Bichir external gills arise via heterochronic shift that accelerates hyoid arch development

- Jan Stundl^{1,2}, Anna Pospisilova¹, David Jandzik^{1,3}, Peter Fabian¹#, Barbora Dobiasova¹&,
 Martin Minarik¹§, Brian D. Metscher⁴, Vladimir Soukup^{1*}, Robert Cerny^{1*}
- 8
- ¹ Department of Zoology, Faculty of Science, Charles University in Prague, 12844 Prague,
 Czech Republic
- ² National Museum, Vaclavske namesti, 11579 Prague, Czech Republic
- ³ Department of Zoology, Faculty of Natural Sciences, Comenius University in Bratislava,
- 13 84215 Bratislava, Slovakia
- ⁴ Department of Theoretical Biology, University of Vienna, 1090 Vienna, Austria
- 15
- 16 # Current address: Eli and Edythe Broad CIRM Center for Regenerative Medicine and Stem
- 17 Cell Research, University of Southern California, CA 90033-9080, Los Angeles, USA
- & Current address: The Prague zoological garden, U Trojskeho zamku 120/3, 17100
 Prague, Czech Republic
- 20 § Current address: Department of Physiology, Development and Neuroscience, University of
- 21 Cambridge, CB23DY Cambridge, United Kingdom
- 22
- 23 * corresponding authors:
- Vladimir Soukup, Department of Zoology, Faculty of Science, Charles University in Prague,
- 25 12844 Prague, Czech Republic
- 26 Robert Cerny, Department of Zoology, Faculty of Science, Charles University in Prague,
- 27 12844 Prague, Czech Republic
- 28
- 29

3031 Abstract

32 In most vertebrates, pharyngeal arches form in a stereotypic anterior-to-posterior progression. To gain insight into the mechanisms underlying evolutionary changes in 33 34 pharyngeal arch development, here we investigate embryos and larvae of bichirs. Bichirs 35 represent the earliest diverged living group of ray-finned fishes, and possess intriguing traits otherwise typical for lobe-finned fishes such as ventral paired lungs and larval external gills. 36 37 In bichir embryos, we find that the anteroposterior way of formation of cranial segments is modified by the unique acceleration of the entire hyoid arch segment, with earlier and 38 39 orchestrated development of the endodermal, mesodermal, and neural crest tissues. This 40 major heterochronic shift in the anteroposterior developmental sequence enables early appearance of the external gills that represent key breathing organs of bichir free-living 41 42 embryos and early larvae. Bichirs thus stay as unique models for understanding 43 developmental mechanisms facilitating increased breathing capacity.

- 44 45
- 46

47 **1. Introduction**

The vertebrate pharynx is composed of a series of repeated embryonic structures called pharyngeal arches (Graham, 2008; Grevellec and Tucker, 2010). In the majority of jawed vertebrates, the first, or mandibular arch contributes to the jaws; the second, or hyoid arch serves as the jaw support, and the more posterior branchial arches typically bear internal pharyngeal gills. Pharyngeal arches form in a highly stereotyped sequence from anterior to posterior, where the contacts between endodermal pouches and surface ectoderm physically separate the mesoderm- and neural crest-derived arch tissues (Graham and

2 3 4

Smith, 2001; Shone and Graham, 2014; Choe and Crump, 2015). The progressive 55 56 development of the pharynx has deep deuterostome origins, as it is characteristic of both cephalochordates and hemichordates (Willey, 1891; Gillis et al., 2012; Koop et al., 2014). In 57 58 vertebrates, sequential formation of pharyngeal segments represents a fundamental aspect 59 of the metameric organization of the head and face (Piotrowski and Nusslein-Volhard, 2000; 60 Couly et al., 2002; Choe and Crump, 2015). Any modifications of this well-established 61 anteroposterior differentiation scheme would represent a radical alteration in development of 62 the stereotypic chordate bauplan (Square et al., 2017).

63 Polypterid bichirs represent the earliest diverged living group of ray-finned 64 (Actinopterygian) fishes (Hughes et al., 2018) and they are often referred to as the most 65 relevant species for studying character states at the dichotomy of ray- and lobe-finned fishes 66 (e.g., Standen et al., 2014). This places bichirs in a unique phylogenetic position among 67 vertebrates, which can be exploited for evolutionary and developmental comparative studies 68 (e.g., Takeuchi et al., 2009; Standen et al., 2014; Minarik et al., 2017). Adult bichirs possess 69 several intriguing characteristics that have been associated with air-breathing during the 70 transition from water to land, such as ventral paired lungs or spiracular openings on the head 71 (Clack, 2007; Coates and Clack, 1991; Graham et al., 2014; Tatsumi et al., 2016). Moreover, 72 bichirs also share several key larval features with lungfishes or amphibians, such as cranial 73 adhesive organs, and larval external gills (Kerr, 1907; Diedhiou and Bartsch, 2009).

74 The external gills of bichirs represent prominent adaptive structures, and constitute 75 major breathing organs of their free-living embryos and early larvae (Fig. 1A) (Kerr, 1907; 76 Diedhiou and Bartsch, 2009). Strikingly, while external gills of amphibians and lungfishes 77 derive from branchial arches as a rule (Duellman and Trueb, 1994; Witzmann, 2004; 78 Nokhbatolfoghahai and Downie, 2008; Schoch and Witzmann, 2011), those of bichirs have 79 historically been considered as unique hyoid arch derivatives due to their blood supply from 80 the hyoid aortic arch (Kerr, 1907; Goodrich, 1909). Importantly, the external gills of bichir 81 embryos represent the first cranial structures to appear, emerging before the eyes or mouth 82 are evident (Fig. 1B) (Minarik et al., 2017).

