used by different 305 sporozoite species (Figure 8A). We show that P. falciparum, like P. yoelii, relies on CD81 306 but not SR-BI, in agreement with the recent observation that antibodies against CD81 but not 307 anti-SR-BI induce protection in humanized mice infected with P. falciparum (24). Our results 308 are also consistent with the study from Yalaoui et al. showing that in primary mouse 309 hepatocytes antibodies against SR-BI do not inhibit P. yoelii infection when co-incubated 310 together with sporozoites (19). In the same study, the authors proposed a model where SR-311 BI indirectly contributes to P. yoelii infection through regulation of membrane cholesterol and 312 CD81 expression, however our data in the HepG2/CD81 cell model with both P. yoelii and P. 313 berghei clearly rule out a role of SR-BI during CD81-dependent sporozoite entry. For the first 314 time, we also analysed the role of host factors during P. vivax sporozoite infection. We found 315 that, in contrary to *P. falciparum*, antibodies against SR-BI but not against CD81 inhibit 316 infection of primary human hepatocyte cultures by P. vivax sporozoites, illustrating that the 317 two main species causing malaria in humans use distinct routes to infect hepatocytes.

318 SR-BI and CD81 both have been shown to bind the HCV envelope glycoprotein E2 319 (12,21,22), and act in a sequential and cooperative manner to mediate virus entry (37), 320 together with several additional entry factors (38–40). By contrast, as shown here, SR-BI and 321 CD81 operate independently during *Plasmodium* sporozoite infection. Remarkably, *P.* 322 *berghei* can use alternatively CD81 or SR-BI to infect cells, which is surprising given that

323 CD81 and SR-BI are structurally unrelated. CD81 belongs to the family of tetraspanins, 324 which are notably characterized by their propensity to dynamically interact with other 325 membrane proteins and organize tetraspanin-enriched membrane microdomains (41). CD81 326 might play an indirect role during *Plasmodium* sporozoite entry, possibly by interacting with 327 other host receptors within these microdomains (16,17,42). Interestingly, SR-BI is structurally 328 related to CD36 (43), which is known to bind several Plasmodium proteins, including P. 329 falciparum erythrocyte membrane protein 1 (PfEMP1) in the context of cytoadherence of 330 infected erythrocytes to endothelial cells (44–46). CD36 was also reported to interact with P. 331 falciparum sequestrin (also called LISP2) (47), a member of the 6-cys protein family involved 332 in parasite liver stage development (48,49), and is a major determinant of *P. berghei* asexual 333 blood stage sequestration (50). CD36 was shown to be dispensable for mouse hepatocyte 334 infection by P. yoelii and P. berghei sporozoites (51). However, it is conceivable that SR-BI, 335 which shares a similar 3D structure as CD36 (43), may interact with parasite proteins 336 expressed by sporozoites, such as the 6-cys protein P36.

337 The 6-cys protein family is characterized by the presence of a cysteine-rich domain, 338 the 6-cysteine (6-cys) or s48/45 domain. *Plasmodium* spp. possess a dozen 6-cys proteins, 339 which perform important functions in different life cycle stages, and are often located on the 340 parasite surface, consistent with a role in cellular interactions (49). Previous studies have 341 shown that *Plasmodium* P52 and P36 are crucial for infection of the liver by sporozoites (6-342 8), although it remained unclear whether their role was at the sporozoite entry step (7,10) or 343 for maintenance of the PV post-entry (6,33,34). It should be noted that standard invasion 344 assays, as performed in these studies, do not distinguish between sporozoite productive 345 entry and non-productive invasion events associated with cell traversal, complicating the 346 interpretation of phenotypic analysis of the mutants. Here, using GFP-expressing p52 and 347 p36 mutants and a robust FACS-based invasion assay (32), we unequivocally establish that 348 P. yoelii and P. berghei sporozoites lacking P52 and P36 efficiently migrate through cells but 349 do not commit to productive invasion, reproducing the phenotype observed upon blockage of 350 CD81 or SR-BI.

351 Using a trans-species genetic complementation strategy, we identified P36 as a 352 crucial parasite determinant of host receptor usage. Our data, combined with previous 353 studies (6–9), demonstrate that both P36 and P52 are necessary for sporozoite infection of 354 hepatocytes, irrespective of the invasion route used by the parasite. Our study now reveals 355 that P36 but not P52 is responsible for the phenotypic differences between P. berghei and P. 356 yoelii sporozoites regarding host cell entry pathways. Importantly, P. berghei P36 mediates 357 sporozoite entry via either CD81 or SR-BI, whereas P. yoelii P36 only supports CD81-358 dependent invasion (*Figure 8B*). These results strongly suggest that PbP36 contains specific 359 structural determinants that confer the ability of the protein to interact with SR-BI or SR-BI-360 dependent molecules. P. berghei and P. yoelii P36 proteins share 88% identity and 97% 361 similarity in their amino acid sequence (*Figure 8-figure supplement 1*). Structural modelling 362 of PbP36, using the crystal structure of the 6-cys protein PfP12 (52) as a template, shows a 363 typical beta sandwich fold for each of the tandem 6-cysteine domains (Figure 8C). Most of 364 the divergent residues between PbP36 and PyP36 are located in the second 6-cys domain 365 (Figure 8-figure supplement 1), including in a loop located between the third and fourth 366 cysteine residues. In this particular loop, PfP36 and PvP36 contain an inserted sequence of 367 21 and 3 amino acids, respectively, which may affect their binding properties and functions.

368 Single gene deletions of p52 or p36 result in similar phenotypes as p52/p36 double 369 knockouts, suggesting that the two proteins act in concert (6-8,10). In P. falciparum blood 370 stages, the two 6-cys proteins P41 and P12 interact to form stable heterodimers on the 371 surface of merozoites (52-54). Whilst P12 is predicted to be GPI-anchored, P41 lacks a 372 membrane-binding domain, similarly to P36. By analogy, we hypothesize that P36 may form 373 heterodimers with other GPI-anchored 6-cys proteins, including P52. Our data show that 374 complementation of Pb $\Delta p52/p36$ parasites with P52 and P36 from *P. falciparum* or *P. vivax* 375 does not restore sporozoite infectivity, supporting the idea that other yet unidentified parasite 376 factors cooperate with P52 and P36 during invasion. In addition to P52 and P36, sporozoites 377 express at least three other 6-cys proteins, B9, P12p and P38 (55,56). Whereas gene 378 deletion of p38 causes no detectable phenotypic defect in P. berghei (57), B9 has been

shown to play a critical role during liver stage infection, not only in *P. berghei* but also in *P. yoelii* and *P. falciparum* (49). Whether B9, P38 and P12p associate with P52 and/or P36 and
contribute to sporozoite invasion still deserves further investigations.