83 Here, we take advantage of an exceptionally complete embryonic series of the 84 Senegal bichir (Polypterus senegalus) to explore the developmental underpinnings of the 85 early formation of their external gills and test their segmental origin. Our results reveal that bichir external gills are definitively derived from the hyoid arch and develop by orchestrated 86 87 acceleration of tissues of all germ layers of the hyoid segment. Thus, in bichir embryos, the standard anteroposterior differentiation scheme of cranial segments is modified by the 88 unique heterochronic development of the hyoid metamere, allowing early and enhanced 89 90 development of their external gills.

91 2. Results and discussion

92 2.1. External gills of the Senegal bichir are developmentally associated with the hyoid 93 segment

94 In order to examine the origin of bichir external gills, we first followed the morphological 95 development of this structure from the earliest stages of embryogenesis onwards. The first 96 sign of external gill development is a pair of outgrowths situated lateral to the closing neural 97 folds (Fig. 1C). The hyoid origin of these outgrowths is suggested by the expression pattern 98 of the Hoxa2 (Fig. 1D), a selector gene characteristic of hyoid identity in other vertebrates 99 (Rijli et al., 1993; Hunter and Prince, 2002; Baltzinger et al., 2005). Later, at early pharyngula 100 stages, the hyoid outgrowths produce protuberant bulges situated in the pre-otic region on 101 each side of the embryo (Fig. 1E-H), that rapidly increase in size (Fig. 1I), and finally, 102 differentiate into many secondary branches (Fig. 1J-L). This suggests that the prominent external gills of bichir larva (Fig. 1A) initially arise from striking accelerated development of 103 104 the epidermal outgrowths (Fig. 1B) that are of hyoid segmental origin (Fig. 1F).

105

106 2.2. Accelerated and predominant hyoid neural crest stream supplies bichir external 107 gills

108 To gain insights into the accelerated development of the hyoid segment, we focused on the 109 cranial neural crest that arises from the closing neural folds. Cranial neural crest cells 110 emerge in a characteristic pattern and split into mandibular, hyoid, and branchial streams, 111 which in most vertebrates arise in a sequential anteroposterior order of appearance. As a 112 marker for migrating neural crest cells, we used expression of Sox9, a transcription factor 113 critical for their emergence, migration, and differentiation (Cheung and Briscoe, 2003; Mori-114 Akiyama et al., 2003; Theveneau and Mayor, 2012). In bichir embryos, Sox9 expression 115 pattern reveals that the hyoid neural crest segment is developmentally advanced, as it forms 116 concurrently with the mandibular neural crest segment (Fig. 2A). Sections through the neural 117 folds, however, demonstrate that mandibular neural crest cells still reside within the 118 neuroepithelium (Fig. 2B), while the hyoid neural crest cells have already emigrated from the 119 neural folds (Fig. 2C). This premature emigration of the hyoid neural crest stream correlates 120 with the previously observed external outgrowths of the hyoid area (Fig. 1C). Later in 121 migration, the hyoid neural crest stream remains predominant (Fig. 2D), as it is much larger 122 when compared to the mandibular neural crest stream (Fig. 2E, F). The hyoid neural crest 123 stream still progresses at later stages (Fig. 2G), and as such, the majority of the 124 mesenchyme in the early bichir head appears to arise from this source (Fig. 2H). The Sox9 125 immunoreactivity further shows that cells of the leading edge of the hyoid stream delaminate 126 from the neural folds prior to the emigration of the mandibular stream (Fig. 2I), and illustrates 127 the voluminous (Fig 2J) and extended (Fig 2K) mesenchymal production of the hyoid neural 128 crest segment.

129 We directly tested whether the hyoid neural crest cell stream contributes to the 130 external gills by performing focal CM-Dil injections into rhombomere 4 (Fig. 2L inset), the source of the prospective hyoid neural crest stream in other vertebrates (Lumsden et al., 131 132 1991: Köntges and Lumsden, 1996: Minoux and Riili, 2010: Theveneau and Mavor, 2012). 133 One day after neurulation, the CM-Dil-positive hyoid neural crest cells are observed all along 134 the proximodistal axis of the external gill primordium (Fig. 2L). Two days later, they occupy 135 the primary branches of the external gills (16/21, Fig. 2M). After hatching, the CM-Dil-136 positive cells populate the fully developed and functional external gills (Fig. 2N, O). Thus, our 137 fate mapping experiment confirms that bichir external gills are, indeed, populated by the cells 138 of the hyoid neural crest stream and, implicitly, that they represent hyoid arch derivatives.

139

140 2.3. The first cranial muscles of bichir embryos support their external gills and are of 141 hyoid segmental origin

In vertebrates, cranial neural crest cells are the primary source of craniofacial mesenchyme, but also have a major influence on the differentiation and morphogenesis of the cranial myogenic mesoderm (Ericsson et al., 2004; Tokita and Schneider, 2009). We, therefore, hypothesized that the pattern of cranial muscle differentiation in bichir embryos may be 146 affected by acceleration of the hvoid neural crest segment (Fig. 2). Whole-mount antibody 147 staining against skeletal muscle marker 12/101 revealed that the first muscles differentiate stereotypically from the post-otic somites in the trunk region, as in other vertebrates (Fig. 148 149 3A). However, within the cranial region of bichir embryos, the earliest developing muscles 150 form within the hyoid arch and are associated with the external gills (Fig. 3B, C). This first 151 muscle complex (levator and depressor branchiarum, Noda et al., 2017) is situated lateral to 152 the otic vesicle and connects filaments of the external gills to the gill stem (Fig. 3B-D). The 153 premature differentiation of the external gill-associated muscles is further supported by their 154 innervation from the hyo-opercular ramus of the facial nerve, allowing voluntary movement of 155 external gills from the earliest larval stages (Fig. 3E, F). Other cranial muscles fully differentiate only at later larval stages when the external gill muscle complex becomes 156 157 supplemented by other muscles of hyoid and mandibular origins (Fig. 3G). Thus, bichir 158 embryos display unique heterochrony in the differentiation of the hyoid over the mandibular 159 arch mesoderm, providing muscular supports for their external gills.

160

161 2.4. Early expansion of the hyoid endoderm triggers the formation of bichir external 162 gills

163 Interestingly, the accelerated development of the external gill rudiments is also reinforced by 164 the morphogenesis of the hvoid pharvngeal segment (Fig. 4A-J). Reconstruction of the 165 endodermal epithelium of the bichir pharynx using micro-CT imaging (Minarik et al., 2017) 166 reveals that the pharyngeal endoderm forms two pairs of early outpocketings (Fig. 4B). 167 Whereas the rostral pair represents the embryonic precursor of the cement glands (Fig. 4A-168 D, F-I) (Minarik et al., 2017), the posterior paired outpocketings constitute primordia of the 169 external gills (Fig. 4A-D). These posterior outpocketings belong to the hyoid segment, as the 170 first pharyngeal pouch (mandibulo-hyoid, or spiracular) is situated rostrally (Fig. 4C, D, white 171 arrowhead) and the second pharyngeal pouch (hyoid-branchial) more caudally (Fig. 4H, 172 black arrowhead). Transverse sections confirm that these hyoid endodermal outpocketings 173 constitute a substantial proportion of the external gill primordium (Fig. 4E). At later stages, 174 these outpocketings further transform into pocket-like structures (Fig. 4G, H, J) that become 175 supplemented with mesenchymal cells of the hyoid neural crest stream (Fig. 2L-N). Thus, 176 while ectoderm covers the entire external gill primordium, the endodermal outpocketing 177 constitutes a considerable portion of the developing external gill (Fig. 4E).

178 We sought to explore a possible role of the hyoid endodermal outpocketings in 179 controlling development and morphogenesis of the bichir external gills. Morphogenesis of 180 the pharyngeal pouches is critically regulated by factors from many signaling pathways (Graham and Smith, 2001; Graham, 2008), among which alterations in Fibroblast growth 181 182 factor (Fgf) signaling lead to defects in proper endodermal pouch development and 183 pharyngeal segmentation (Jandzik et al., 2014; Abu-Issa et al., 2002; Crump et al., 2004; 184 Walshe and Mason, 2003). To assess the possible role of Fgf signaling during bichir external gill development, we scored expression of the Fgf8 ligand and the readouts of Fgf signaling 185 activity. Fgf8 expression is present in endodermal outpocketings and becomes confined to 186 187 their lateral portions (Fig. 4-figure supplement 1). These portions of endoderm in fact 188 constitute the outgrowing tips of the prospective external gill (Fig. 4K). Expression of Dusp6 189 and *Pea3* (the Fgf signaling pathway readouts) and antibody localization for activated MAPK 190 (marker of active Fgf signaling) are present in the external gill mesenchyme adjacent to the 191 outgrowing endodermal tips or both in the mesenchyme and the endodermal tips (Fig. 4L-N; 192 Fig. 4-figure supplement 2). The topographical relation of endodermal outpocketings and the direction of Fgf signaling within the external gill primordium thus suggest that the 193 194 endodermal epithelium signals to the adjacent mesenchyme through Fgf signaling to 195 regulate outgrowth of the external gill (Fig. 4O).

To test the possible role of signaling events, we treated bichir embryos with SU5402, a collective Fgf and Egf signaling inhibitor, at early neurulation and scored the phenotypes at later pharyngula stages. In contrast to control embryos displaying well-developed hyoid endodermal outpocketings and external gill primordia (18/18, Fig. 4P-Q), disrupting Fgf signaling perturbs morphogenesis of the hyoid endodermal outpocketings and leads to the 201 loss of the external gill primordia (14/15, Fig. 4R-S) possibly due to the loss of expression of 202 downstream genes (Fig. 4T-U). These results support a central role of the pharyngeal endoderm in triggering early development of bichir external gills. The pharyngeal origin of 203 204 the external gill primordia is surprising given that the external gills are commonly considered 205 as outer surface structures composed of ectoderm (Takeuchi et al., 2009; Diedhiou and 206 Bartsch, 2009). However, our finding of an endodermal component in the early formation of 207 bichir external gills reveals an unanticipated similarity with the true, internal gills of 208 vertebrates, which typically form as pharyngeal endodermal structures (Warga and Nüsslein-209 Volhard, 1999; Gillis and Tidswell, 2017). Pharyngeal morphogenesis might thus represent a 210 central developmental component of vertebrate gill breathing organs irrespective of their 211 actual topographic position.