382 Several 6-cys proteins have been implicated in molecular interactions with host 383 factors. As mentioned above, sequestrin was reported to interact with CD36 (47), although 384 the functional relevance of this interaction remains to be determined, as sequestrin is only 385 expressed towards the end of liver stage development (48). Recent studies have shown that 386 P. falciparum merozoites evade destruction by the human complement through binding of 387 host factor H to the 6-cys protein Pf92 (58,59). Pfs47 expressed by P. falciparum ookinetes 388 plays a critical role in immune evasion in the mosquito midgut, by suppressing nitration 389 responses that activate the complement-like system (60,61). Pfs47 was proposed to act as a 390 "key" that allows the parasite to switch off the mosquito immune system by interacting with 391 yet unidentified mosquito receptors ("lock") (62). By analogy, based on our results, one could 392 envisage P36 as a crucial determinant of a sporozoite "key" that opens SR-BI and/or CD81-393 dependent "locks" for entry into hepatocytes.

394 The function of P36 interaction with host cell receptors remains to be defined. P36 395 binding to SR-BI and/or CD81, either directly or indirectly, may participate in a signalling 396 cascade that triggers rhoptry secretion and assembly of the moving junction, key events 397 committing the parasite to host cell entry (63). Alternatively, P36 may induce signalling in the 398 host cell by acting on SR-BI or other hepatocyte receptors. In this regard, Kaushansky et al. 399 recently reported that sporozoites preferentially invade host cells expressing higher levels of 400 the EphA2 receptor (9). Interestingly, this preference was still observed with p52/p36-401 deficient parasites, strongly suggesting that there is no direct link between EphA2 and 402 P52/P36-dependent productive invasion. However, the same study showed that P36 403 interferes with Ephrin A1-mediated EphA2 phosphorylation (9), raising the possibility that 404 P36 may affect EphA2 signalling indirectly, for example via SR-BI and the SRC pathway 405 (64,65).

406 In conclusion, our study reveals that host CD81 and SR-BI define two alternative 407 pathways in human cells for sporozoite entry. Most importantly, we identified the parasite 6-408 cysteine domain protein P36 as a key determinant of host receptor usage during infection. 409 These results pave the way toward the elucidation of the mechanisms of sporozoite invasion. 410 The identification of the parasite ligands that mediate host cell entry may provide potential 411 targets for the development of next-generation malaria vaccines. P36 is required for both 412 CD81- and SR-BI-dependent sporozoite entry, suggesting that it may represent a relevant 413 target in both P. falciparum and P. vivax. The understanding of host-parasite interactions 414 may also contribute to novel therapeutic approaches. SR-BI-targeting agents have entered 415 clinical development for prevention of HCV graft infection (66). Our data suggest that SR-BI-416 targeting strategies may be effective to prevent establishment of the liver stages of *P. vivax*, 417 including the dormant hypnozoite forms.

419 **METHODS**

420 Experimental animals, parasites and cells

421 We used wild type P. berghei (ANKA strain, clone 15cy1) and P. yoelii (17XNL strain, clone 422 1.1), and GFP-expressing PyGFP and PbGFP parasite lines, obtained after integration of a 423 GFP expression cassette at the dispensable p230p locus (30). P. berghei and P. yoelii blood 424 stage parasites were propagated in female Swiss mice (6-8 weeks old, from Janvier Labs). 425 Anopheles stephensi mosquitoes were fed on P. berghei or P. yoelii-infected mice using 426 standard methods (Ramakrishnan et al., 2013), and kept at 24°C and 21°C, respectively. P. 427 berghei and P. voelii sporozoites were collected from the salivary glands of infected 428 mosquitoes 21-28 or 14-18 days post-feeding, respectively. A. stephensi mosquitoes 429 infected with P. falciparum sporozoites (NF54 strain) were obtained from the Department of 430 Medical Microbiology, University Medical Centre, St Radboud, Nijmegen, the Netherlands. P. 431 vivax sporozoites were isolated from A. cracens mosquitoes, 15-21 days after feeding on 432 blood from infected patients on the Thailand-Myanmar border, as described (67). HepG2 433 (ATCC HB-8065), HepG2/CD81 (14) and Hepa1-6 cells (ATCC CRL-1830) were checked for 434 the absence of mycoplasma contamination and cultured at 37°C under 5% CO₂ in DMEM 435 supplemented with 10% fetal calf serum and antibiotics (Life Technologies), as described 436 (18). HepG2 and HepG2/CD81 were cultured in culture dishes coated with rat tail collagen I 437 (Becton-Dickinson, Le Pont de Claix, France). Primary human hepatocytes were isolated and 438 cultured as described previously (5).

439

440 *In vitro* infection assays

Primary human hepatocytes (5 x 10^4 per well in collagen-coated 96-well plates) were infected with *P. vivax* or *P. falciparum* sporozoites (3 x 10^4 per well), as described (5), and cultured for 5 days before fixation with cold methanol and immunolabeling of EEFs with antibodies specific for *Plasmodium* HSP70 (68). Nuclei were stained with Hoechst 33342 (Life Technologies). Host cell invasion by GFP-expressing *P. berghei* and *P. yoelii* sporozoites was monitored by flow cytometry (69). Briefly, hepatoma cells (3 x 10^4 per well in

collagen-coated 96-well plates) were incubated with sporozoites (5 x 10^3 to 1 x 10^4 per well). 447 448 At different time points, cell cultures were washed, trypsinized and analyzed on a Guava 449 EasyCyte 6/2L bench cytometer equipped with a 488 nm laser (Millipore), for detection of 450 GFP-positive cells. To assess liver stage development, HepG2 or HepG2/CD81 cells were 451 infected with GFP-expressing sporozoites and cultured for 24-36 hours before analysis either 452 by FACS or by fluorescence microscopy, after fixation with 4% PFA and staining with 453 antibodies specific for UIS4 (Sicgen) and Hoechst 33342. For antibody-mediated inhibition 454 assays, we used polyclonal antisera against human SR-BI raised after genetic immunization 455 of rabbits and rats (70,71), and monoclonal antibodies against human SR-BI (NK-8H5-E3) 456 (26), human CD81 (1D6, Abcam) or mouse CD81 (MT81)(16).

457

458 Small interfering RNA and plasmid transfection

459 We used small double stranded RNA oligonucleotides targeting human CD81 (5'-460 GCACCAAGTGCATCAAGTA-3'), human SR-BI (5'-GGACAAGTTCGGATTATTT-3') or 461 mouse CD81 (5'-CGTGTCACCTTCAACTGTA-3'). An irrelevant siRNA oligonucleotide 462 targeting human CD53 (5'-CAACTTCGGAGTGCTCTTC-3') was used as a control. 463 Transfection of siRNA oligonucleotides was performed by electroporation, as described (14). 464 Following siRNA transfection, cells were cultured for 48 hours before flow cytometry analysis 465 or sporozoite infection. Transfection of pcDNA3 plasmids encoding human CD81 (72) or SR-466 BI (70) was performed 24 hours after siRNA using the Lipofectamine 2000 reagent 467 (Invitrogen) according to the manufacturer's specifications. Following plasmid transfection, 468 cells were cultured for an additional 24 hours before sporozoite infection.

469

470 Constructs for targeted gene deletion of *p*52 and *p*36

471 PbΔ*p52p36* and PbΔ*p36* mutant parasites were generated using a "Gene Out Marker Out"
472 strategy (30). For generation of PbΔp52p36 parasites, a 5' fragment of PbP52 gene
473 (PBANKA_1002200) and a 3' fragment of PbP36 gene (PBANKA_1002100) were amplified
474 by PCR from P. berghei ANKA WT genomic DNA, using primers PbP52rep1for (5'-

475 (5'-TCCCCGCGGAATCGTGATGCTATGGATAACGTAACAC-3'), PbP52rep2rev 476 ATAAGAATGCGGCCGCAAAAAGAGACAAACACACTTTGTGAACACC-3'), PbP36rep3for 477 (5'-CCGCTCGAGTTAATATGTGATGTGTGTAGAAGAGTGAGG-3') and PbP36rep4rev (5'-478 GGGGTACCTTGATATACATGCAACTTTTCACATAGG-3'), and inserted into SacII/NotI and 479 Xhol/Kpnl restriction sites, respectively, of the GOMO-GFP vector1. For generation of 480 Pb∆p36 parasites, a 5' and a 3' fragment of PbP36 gene were amplified by PCR from P. 481 ANKA WT DNA, primers (5'berghei genomic using PbP36repAfor 482 AGCTGGAGCTCCACCGCGGGAAAAAAGGTTAACACATATATTGAAAAGC-3'), PbP52rep-483 484 TCG-3'), and PbP36repBfor (5'-ATTAATTTCACTCGAGTATGTGTGTGTGTGTAGAAGAGT-485 GAGG-3') and PbP36repBrev (5'-TATAGGGCGAATTGGGTACCGCACGCCGGAAAAATTA-486 CAATACAAATGG-3'), and inserted into SacII/NotI and XhoI/KpnI restriction sites, 487 respectively, of the GOMO-GFP vector using the In-Fusion HD Cloning Kit (Clontech).

488 For generation of $Py\Delta p52p36$ parasites, 5' and 3' fragments of PyP52 gene 489 (PY17X_1003600) and a 3' fragment of PyP36 gene (PY17X_1003500) were amplified by 490 PCR from P. yoelii 17XNL WT genomic DNA, using primers PyP52rep1for (5'-TCCCCG-491 CGGAATCGCCATGCTATGGATAGTGTAGC-3'), PyP52rep2rev (5'-ATAAGAATGCGGCC-492 GCCATTGAAGGGGGGAACAAATCGACG-3'), PyP52rep3for (5'-CCGCTCGAGTCAATAT-493 ATGCCCACTATTCGAATTTTTGG-3'), PyP52rep4rev (5'-GGGGTACCTTATTGATATGC-494 ATGCAACTTTCACATAGG-3'), PyP36repFor (5'-ATAAGAATGCGGCCGCAAAATGCAA-495 GGCGCCCGTTTAGAACC-3') and PyP36repRev (5'-CCGGAATTCACAAAAAGATGC-496 TACTGTGAAAAGCTCACC-3'). The fragments were inserted into SacII/NotI, XhoI/KpnI and 497 Notl/EcoRI restriction sites, respectively, of a GOMO vector backbone containing mCherry 498 and hDHFR-yFCU cassettes. The resulting targeting constructs were verified by DNA 499 sequencing (GATC Biotech), and were linearized with SacII and KpnI before transfection.

500 Wild type *P. berghei* ANKA blood stage parasites were transfected with *pbp52p36* and *pbp36* 501 targeting constructs using standard transfection methods (Janse et al., 2006). GFP-502 expressing parasite mutants were isolated by flow cytometry after positive and negative

selection rounds, as described(30). PyGFP blood stage parasites were transfected with a *pyp52pyp36* targeting construct and a GFP-expressing drug selectable marker-free Py $\Delta p52p36$ mutant line was obtained using a two steps "Gene Out Marker Out" strategy. Correct construct integration was confirmed by analytical PCR using specific primer combinations.

508

509 Constructs for genetic complementation of Δ*p*52*p*36 mutants

510 For genetic complementation experiments, we used centromeric plasmids to achieve stable 511 transgene expression from episomes (36). Complementation plasmids were obtained by 512 replacing the GFP cassette of pCEN-SPECT2 plasmid (kindly provided by Dr S. Iwanaga) 513 with a P52/P36 double expression cassette. Four complementing plasmids were generated, 514 allowing expression of PbP52/PbP36, PyP52/PyP36, PbP52/PyP36 or PyP52/PbP36.

515 The centromeric plasmid constructs were assembled by In-Fusion cloning of 4 fragments in 516 two-steps, into Kpnl/Sall restriction sites of the pCEN-SPECT2 plasmid. For this purpose, 517 fragments corresponding to the promoter region of PbP52 (insert A, 1.5 kb), the open 518 reading frame (ORF) of PbP52 (insert B, 1.7 kb), the 3' untranslated region (UTR) of PbP52 519 and promoter region of PbP36 (insert C, 1.5 kb), the ORF and 3' UTR of PbP36 (insert D, 2 520 kb), the promoter region of PyP52 (insert E, 1.5 kb), the open reading frame (ORF) of PyP52 521 (insert F, 1.7 kb), the 3' untranslated region (UTR) of PyP52 and promoter region of PyP36 522 (insert G, 1.6 kb), and the ORF and 3' UTR of PyP36 (insert H, 2 kb) were first amplified by 523 PCR from P. berghei or P. yoelii WT genomic DNA, using the following primers: Afor (5'-524 TATAGGGCGAATTGGGTACCTTCACATGCATAAACCCGAAGTGTGC-3'), (5'-Arev 525 GAAAAAAGCAGCTAGCTTGCTTTAATGTAGAAAAAATATTTATGGATTTGG-3'), Bfor (5'-526 ATTAAAGCAAGCTAGCAATATTACATTTGTGGTAAGGTAAAAC-3'), Brev (5'-GAAGAG-527 GTACCAAAAAGGTTTTGCCAAAATG-3'), Cfor (5'-TTTTGGTACCTCTTCTTCTTATTATGA-528 GG-3'), Crev (5'-GAAAAAAGCAGCTAGCAGAAAGAAACAACAGTTATCGTAATAAAG-3'), 529 Dfor (5'-GCTAGCTGCTTTTTTCTTGAATCGACAATTATAATACTGAGGC-3'), Drev (5'-530 TACAAGCATCGTCGACATTGCCATTACAATATGCTATAATCTG-3'), Efor (5'-TATAGG-