212 213

214 3. Conclusions

215 The sequential formation of pharyngeal segments during embryonic development has deep 216 deuterostome origins (Willey, 1891; Koop et al., 2014; Gillis et al., 2012) and it is well 217 conserved among vertebrates, where all the embryonic cranial segments typically follow the 218 sequential anteroposterior order during development (Quinlan et al., 2004; Grevellec and 219 Tucker, 2010; Schilling, 2008; Santagati and Rijli, 2003). Bichir embryos diverge from this 220 common scheme by the profoundly accelerated development of the second, hyoid segment, 221 with earlier and orchestrated formation of the endodermal, mesodermal, and neural crest 222 tissues (Fig. 5). This unique heterochronic shift in the anteroposterior sequence constitutes a 223 developmental basis for the early appearance of external gills that represent key breathing 224 organs of bichir free-living embryos and early larvae.

225 Bichir external gills significantly differ from the external gills of amphibian and lungfish 226 larvae that characteristically supplement the post-hyoid, branchial arches (Duellman and 227 Trueb, 1994; Witzmann, 2004; Nokhbatolfoghahai and Downie, 2008; Schoch and 228 Witzmann, 2011). The hyoid segmental origin represents a major developmental dissimilarity 229 and suggests an independent evolution of bichir external gills. Developmentally, bichir 230 external gills likely correspond to opercular structures that in ray-finned fishes typically form 231 as caudal expansions of the hyoid arch to cover the gill-bearing branchial arches, and that 232 persist in amniotes as early embryonic opercular flaps (Richardson et al., 2012). In bichirs, 233 the opercular flap forms directly from the base of their external gills, and it progressively 234 expands during early larval stages while external gills become reduced (Diedhiou and Bartsch, 2009). Interestingly, the hyoid arch-derived external gills and opercular flaps are 235 236 both engaged in breathing and gill ventilation in bichir larvae. Moreover, in adult bichirs, the 237 hyoid domain also contributes to air-breathing by forming paired spiracular chamber with 238 openings located on the dorsal surface of the skull (Graham et al., 2014). Bichirs thus seem 239 unique across recent vertebrates in enhancing breathing capacity through the development 240 of several structures associated with the hyoid cranial segment.

241 242

243 4. Materials and Methods

244 4.1. Embryo collection

Fish were manipulated in accordance with the institutional guidelines for the use of embryonic material and international animal welfare guidelines (Directive 2010/63/EU). Senegal bichir (*Polypterus senegalus* Cuvier, 1829) embryos were obtained, reared and staged as previously described (Minarik et al., 2017; Diedhiou and Bartsch, 2009). Embryos were dechorionated manually, fixed in 4% PFA in 0.1 M PBS at 4°C overnight, and then gradually dehydrated through a series of PBS/methanol mixtures and finally stored in 100% methanol.

252

253 4.2. In situ hybridization and fate mapping

254 Whole-mount in situ hybridization with probes against Hoxa2 (GenBank accession number: 255 MK630352), Sox9 (GenBank accession number: MK630350), Fqf8 (GenBank accession number: MK630353), Pea3 (GenBank accession number: MK630351), and Dusp6 256 (GenBank accession number: MK630349) was performed as described (Minarik et al., 257 258 2017). Selected specimens were embedded in gelatine/albumin solution with glutaraldehyde. 259 sectioned and counterstained with DAPI. Fate mapping experiments were carried out as 260 described (Minarik et al., 2017). CM-Dil was injected into the neural fold of the prospective 261 rhombomere 4 (Fig. 2L). To confirm correct localisation of the tracking dye, some embryos 262 were fixed immediately after injection, sectioned, and observed under the fluorescent 263 stereomicroscope in order to confirm proper localization of the cell tracking dye. The rest of 264 the specimens were incubated until the desired stage and then fixed in 4% PFA in 0.1 M 265 PBS. 266

267 **4.3. Scanning electron microscopy (SEM) and MicroCT imaging**

Samples for SEM were fixed in modified Karnovsky's fixative (Mitgutsch et al., 2008). For direct visualization of cranial neural crest streams, the epidermis was removed using tungsten needles as described (Cerny et al., 2004). Specimens for MicroCT analysis were treated with phosphotungstic acid following the protocol developed by Metscher (2009) and scanned with a MicroXCT (X-radia) at the Department of Theoretical Biology, University of Vienna. Images were reconstructed in XMReconstructor (X-Radia), and virtual sections were analyzed in Amira (FEI Software).

275

276 4.4. Antibody staining

277 Specimens for antibody staining were fixed in Dent's fixative. Muscles were labeled with 278 12/101 antibody (AB531892; Developmental Studies Hybridoma Bank), neural crest cells were labeled with Sox9 antibody (AB5535; Merck Millipore), basal lamina was labeled with 279 280 anti-fibronectin (A0245; DAKO) and MAPK activity was assessed using anti-activated MAP 281 kinase antibody (M8159; Sigma). Primary antibodies were detected by Alexa Fluor 488 and 594 (Invitrogen, Thermo Fisher Scientific Inc.). Visualisation of nerve fibres was performed 282 283 using anti-acetylated tubulin antibody (T6793: Sigma) and EnzMet Enzyme Metallography kit 284 (Nanoprobes).

285

286 4.5. Pharmacological treatments

For inhibition of pharyngeal outpocketing morphogenesis, embryos were treated with 50 μ M SU5402 in DMSO (Sigma Aldrich) from stage 20 until stage 26. Treatments were performed in E2 medium (Brand et al., 2002). Controls were reared in E2 medium with the equivalent DMSO concentrations.