531 GCGAATTGGGTACCTGCACATGCATAAACTCGAAGTGTGC-3'), Erev (5'-AAAAAAGCAG-532 CTAGCTTGCTTTAATGTAGAAAAAATATTTATGTATTTGG-3'), Ffor (5'-ATTAAAGCAAGC-533 TAGAATATTGCATTTGTGGTAAGGCAAATC-3'), Frev (5'-GAAGACGTACCAAACATA-534 TTTTGCCAAAATG-3'), Gfor (5'-GTTTGGTACGTCTTCTTCTTATTATGAGG-3'), Grev (5'-535 GAAAAAAGCAGCTAGGATAACTGTCGATTCAAAGAAACAACC-3'), Hfor (5'-GCTAG-536 CTGCTTTTTTATACTTGAAGCATTTTTGTTGACTCTACC-3'), Hrev (5'-TACAAGCATCGT-537 CGACATTACCATTACGATATGCTATAATCTG-3'). Cloning of fragments A and D followed 538 by B and C into the pCEN vector resulted in the PbP52/PbP36 complementation plasmid. 539 Cloning of fragments E and H followed by F and G into the pCEN vector resulted in the 540 PyP52/PyP36 complementation plasmid. Cloning of fragments A and D followed by F and G 541 into the pCEN vector resulted in the PyP52/PbP36 complementation plasmid. Cloning of 542 fragments E and H followed by B and C into the pCEN vector resulted in the PbP52/PyP36 543 complementation plasmid. The centromeric plasmids for expression of P. falciparum and P. 544 vivax P52 and P36 were assembled by In-Fusion cloning of 5 fragments in two steps. For 545 this purpose, fragments corresponding to the promoter region of PbP52 (insert B1, 1.9 kb), 546 the 3' UTR of *PbP52* and promoter region of *PbP36* (insert B2, 1.6 kb), the 3' UTR of *PbP36* 547 (insert B3, 2 kb), the ORF of PfP52 (insert F1, 1.4 kb), the ORF of PfP36 (insert F2, 1.1 kb), 548 the ORF of PvP52 (insert V1, 1.4 kb) and the ORF of PvP36 (insert V2, 1.1 kb), were first 549 amplified by PCR from P. berghei, P. falciparum or P. vivax genomic DNA, using the 550 following primers: B1for (5'-TATAGGGCGAATTGGGTACCTTCACATGCATAAACCCGAA-551 GTGTGC-3'), B1rev (5'-GCTAGCTTACTATTATTCTCAAAATGTGTATCACATTG-3'), B2for 552 (5'-ATCACAATA-TGTGCATAGTGTCAATATGCC-3'), B2rev (5'-553 (5'-B3for 554 TAATAGTAAGCTAGCTATGTGATGTGTGTAGAAGAGTG-AGGGAG-3'), B3rev (5'-555 TACAAGCATCGTCGACATTGCCATTACAAT-ATGCTATAATCTG-3'), (5'-F1for 556 ATAATAGTAAGCTAGCAAAATGTATGTATTG-GTGCTTATTCATATGTG-3'), (5'-F1rev 557 558 F2for (5'-TTATTTTTTTGATTATGGCTTATAATATTTGGGAGGAATATATAA-TGG-3'),

559 560 V1for (5'-ATAATAGTAAGCTAGCAAAATGAGGCGGATTCTGCTGGGCTG-CTTGG-3'), 561 V1rev (5'-TGCACATATTGTGATTTACAGGG-ACGAGAAACCCGCGTAG-3'), V2for (5'-562 TTATTTTTTTGATTATGAGCACATGCCTTC-CAGTAGTGTGG-3'), (5'and V2rev 563 ACATCACATAGCTAGCTCACACCGCTTCAACC-GCTGCG-3'). An intermediate vector was 564 first assembled by cloning inserts B1 and B3 into Kpnl/Sall restriction sites of the pCEN-565 SPECT2 plasmid. Subsequently, In-Fusion cloning of inserts F1, B2 and F2 or inserts V1, B2 566 and V2 into the *Nhe*l restriction site of the intermediate vector resulted into PfP52/PfP36 and 567 PvP52/PvP36 expression centromeric plasmids, respectively. All constructs were verified by 568 DNA sequencing (GATC Biotech) before transfection.

569

570 **Parasite transfection and selection**

571 For double crossover replacement of P52 and P36 genes and generation of the Pb $\Delta p52p36$, 572 Pb $\Delta p36$ and Py $\Delta p52p36$ parasite lines, purified schizonts of wild type *P. berghei* ANKA or 573 PyGFP were transfected with 5-10 µg of linearized construct by electroporation using the 574 AMAXA NucleofectorTM device (program U33), as described elsewhere (73), and 575 immediately injected intravenously in the tail of one mouse. The day after transfection, 576 pyrimethamine (70 or 7 mg/L for P. berghei and P. yoelii, respectively) was administrated in 577 the mouse drinking water, for selection of transgenic parasites. Pure transgenic parasite 578 populations were isolated by flow cytometry-assisted sorting of GFP and mCherry-579 expressing blood stage parasites FACSAria II (Becton-Dickinson), transferred into naïve 580 mice, treated with 1 mg/ml 5-fluorocytosine (Meda Pharma) in the drinking water, and sorted 581 again for selection of GFP+ parasites only, as described (30). In the case of the $Py\Delta p52p36$ 582 mutant, GFP⁺ mCherry⁺ recombinant parasites were first cloned by injection of limiting 583 dilutions into mice prior to the negative selection step. For genetic complementation of the 584 mutants, purified schizonts of Pb $\Delta p52p36$ and Py $\Delta p52p36$ parasites were transfected with 5 585 µg of centromeric plasmids, followed by positive selection of transgenic parasites with 586 pyrimethamine, as described above.