- 291
- 292
- 293
- 294
- 295

296 Author contributions

JS and RC conceived project and designed experiments; JS, AP, DJ, PF, BD, MM and BDM performed the experiments; JS, AP and VS analyzed the data; JS, DJ, VS and RC wrote the manuscript; all authors read and approved the final version of the manuscript.

301 Conflict of interest

302 The authors declare no competing interest.

303304 Acknowledgments

305 We thank Wojta Miller and Karel Kodejs for bichir colony care; James P. Cleland, Tatjana 306 Haitina, Dan Medeiros, Rolf Ericsson and Jana Stundlova for critical reading of earlier 307 versions of the manuscript; Martin Kralovic for initial work on this topic, Viktoria Psutkova 308 and Kristyna Markova for technical assistance. This study was supported by the Charles University Grant Agency GAUK 1448514 (to J.S.), GAUK 640016 (to A.P.), GAUK 220213 309 310 and GAUK 726516 (to M.M.), the Charles University grant SVV 260434/2019 (to J.S., A.P., V.S., D.J. and R.C.), the Charles University Research Centre program No. 204069 (to V.S.), 311 the grant of the Scientific Grant Agency of Slovak Republic VEGA 1/0415/17 and the 312 European Union's Horizon 2020 research and innovation program under the Marie 313 314 Skłodowska-Curie grant agreement No 751066 (to D.J.), and the Czech Science Foundation GACR 16-23836S (to R.C.). Computational resources were supplied by the Ministry of 315 316 Education, Youth and Sports of the Czech Republic under the Projects CESNET (Project 317 No. LM2015042) and CERIT-Scientific Cloud (Project No. LM2015085) provided within the 318 program Projects of Large Research. Development and Innovations Infrastructures.

319

320 **References**

- Abu-Issa R, Smyth G, Smoak I, Yamamura K, Meyers EN. 2002. Fgf8 is required for pharyngeal arch and cardiovascular development in the mouse. *Development* **129**:4613-4625.
- Baltzinger M, Ori M, Pasqualetti M, Nardi I, Rijli FM. 2005. *Hoxa2* knockdown in *Xenopus* results in hyoid to mandibular homeosis. Developmental Dynamics **234**:858-867.
- Brand M, Granato M, Nusslein-Volhard C. 2002. Keeping and raising zebrafish. In
 Zebrafish: A practical approach. (eds. C. Nusslein-Volhard and R. Dahm). Oxford University
 Press. p. 7–37.
- 331
 332 Cerny R, Meulemans D, Berger J, Wilsch-Bräuninger M, Kurth T, Bronner-Fraser M,
 333 Epperlein HH. 2004. Combined intrinsic and extrinsic influences pattern cranial neural crest
 334 migration and pharyngeal arch morphogenesis in axolotl. *Developmental Biology* 266:252335 269.
- 337 Cheung M, Briscoe J. 2003. Neural crest development is regulated by the transcription
 338 factor Sox9. *Development* 130:5681-5693.
- 339
 340 Choe CP, Crump JG. 2015. Dynamic epithelia of the developing vertebrate face. *Current*341 *Opinion in Genetics & Development* 32:66-72.
- 343 **Clack JA**. 2007. Devonian climate change, breathing and the origin of the tetrapod stem 344 group. *Integrative and Comparative Biology* **47**:510-523.
- 345
 346 Coates MI, Clack J. 1991. Fish-like gills and breathing in the earliest known tetrapod. *Nature*347 352:234-236.
- Couly G, Creuzet S, Bennaceur S, Vincent C, Le Douarin NM. 2002. Interactions between
 Hox-negative cephalic neural crest cells and the foregut endoderm in patterning the facial
 skeleton in the vertebrate head. *Development* 129:1061-1073.
- 353 Crump JG, Maves L, Lawson ND, Weinstein BM, Kimmel CB. 2004. An essential role for
 354 Fgfs in endodermal pouch formation influences later craniofacial skeletal patterning.
 355 Development 131:5703-5716.
 356
- **Diedhiou S**, Bartsch P. 2009. Staging of the early development of *Polypterus* (Cladistia: Actinopterygii). In: Development of Non-Teleost Fishes. (eds Kunz-Ramsay YW, Luer CA, Kapoor BG). Enfield: Science Publishers. p. 104-109.
- 360
 361 Duellman WE, Trueb L. 1994. Biology of Amphibians. McGraw-Hill, New York.
 362
- 363 Ericsson R, Cerny R, Falck P, Olsson L. 2004. Role of cranial neural crest cells in visceral
 364 arch muscle positioning and morphogenesis in the Mexican axolotl, Ambystoma mexicanum.
 365 Developmental Dynamics 231:237-247.
- 366
 367 Giles S, Xu G-H, Near TJ, Friedman M. 2017. Early members of 'living fossil' lineage imply
 368 later origin of modern ray-finned fishes. *Nature* 549:265-268.
- Gillis AJ, Fritzenwanker JH, Lowe CJ. 2012. A stem-deuterostome origin of the vertebrate
 pharyngeal transcriptional network. *Proceedings of the Royal Society B: Biological Sciences* 279:237-246.
- 373

369

342

348

Gillis JA, Tidswell ORA. 2017. The origin of vertebrate gills. *Current Biology* 27:729-732.