587

588 Parasite genotyping

589 Parasite genomic DNA was extracted using the Purelink Genomic DNA Kit (Invitrogen), and 590 analysed by PCR using primer combinations specific for WT and recombined loci. For 591 genotyping of Pb $\Delta p52p36$ parasites, we used primer combinations specific for the WT Pbp52592 (5'-AATGAGATGTCAAAAAATATAGTGCTTCC-3' 5'locus and 593 AAATGAGCAGTTTCTTCTACG-TTGTTTCC-3'), for the 5' region of the recombined locus 594 5'-(5'-TATGTTTGGAATATCAGG-ACAAGGCATGG-3' and 595 TAATAATTGAGTCTTTAGTAACGAATTGCC-3'), and for the 3' region of the recombined 596 locus (5'-ATCGTGGAACAGTACGAACGCGCCGAGG-3' 5'before and 597 ATTGGACGTTTATTATTATTGCAAAAGCG-3') or after excision of the selectable marker (5'-598 GATGGAAGCGTTCAACTAGCAGACC-3' and 5'-ATTGGACGTTTATTATT-599 ATTGCAAAAGCG-3'). For genotyping of $Pb\Delta p36$ parasites, we used primer combinations 600 specific for the WT Pbp36 locus (5'-GAGTTCGCACGCCATATTAACACG-3' and 5'-601 CCATGATGAGATGCTAAATCGGG-3'), for the 5' region of the recombined locus (5'-602 GGAAGCATCATACAAAAAAGAAAGC-3' and 5'-TAATAATTGAGTCTTTAGTAAC-603 GAATTGCC-3'), and for the 3' region of the recombined locus before (5'-604 ATCGTGGAACAGTACGAACGCGCCGAGG-3' and 5'-CGTTATCTCTTTTTTACTCATTAA-605 GTATTG-3') after excision of the (5'or selectable marker 606 GATGGAAGCGTTCAACTAGCAGACC-3' 5'-CGTTATCTCTTTTTTTACTCATTAand 607 AGTATTG-3'). For genotyping of $Py\Delta p52p36$ parasites, we used primer combinations 608 specific for the WT PyP52 locus (5'-ACTATATTTCAATTGGAGACATGTGG-3' and 5'-609 ATGCAAAAAAAGTTATCATTGCTAGTTGG-3'), for the 5' region of the recombined locus 610 (5'-GTATGTTTGGAATGCCAGGATATGACATGG-3' and 5'-CCGGAATTCACAAAAA-611 GATGCTACTGTGAAAAGCTCACC-3'), and for the 3' region of the recombined locus before 612 (5'-AGTTACACGTATATTACGCATACAACGATG-3' and 5'-TAAGCATATATTGTATATTG-613 CCTTGTCC-3') or after excision of the selectable marker (5'-

614 GTATGTTTGGAATGCCAGGATATGACATGG-3' and 5'-AATCTGATATGATAAATTATG-615 GTATTGGAC-3').

616

617 **Bioinformatic and structural analysis**

618 Amino-acid sequences of the P36 proteins from P. falciparum (379 aa, gi:296004390, 619 PF3D7 0404400), P. vivax (320 aa, gi:156094683, PVX 001025), P. berghei (352 aa, 620 gi:991456178, PBANKA 1002100) and P. yoelii (356 aa, gi:675237743, PY17X 1003500) 621 were obtained from Genbank. Sequence alignments were carried out using Clustal Omega 622 (http://www.ebi.ac.uk/Tools/msa/clustalo/). A 3D model of P. berghei P36 was generated by 623 the prediction program I-Tasser (74), using the 3D structure of Pf12 (Pf12short in ref (52)). 624 which contains two 6-cys domains D1 and D2 arranged in tandem, as a template (PDB 625 access code 2YMO). The 3D model for PbP36 was then superimposed to the template 626 Pf12short and visually inspected using the program Coot (75), and the rotamers for the Cys 627 residues adjusted such that the three disulfides bonds for each domain were formed 628 following the pattern C1-C2, C3-C6 and C4-C5.

629

630 Statistical analysis

631 Statistical significance was assessed by non-parametric analysis using the Mann-Whitney U 632 and Kruskal-Wallis tests. All statistical tests were computed with GraphPad Prism 5 633 (GraphPad Software). Significance was defined as p<0.05 (ns, statistically non-significant; 634 *p<0.05; **p<0.01). In vitro experiments were performed at least three times, with a minimum 635 of three technical replicates per experiment.

636

637 **Ethics statement**

638 All animal work was conducted in strict accordance with the Directive 2010/63/EU of the 639 European Parliament and Council 'On the protection of animals used for scientific purposes'. 640 The protocol was approved by the Charles Darwin Ethics Committee of the University Pierre 641 et Marie Curie, Paris, France (permit number Ce5/2012/001). Blood samples were obtained

642 from P. vivax-infected individuals attending the Shoklo Malaria Research Unit (SMRU) clinics 643 on the western Thailand-Myanmar border, after signature of a consent form(67). Primary 644 human hepatocytes were isolated from healthy parts of human liver fragments, which were 645 collected from adult patients undergoing partial hepatectomy (Service de Chirurgie Digestive, 646 Hépato-Bilio-Pancréatique et Transplantation Hépatique, Groupe Hospitalier Pitié-647 Salpêtrière, Paris, France). The collection and use of this material were undertaken in 648 accordance with French national ethical guidelines under Article L. 1121-1 of the 'Code de la 649 Santé Publique', and approved by the Institutional Review Board (Comité de Protection des 650 Personnes) of the Centre Hospitalo-Universitaire Pitié-Salpêtrière, Assistance Publique-651 Hôpitaux de Paris, France.

652

653

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670

671 COMPETING FINANCIAL INTERESTS STATEMENT

- 672 The authors declare no competing financial interests.
- 673

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929 **FIGURE LEGENDS**

930

931 Figure 1. Anti-SR-BI antibodies inhibit P. vivax but not P. falciparum sporozoite 932 infection. A. Primary human hepatocyte cultures were incubated with P. vivax sporozoites in 933 the presence of anti-CD81 and/or anti-SR-BI mAbs at 20 µg/ml, and the number of EEF-934 infected cells was determined 5 days post-infection after labeling of the parasites with anti-935 HSP70 antibodies. B. Primary human hepatocytes were incubated with *P. vivax* sporozoites 936 in the presence or absence of anti-SR-BI polyclonal rabbit serum (diluted 1/100), and the 937 number of EEFs was determined at day 5 as by immunofluorescence. C. Primary human 938 hepatocyte cultures were incubated with P. falciparum sporozoites in the presence of anti-939 CD81 mAb (20 µg/ml) and/or anti-SR-BI polyclonal rabbit serum (diluted 1/100), and the 940 number of EEF-infected cells was determined 5 days post-infection after labeling of the 941 parasites with anti-HSP70 antibodies. Results from 3 independent experiments are shown 942 and expressed as the percentage of control (mean +/- SD). D. Immunofluorescence analysis 943 of P. vivax EEFs at day 5 post-infection of primary human hepatocytes. Parasites were 944 labeled with anti-HSP70 antibodies (green), and nuclei were stained with Hoechst 33342 945 (blue). Large EEFs and small EEFs were observed in both control and anti-SR-BI antibody-946 treated cultures. Scale bars, 10 µm. E. Inhibitory activity of anti-SR-BI antibodies on small 947 EEFs (white histograms) and large EEFs (black histograms). The results from 4 independent 948 experiments are shown, and are expressed as the percentage of inhibition observed with 949 anti-SR-BI antibodies as compared to the control.

950

951 Figure 2. Infection of human HepG2 cells by *P. berghei* sporozoites depends on SR-BI.

A. HepG2 cells were incubated with *P. berghei* sporozoites for 3 h in the absence (Control) or presence of increasing concentrations of rabbit polyclonal SR-BI antisera. Infected cultures were further incubated for 24h before quantification of EEFs-infected cells by fluorescence microscopy. **B**. HepG2 cell cultures were infected with PbGFP sporozoites as in A, and the number of invaded cells (GFP+) was quantified by FACS 3 hours post-infection.

957 C. HepG2 cells were incubated for 3 hours with PbGFP sporozoites and rhodamine-labeled 958 dextran, in the presence or absence of anti-SR-BI antibodies. The percentage of traversed 959 (dextran-positive) cells was then determined by FACS. D. HepG2 cells transfected with 960 siRNA oligonucleotides targeting SR-BI (siSR-BI, red histogram) or with a control siRNA 961 (siCont, blue histogram) were stained with anti-SR-BI antibodies and analyzed by flow 962 cytometry. The negative staining control is in grey. E. P. berghei EEF number in HepG2 cells 963 transfected with siRNA oligonucleotides targeting SR-BI (siSR-BI) or a control siRNA 964 (siCont). F. HepG2 cells transfected with siRNA oligonucleotides targeting SR-BI (siSR-BI) or 965 a control siRNA (siCont) were infected with PbGFP sporozoites and incubated for 24 hours, 966 before measurement of the mean fluorescence intensity (GFP MFI) of infected (GFP-967 positive) cells by FACS.

968

969 Figure 3. CD81 and SR-BI define alternative entry routes for *P. berghei* sporozoites. A. 970 HepG2/CD81 cells were incubated with P. berghei sporozoites in the presence or absence of 971 anti-human CD81 and/or SR-BI mAbs, and the number of EEFs-infected cells was 972 determined by fluorescence microscopy 24 hours post-infection. B. P. berghei EEF numbers 973 in HepG2/CD81 cells transfected with siRNA oligonucleotides targeting CD81 (siCD81) 974 and/or SR-BI (siSR-BI). C. HepG2/CD81 cells were incubated with PbGFP sporozoites for 975 15-120 min, in the presence or absence of anti-CD81 and/or anti-SR-BI antibodies, then 976 trypsinized and directly analyzed by FACS to quantify invaded (GFP-positive) cells. D. 977 Protein extracts from Hepa1-6 cells and Hepa1-6 cells transiently transfected with a human 978 SR-BI expression plasmid were analyzed by Western blot using antibodies recognizing 979 mouse and human SR-BI (Abcam) or mouse CD81 (MT81). E. Hepa1-6 cells were 980 transfected first with siRNA oligonucleotides targeting endogenous mouse CD81 (simCD81), 981 then with plasmids encoding human CD81 (hCD81) or SR-BI (hSR-BI). Cells were then 982 incubated with PbGFP sporozoites, and the number of infected (GFP-positive) cells was 983 determined 24h post-infection by FACS.

984

Figure 4. Infection of HepG2/CD81 cells by *P. yoelii* sporozoites depends on CD81 but not SR-BI. A. HepG2/CD81 cells were incubated with *P. yoelii* sporozoites in the presence of anti-CD81 mAb (20 μg/ml) or anti-SR-BI polyclonal rabbit serum (diluted 1/100), and the number of EEF-infected cells was determined 24 hours post-infection by fluorescence microscopy. Results from 3 independent experiments are shown and expressed as the percentage of control (mean +/- SD). **B**. *P. yoelii* EEF numbers in HepG2/CD81 cells transfected with siRNA oligonucleotides targeting CD81 (siCD81) and/or SR-BI (siSR-BI).

992

993 Figure 5. The 6-cys proteins P52 and P36 are required for productive host cell 994 invasion. A-B. HepG2 (A) or Hepa1-6 cells (B) were incubated with PbGFP (blue lines) or 995 $Pb\Delta p52/p36$ sporozoites (red lines) for 15-120 minutes, in the presence (dotted lines) or 996 absence (solid lines) of anti-SR-BI (A) or anti-CD81 (B) antibodies. Cells were then 997 trypsinized and directly analyzed by FACS to quantify invaded (GFP-positive) cells. C. 998 HepG2 and HepG2/CD81 cells were infected with PbGFP or Pb $\Delta p52/p36$ sporozoites and 999 the number of EEFs was determined 28 hours post-infection by fluorescence microscopy. D. 1000 HepG2 and HepG2/CD81 cells infected with PbGFP or PbΔp52/p36 sporozoites were fixed 1001 at 28 hours post-infection, stained with anti-UIS4 antibodies (red) and the nuclear stain 1002 Hoechst 33342 (blue), and examined by fluorescence microscopy. Parasites were detected 1003 based on GFP expression (green). Scale bars, 10 µm. E. Quantification of UIS4 expression 1004 in HepG2 and HepG2/CD81 cells infected with PbGFP (red) or Pb $\Delta p52/p36$ (black). F. 1005 HepG2 (dotted lines) and HepG2/CD81 cells (solid lines) were incubated with PyGFP (blue 1006 lines) or Py $\Delta p52/p36$ sporozoites (red lines) for 15-180 minutes, trypsinized, and directly 1007 analyzed by FACS to quantify invaded (GFP-positive) cells. G. HepG2/CD81 cells were 1008 infected with PyGFP or Py $\Delta p52/p36$ sporozoites and the number of EEFs was determined 24 1009 hours post-infection by fluorescence microscopy. H. HepG2 and HepG2/CD81 cells infected 1010 with PyGFP or Py $\Delta p52/p36$ sporozoites were fixed at 24 hours post-infection, stained with 1011 anti-UIS4 antibodies (red) and the nuclear stain Hoechst 33342 (blue), and examined by 1012 fluorescence microscopy. Parasites were detected based on GFP expression (green). Scale

1013 bars, 10 μm. I. Quantification of UIS4 expression in HepG2 and HepG2/CD81 cells infected 1014 with PyGFP (red) or PyΔ*p52/p36* (black).