375 376 Goodrich ES. 1909. Vertebrata Craniata (first fascicle: cyclostomes and fishes). In: 377 Lankester R, ed. Treatise on zoology. Part 9. London: Adam and Charles Black. 378 379 Graham A, Smith A. 2001. Patterning the pharyngeal arches. *Bioessays* 23:54-61. 380 381 Graham A. 2008. Deconstructing the pharyngeal metamere. Journal of Experimental 382 Zoology Part B: Molecular and Developmental Evolution 310:336-344. 383 384 Graham JB, Wegner NC, Miller LA, Jew CJ, Lai NC, Berguist RM, Frank LR, Long JA. 2014. 385 Spiracular air breathing in polypterid fishes and its implications for aerial respiration in stem 386 tetrapods. Nature Communications 5:3022. 387 388 Grevellec AV, Tucker AS. 2010. The pharyngeal pouches and clefts: Development, 389 evolution, structure and derivatives. Seminars in Cell and Developmental Biology 21:325-390 332. 391 392 Hughes LC, Ortí G, Huang Y, Sun Y, Baldwin CC, Thompson AW, Arcila D, Betancur-R R, 393 Li C, Becker L, Bellora N, Zhao X, Li X, Wang M, Fang C, Xie B, Zhou Z, Huang H, Chen S, 394 Venkatesh B, Shi Q. 2018. Comprehensive phylogeny of ray-finned fishes (Actinopterygii) 395 based on transcriptomatic and genomic data. PNAS 115:6249-6254. 396 397 Hunter MP, Prince VE. 2002. Zebrafish hox paralogue group 2 genes function redundantly 398 as selector genes to pattern the second pharyngeal arch. Developmental Biology 247:367-399 389. 400 401 Jandzik D, Hawkins MB, Cattell MV, Cerny R, Square TA. Medeiros, D. M., 2014. Roles for 402 FGF in lamprey pharyngeal pouch formation and skeletogenesis highlight ancestral functions 403 in the vertebrate head. Development 141:629-638. 404 405 Kerr JG. 1907. The development of Polypterus senegalus Cuvier. In: Kerr J.G., editor 406 Budget Memorial Volume. Cambridge: Cambridge University Press. 407 408 Koop D, Chen J, Theodosiou M, Carvalho JE, Alvarez S, de Lera AR, Holland LZ, Schubert 409 M. 2014. Roles of retinoic acid and Tbx1/10 in pharyngeal segmentation: amphioxus and the 410 ancestral chordate condition. EvoDevo 5: 36. 411 412 Köntges G, Lumsden A. 1996. Rhombencephalic neural crest segmentation is preserved 413 throughout craniofacial ontogeny. Development 222:3229-3242. 414 415 Lumsden AL, Sprawson N, Graham A. 1991. Segmental origin and migration of neural crest 416 cells in the hindbrain region of the chick embryo. *Development* **113**:1281-1291. 417 418 Metscher BD. 2009. MicroCT for Developmental biology: A Versatile tool for high-contrast 419 3D imaging at histological resolutions. Developmental Dynamics 238:632-640. 420 421 Minarik M, Stundl J, Fabian P, Jandzik D, Metscher BD, Psenicka M, Gela D, Osorio-Pérez 422 A, Arias-Rodriguez L, Horácek I, Cerny R. 2017. Pre-oral gut contributes to facial structures in non-teleost fishes. Nature 547:209-212. 423 424 425 Minoux M, Rijli FM. 2010. Molecular mechanisms of cranial neural crest cell migration and 426 patterning in craniofacial development. Development 137:2605-2621. 427 428 Mitgutsch C, Piekarski N, Olsson L, Haas A. 2008. Heterochronic shifts during early cranial 429 neural crest cell migration in two ranid frogs. Acta Zoologica-Stockholm 89:69-78.

430 431 Mori-Akiyama Y, Akiyama H, Rowitch DH, de Crombrugghe B. 2003. Sox9 is required for 432 determination of the chondrogenic cell lineage in the cranial neural crest. PNAS 100:9360-433 9365. 434 435 Noda M, Miyake T, Okabe M. 2017. Development of cranial muscles in the actinopterygian 436 fish Senegal bichir, Polypterus senegalus Cuvier, 1829. Journal of Morphology 278:450-463. 437 438 Nokhbatolfoghahai M, Downie J. 2008. The external gills of anuran amphibians: 439 Comparative morphology and ultrastructure. Journal of Morphology 269:1197-1213. 440 441 Piotrowski T, Nusslein-Volhard C. 2000. The endoderm plays an important role in 442 patterning the segmented pharyngeal region in zebrafish (Danio rerio). Developmental 443 Biology 225:339-356. 444 445 Quinlan R, Martin P, Graham A. 2004. The role of actin cables in directing the 446 morphogenesis of the pharyngeal pouches. Development 131:593-599. 447 448 Richardson J, Shono T, Okabe M, Graham A. 2012. The presence of an embryonic 449 opercular flap in amniotes. Proceedings of the Royal Society B: Biological Sciences 450 **279**:224-229. 451 452 Rijli FM, Mark M, Lakkaraju S, Dierich A, Dollé P, Chambon P. 1993. A homeotic 453 transformation is generated in the rostral branchial region of the head by disruption of Hoxa-454 2, which acts as a selector gene. Cell 75:1333-1349. 455 456 Santagati F, Rijli FM. 2003. Cranial neural crest and the building of the vertebrate head. 457 Nature Reviews Neuroscience 4:806-818. 458 459 Schilling TF. 2008. Anterior-posterior patterning and segmentation of the vertebrate head. 460 Integrative and Comparative Biology 48:658-667. 461 462 Schoch RR, Witzmann F. 2011. Bystrow's Paradox - gills, fossils, and the fish-to-tetrapod 463 transition. Acta Zoologica 92: 251-265. 464 465 Square T, Jandzik D, Romášek M, Cerny R, Medeiros D. 2017. The origin and diversification of the developmental mechanisms that pattern the vertebrate head skeleton. 466 467 Developmental Biology 427:219-229. 468 Standen EM, Du TY, Larsson HCE. 2014. Developmental plasticity and the origin of 469 470 tetrapods. Nature 513:54-58. 471 472 Takeuchi M, Okabe M, Aizawa S. 2009. The genus Polypterus (bichirs): a fish group 473 diverged at the stem of ray-finned fishes (Actinopterygii). Cold Spring Harbor Protocols 474 2009, 2009(5). pdb.emo117. 475 476 Tatsumi N, Kobayashi R, Yano T, Noda M, Fujimura K, Okada N, Okabe M, 2016. 477 Molecular developmental mechanism in polypterid fish provides insight into the origin of 478 vertebrate lungs. Scientific Reports 6:30580. 479 480 Theveneau E, Mayor R. 2012. Neural crest delamination and migration: from epithelium-to-481 mesenchyme transition to collective cell migration. Developmental Biology 366:34-54. 482 483 Tokita M, Schneider RA. 2009. Developmental Origins of Species-Specific Muscle Pattern. 484 Developmental Biology 331:311-325.

- Walshe J, Mason I. 2003. Fgf signalling is required for formation of cartilage in the head. *Developmental Biology* 264:522-536.
- Warga RM, Nusslein-Volhard C. 1999. Origin and development of the zebrafish endoderm.
 Development 126:827-838.
- **Willey A**. 1891. The later larval development of amphioxus. *Quarterly journal of microscopical science* **32**:183-234.
- **Witzmann F.** 2004. The external gills of Paleozoic amphibians. Neues Jahrbuch für 496 Geologie und Paläontologie Abhandlungen **232**, 375-401.

503 Figure legends

504 Fig. 1: External gills of the Senegal bichir derive from the accelerated epidermal 505 outgrowth of the hyoid segmental origin

(A) Budgett's illustration (Kerr 1907) of a 3 cm long bichir larva with prominent external gills 506 507 (exq). (B) Lateral view of an early pharyngula stage, SEM image showing external gills and 508 cement glands (asterisk) as the first forming cranial structures. (C) SEM image of an early 509 neurula stage with emerging bulge within the hyoid domain (hd). (D) Hoxa2 expression in the 510 neural tube at the level of the presumptive hyoid arch. (E, G) SEM images of a tailbud 511 embryo with external gills anlage. (F, H) Hoxa2 expression pattern in a tailbud stage, with 512 highlighted position of external gills. (I-L) SEM images showing developmental 513 morphogenesis of external gills, (C-F, I-K) Dorsal view, (G-H, L) Lateral view, e, eve 514 primordium; ot, otic vesicle; r3, rhombomere 3; r5, rhombomere 5.

515

516 **Fig. 2:** Accelerated formation and heterochronic development of the hyoid neural 517 crest cells supply mesenchyme for the bichir external gills.

(A, D, G) Sox9 expression pattern in NC cells, from neurulation until early tailbud stages, 518 519 dorsal views. Notice that the population of hyoid NC cells (marks as H) forms very early, and 520 it later represents the most prominent cranial NC stream. (B-C, E-F) Sox9 expression pattern 521 in the mandibular and the hyoid domain, respectively, transversal sections. White 522 arrowheads mark the ventral position of the NC cells. Dotted lines represent boundaries of 523 neural- (red) and non-neural (yellow) ectoderm. DAPI (blue) shows cell nuclei. (H) 524 Pseudocolored SEM image, lateral view on an embryo with the partially removed surface 525 ectoderm (blue). NC cells are green, notice the amount of hyoid NC cells. Mesodermal 526 mesenchyme is reddish, endodermal pouches are vellow, and the neural tube is violet. (I-K) 527 Sox9 antibody visualizes individual neural crest cells. Lateral views, with small insets 528 representing dorsal views. Black arrowheads in I show the advanced position of the hyoid 529 NC cells. (L-O) Hyoid NC cell fate mapping (Dil red). Superimposed fluorescent and dark-530 field images at successive stages of development. (L) Lateral view, stage 25 embryo 531 showing the hyoid NC stream. Small inset (dorsal view) represents an embryo at stage 20 532 immediately after the focal Dil injection into the rhombomere 4 (r4). (M-N) Dil signal at 533 developing external gills, dorsal views. (O) Transversal section through the external gill (exg) 534 at the level indicated in O. White arrow shows Dil signal in the primary branch of the external 535 gill. Asterisk, cement gland; e, eye primordium; H, hyoid NC stream; Ma, mandibular NC 536 stream; np, neural plate; ot, otic vesicle; r3, rhombomere 3; r4, rhombomere 4.