1015

1016 Figure 6. P36 mediates CD81-independent entry in P. berghei sporozoites. A. HepG2 1017 (blue histograms) or HepG2/CD81 cells (red histograms) were incubated with sporozoites 1018 from Pb $\Delta p52/p36$ parasites genetically complemented with *P. berghei* and/or *P. yoelii* P52 1019 and P36, and analysed by FACS or fluorescence microscopy to determine the number of 1020 GFP-positive cells 24h post-infection. Results from 3 independent experiments are shown 1021 and are expressed as the percentage of infection in comparison to control PbGFP-infected 1022 cultures (mean +/-SD). B. Immunofluorescence analysis of UIS4 expression in HepG2 or 1023 HepG2/CD81 cells infected with genetically complemented Pb $\Delta p52/p36$ sporozoites. Cells 1024 were fixed with PFA 28 hours post-infection, permeabilized, and stained with anti-UIS4 1025 antibodies (red) and the nuclear stain Hoechst 33342 (blue). Parasites were detected based 1026 on GFP expression (green). Scale bars, 10 µm. C-D. HepG2/CD81 (C) and HepG2 (D) cells 1027 were infected with PbGFP, Pb $\Delta p52/p36$ and complemented Pb $\Delta p52/p36$ sporozoites. The 1028 numbers of UIS4-positive (red histograms) and UIS4-negative (black histograms) EEFs were 1029 determined by fluorescence microscopy 24 hours post-infection.

1030

1031 Figure 7. Transgenic P. yoelii sporozoites expressing PbP36 can infect CD81-null cells 1032 via SR-BI A. HepG2 (blue) and HepG2/CD81 cells (red) were incubated with genetically 1033 complemented Py $\Delta p52/p36$ sporozoites, and fixed 24h post-infection. The number of UIS4-1034 positive vacuoles was then determined by immunofluorescence. B. Immunofluorescence 1035 analysis of UIS4 expression in HepG2 or HepG2/CD81 cells infected with sporozoites of 1036 $Py\Delta p52/p36$ parasites genetically complemented with P52 and P36 from *P. berghei* or *P.* 1037 yoelii. Cells were fixed with PFA, permeabilized, and stained with anti-UIS4 antibodies (red) 1038 and the nuclear stain Hoechst 33342 (blue). Parasites were detected based on GFP 1039 expression (green). Scale bars, 10 µm. C. Quantification of UIS4 expression in HepG2 (blue) 1040 and HepG2/CD81 cells (red) infected with genetically complemented PyAp52/p36

1041 sporozoites and processed as in B for immunofluorescence. **D**. HepG2 cells were incubated 1042 with $Py\Delta p52/p36$ sporozoites complemented with PbP36 and either PbP52 or PyP52, in the 1043 presence or absence of anti-SR-BI antibodies. Infected cultures were fixed 24h post-1044 infection, and the number of EEFs was then determined by fluorescence microscopy.

1045

1046 Figure 8. Model of host cell entry pathways for Plasmodium sporozoites. A. Host cell 1047 membrane proteins CD81 and SR-BI define two independent entry routes for Plasmodium 1048 sporozoites. P. falciparum and P. yoelii sporozoites require CD81 for infection, whereas P. 1049 vivax sporozoites infect hepatocytes using SR-BI. P. berghei sporozoites can enter cells 1050 alternatively via CD81 or SR-BI. B. The 6-cysteine domain protein P36 determines host cell 1051 receptor usage during *P. yoelii* and *P. berghei* sporozoite invasion. Whilst PyP36 supports 1052 only CD81-dependent sporozoite entry, PbP36 mediates sporozoite invasion through both 1053 CD81- and SR-BI-dependent pathways. C. Model of the 3D structure of P. berghei P36, 1054 established based on the crystal structure of PfP12 (2YMO). In the ribbon diagram, the 1055 tandem 6-cysteine domains are shown in blue (D1) and green (D2), respectively, and the 1056 cysteine residues and disulphide bonds in yellow. The loop located between the third and 1057 fourth cysteine residues of the D2 domain (inter-cys loop) is indicated in red.

1059 SUPPLEMENTAL FIGURE LEGENDS

1060

Figure 2-figure supplement 1. CD81 and SR-BI surface expression in HepG2 and HepG2/CD81 cells. HepG2 (A) and HepG2/CD81 cells (B) were stained with anti-CD81 (red histograms) or anti-SR-BI (green histograms) antibodies and analyzed by FACS.

1064

Figure 2-figure supplement 2. Anti-SR-BI antibodies neutralize *P. berghei* infection of
HepG2 cells. Effect of anti-SR-BI rat polyclonal antibodies (pAb) and mouse mAb on *P. berghei* EEF numbers in HepG2 cells.

1068

Figure 2-figure supplement 3. Effect of SR-BI silencing on sporozoite cell traversal and invasion. HepG2 cells transfected with siRNA oligonucleotides targeting SR-BI (siSR-BI) or a control siRNA (siCont) were incubated for 3 hours with PbGFP sporozoites and rhodaminelabeled dextran, and the percentage of invaded cells (GFP-positive, green bars) and traversed cells (dextran-positive, red bars) was determined by FACS.

1074

1075 Figure 3-figure supplement 1. Effect of anti-CD81 and anti-SR-BI antibodies on P. 1076 berghei sporozoite cell traversal and intracellular development. A. HepG2/CD81 cells 1077 were incubated for 3 hours with PbGFP sporozoites and rhodamine-labeled dextran, in the 1078 presence or absence of anti-CD81 and/or SR-BI antibodies. The percentage of traversed 1079 (dextran-positive) cells was then determined by FACS. B. HepG2/CD81 cells were infected 1080 with PbGFP sporozoites in the presence or absence of anti-CD81 or anti-SR-BI antibodies, 1081 and incubated for 24 hours before measurement of the MFI of infected (GFP-positive) cells 1082 by FACS.