537

538 Fig. 3: The premature differentiation of the external gill-associated cranial muscle 539 complex in the Senegal bichir larva

540 (A-C) Dorsal view on bichir embryos, developing skeletal muscles are revealed by 12/101 541 antibody (red). The red signal in A (st. 27) refers to the post-otic somites. The first cranial 542 muscle is associated with the external gills (B, stage 29). (C) Superimposed fluorescent and 543 SEM image showing the context of the external gill muscles. (D) Transversal section through 544 the external gills at the level indicated in B. DAPI (blue) stains cell nuclei. (E, F) Stage 30 545 bichir embryo, lateral view with (E) cranial nerves fibres labeled with anti-acetylated tubulin, and with (F) cranial muscles stained with 12/101 antibody (red). (G) Stage 33 bichir embryo, 546 547 lateral view, with developed cranial muscles stained with 12/101 antibody (red). Asterisk, 548 cement gland; am, adductor mandibulae; ah/ao, complex of adductor hyomandibulae and 549 adductor operculi; b, brain; ba, branchial arches; bm, branchiomandibularis; cd, constrictor 550 dorsalis; cement gland; e, eye primordium; lb/db, complex of levator branchiarum and 551 depressor branchiarum; hh, hyohyoideus; ih, interhyoideus; im, intermandibularis; ot, otic 552 vesicle; pf, pectoral fin; y, yolk; V., nervus trigeminus; VII., nervus facialis.

553

554 **Fig. 4: Considerable expansion of the hyoid pharyngeal endoderm contributes to the** 555 **development of external gills in the Senegal bichir.** 556 (A, F) SEM images, dorsal view of bichir embryos with developing external gills (exg). 557 showing the level of virtual sections in B and G. Notice the correspondence of the hyoid pharyngeal endoderm (B, G) and the external gills (A, F). (B-D, G-H) 3D models of 558 pharyngeal endoderm (yellow) from dorsal (C, H), and lateral (D, I) view, respectively. (E, J) 559 560 Transversal sections show prominent lateral expansion of hyoid pharyngeal endoderm 561 (white arrow). (K-M) Transversal sections show wild-type expression of Fgf8, Pea3, and 562 Dusp6 (black arrow) in the external gills primordium. (N) Immunostaining of anti-activated 563 MAP kinase antibody on transversal section of the external gills primordium. (O) Scheme 564 summarizing Fgf8, Dusp6, and Pea3 (K-M) expression patterns in the external gills formation 565 at stage 26. Violet indicates Faf8 expression: blue marks Dusp6 expression in the endoderm 566 and adjacent mesenchyme of the external gills; vellow depicts expression of Pea3 in the mesechyme of the external gills. (P-U) Inhibition of pouch-like endodermal outpocketings (P, 567 R, T-U), dorsal view. (P-Q) Control larvae treated with DMSO develop normal pouch-like 568 569 endodermal outgrowths (white arrow). (R) Larvae exposed to SU5402 from stage 20 till 570 stage 26. (S) Transversal section shows loss of external gill anlagen. (T-U) SU5402 treated 571 larvae fixed at stage 26 and probed for Pea3 (T) and Dusp6 (U). Nuclei are stained with DAPI (blue), basal laminae with anti-fibronectin (red). White arrowheads mark spiraculum 572 (hyomandibular cleft) and black arrowhead marks hyo-branchial pouch. Asterisk, cement 573 574 gland; b, brain; green, otic vesicle; e, eye primordium; nt, notochord; ot, otic vesicle; ph, 575 pharynx. 576

- 577 Fig. 5: Bichir embryos diverge from the common anteroposterior differentiation 578 scheme by accelerated development of the entire hyoid segment.
- 579 (A, B) A cartoon of cranial neural crest migration (green), the first mesoderm (red), and 580 pharyngeal pouches (yellow) in a typical vertebrate (A) and a bichir (B). Top are left lateral views, below are left horizontal sections. (A) In vertebrates, the sequential anteroposterior 581 582 formation of cranial segments is well conserved, including pharyngeal pouches and cranial 583 neural crest streams. (B) In bichirs, the entire hyoid segment is accelerated with earlier 584 formation of the endodermal, mesodermal, and neural crest tissues, what constitutes a 585 developmental basis for the appearance their external gills. Surface ectoderm in horizontal 586 sections is shown in blue and primitive gut in ochre; B, branchial NC stream; H, hyoid NC 587 stream; Ma, mandibular NC stream; pp I.-pp VI., pharyngeal pouches.
- 588

Fig. 4-figure supplement 1: Fgf8 expression during the course of bichir hyoid arch and external gill development. (A-J) *Fgf8* expression patterns at the indicated stages from dorsal (A-E), and lateral (F-J) views, respectively. (K-O) Sections at the level of the external gills (exg). White arrowheads mark spiraculum (hyomandibular cleft) and black arrowheads mark hyo-branchial pouch. White arrow indicates presence of *Fgf8* transcripts in the hyoid endodermal outpocketings. Asterisk, cement gland; b, brain; nt, notochord; ph, pharynx. 595

Fig. 4-figure supplement 2: Expression patterns of bichir Fgf8 and transcriptional readouts of Fgf signaling, Dusp6 and Pea3. (A, D, G, J) *Fgf8* expression patterns at the indicated stages from dorsal (A, G), and lateral (D, J) views, respectively. (B, E, H, K) *Dusp6* expression patterns at the indicated stages from dorsal (A, G), and lateral (D, J) views, respectively. (C, F, I, L) *Pea3* expression patterns at the indicated stages from dorsal (A, G), and lateral (D, J) views, respectively. Asterisk, cement gland; exg, external gills.

- 603
- 604
- 605
- 606
- 607
- 608





St. 28