1083

Figure 5-figure supplement 1. Targeted gene deletion of p52 and p36 in *P. berghei*. A. Replacement strategy to generate Pb $\Delta p52p36$ parasites. The wild-type (WT) genomic locus of *P. berghei* p52/p36 was targeted with a GOMO-GFP replacement plasmid containing a 5'

1087 and a 3' homologous sequence inserted on each side of the plasmid GFP/hDHFR-1088 yFCU/mCherry triple cassette. Upon double crossover recombination, the adjacent p52 and 1089 p36 genes are replaced by the plasmid cassettes. Subsequent recombination between the 1090 two identical PbDHFR/TS 3' UTR sequences (pink lollipops) results in excision of hDHFR-1091 yFCU and mCherry. Genotyping primers and expected PCR fragments are indicated by 1092 arrows and lines, respectively. B-C. PCR analysis of genomic DNA isolated from control 1093 PbGFP and Pb $\Delta p52p36$ parasites recovered after positive selection with pyrimethamine (B) 1094 and after negative selection with 5-fluorocytosine (C). Confirmation of the predicted 1095 recombination events was achieved with primer combinations specific for 5' integration (5' 1096 integr.), 3' integration (3' integr.) or 3' integration followed by marker excision (3' excised). 1097 Primers used for genotyping are indicated in the Materials and Methods. The absence of 1098 amplification with primer combinations specific for the WT locus (WT) and the non-excised 1099 integrated construct (3' integration) confirms that the final populations contain only 1100 $Pb\Delta p52p36$ drug-selectable marker-free *P. berghei* parasites.

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1102 Figure 5-figure supplement 2. Targeted gene deletion of p52 and p36 in P. yoelii. A. 1103 Replacement strategy to generate $Py\Delta p52p36$ parasites. The wild-type (WT) genomic locus 1104 of P. yoelii p52/p36 in the PyGFP parental line was targeted with a GOMO replacement 1105 plasmid containing a 5' and a 3' homologous sequence from pyp52 inserted on each side of 1106 a hDHFR-yFCU/mCherry double cassette. An additional 3' homologous sequence from 1107 pyp36 (36-3') was inserted immediately downstream of the 5' homologous sequence from 1108 pyp52 (52-5'). Upon double crossover recombination, pyp52 is replaced by the plasmid 1109 cassettes. Subsequent recombination between the two identical 36-3' sequences results in 1110 excision of hDHFR-yFCU, mCherry and pyp36. Genotyping primers and expected PCR 1111 fragments are indicated by arrows and lines, respectively. B-C. PCR analysis of genomic 1112 DNA isolated from parental PyGFP and Py $\Delta p52p36$ parasites recovered after positive 1113 selection with pyrimethamine (B) and after negative selection with 5-fluorocytosine (C). 1114 Confirmation of the predicted recombination events was achieved with primer combinations

1115 specific for 5' integration (5' integr.), 3' integration (3' integr.) or 3' integration followed by 1116 marker excision (3' excised). Primers used for genotyping are indicated in Materials and 1117 methods. The absence of amplification with primer combinations specific for the WT locus 1118 (WT) and the non-excised integrated construct (3' integration) confirms that the final 1119 populations contain only $Py\Delta p52p36$ drug-selectable marker-free *P. yoelii* parasites.

1120

1121 Figure 5-figure supplement 3. Targeted gene deletion of p36 in P. berghei. A. 1122 Replacement strategy to generate Pb $\Delta p36$ parasites. The wild-type (WT) genomic locus of 1123 P. berghei p36 was targeted with a GOMO-GFP replacement plasmid containing a 5' and a 1124 3' homologous sequence inserted on each side of the plasmid GFP/hDHFR-yFCU/mCherry 1125 triple cassette. Upon double crossover recombination, the p36 gene is replaced by the 1126 plasmid cassettes. Subsequent recombination between the two identical PbDHFR/TS 3' UTR 1127 sequences (pink lollipops) results in excision of hDHFR-yFCU and mCherry. Genotyping 1128 primers and expected PCR fragments are indicated by arrows and lines, respectively. B-C. 1129 PCR analysis of genomic DNA isolated from control PbGFP and PbAp36 parasites 1130 recovered after positive selection with pyrimethamine (B) and after negative selection with 5-1131 fluorocytosine (C). Confirmation of the predicted recombination events was achieved with 1132 primer combinations specific for 5' integration (5' integr.), 3' integration (3' integr.) or 3' 1133 integration followed by marker excision (3' excised). Primers used for genotyping are 1134 indicated in the Materials and methods. The absence of amplification with primer 1135 combinations specific for the WT locus (WT) and the non-excised integrated construct (3' 1136 integration) confirms that the final populations contain only Pb $\Delta p36$ drug-selectable marker-1137 free P. berghei parasites.

1138

Figure 5-figure supplement 4. P36 is required for *P. berghei* sporozoite entry via both SR-BI- and CD81-dependent routes. HepG2 and HepG2/CD81 cells were incubated with PbGFP or Pb $\Delta p36$ sporozoites in the presence or absence of anti-CD81 and anti-SR-BI

antibodies, and the percentage of infected (GFP-positive) cells was determined 24 hourspost-infection by FACS.

1144

1145 Figure 6-figure supplement 1. Genetic complementation with p52 and p36 from P. 1146 falciparum or P. vivax does not restore sporozoite infectivity in Pb $\Delta p52p36$ parasites. 1147 **A.** HepG2 and HepG2/CD81 cells were incubated with PbGFP, Pb $\Delta p52p36$ and Pb $\Delta p52p36$ 1148 complemented with p52 and p36 from P. falciparum or P. vivax. The percentage of infected 1149 (GFP-positive) cells 24 hours post-infection was determined by FACS. B. Primary human 1150 hepatocytes were incubated with PbGFP, Pb $\Delta p52p36$ and Pb $\Delta p52p36$ complemented with 1151 p52 and p36 from P. falciparum or P. vivax. The number of EEFs was determined 24 hours 1152 post-infection by fluorescence microscopy.

1153

1154 Figure 8-figure supplement 1. P36 protein sequence analysis. A. Alignment of P. berghei 1155 and P. yoelii P36 protein sequences. Identical, similar and different amino acids are shaded 1156 in black, grey and red, respectively. The tandem 6-cys domains D1 and D2 are indicated with 1157 blue and green lines, respectively, above the protein sequences. The six cysteine residues of 1158 each domain are indicated below the protein sequences. **B**. Schematic representation of the 1159 tandem D1 and D2 6-cys domains of P36, showing the disulfide bond arrangement. The 1160 position of the "inter-cys loop", located between the third and fourth cysteine residues of D2, 1161 is indicated as a red line. C. Alignment of P. falciparum, P. vivax, P. berghei and P. yoelii 1162 inter-cys loop sequences. Identical and similar amino acids are shaded in black and grey, 1163 respectively.

1164





D

Large EEFs

Anti-SR-BI



Ε







Plasmid transfection









Β







С



D







FL1











Pb∆*p52p36* 5FC selection



5FC selection













Α

Β

С