

1 **Learning differentially shapes prefrontal and hippocampal activity during classical**
2 **conditioning**

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8 **Abstract**

9 The ability to use sensory cues to inform goal directed actions is a critical component of
10 behavior. To study how sounds guide anticipatory licking during classical conditioning, we
11 employed high-density electrophysiological recordings from the hippocampal CA1 area and
12 the prefrontal cortex (PFC) in mice. CA1 and PFC neurons undergo distinct learning
13 dependent changes at the single cell level and maintain representations of cue identity at the
14 population level. In addition, reactivation of task-related neuronal assemblies during
15 hippocampal awake Sharp-Wave Ripples (aSWR) changed within individual sessions in CA1
16 and over the course of multiple sessions in PFC. Despite both areas being highly engaged
17 and synchronized during the task, we found no evidence for coordinated single cell or
18 assembly activity during conditioning trials or aSWR. Taken together, our findings support
19 the notion that persistent firing and reactivation of task-related neural activity patterns in CA1
20 and PFC support learning during classical conditioning.

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40 **Introduction**

41 The ability to react to sensory cues with appropriate behavior is crucial for survival. On the
42 level of neuronal circuits, linking cues to actions likely requires the interplay between a large
43 network of cortical and subcortical brain structures, including the medial prefrontal cortex
44 (PFC) and the CA1 area of the hippocampus (Allen et al., 2017; Steinmetz et al., 2019). Both
45 areas have been found to respond to sensory cues and reward in various behavioral
46 paradigms (Aronov et al., 2017; Chen et al., 2013; Starkweather et al., 2018; Taxis et al.,
47 2020) and are involved in action planning and execution (Otis et al., 2017; Terada et al.,
48 2017).

49 PFC has been suggested to map contextual and sensory information to appropriate actions
50 according to flexible rules (Euston, 2012). Accordingly, PFC has been found to control the
51 development and expression of anticipatory licking during sensory guided reward-seeking
52 behavior (Otis et al., 2017). PFC also maintains working memory representations of sensory
53 cues over delay periods (Funahashi et al., 1993; Goldman-Rakic, 1995).

54 Similar to PFC, CA1 responds to sensory cues and displays sustained activity during delay
55 periods to support memory formation (Hattori et al., 2015; McEchron et al., 1999; McEchron
56 and Disterhoft, 1997).

57 Importantly, CA1 and PFC interact substantially during awake hippocampal Sharp-Wave
58 Ripples (aSWRs) (Jadhav et al., 2016). aSWRs have been suggested to support planning of
59 goal directed actions in the context of spatial navigation (Ólafsdóttir et al., 2018) and the
60 disruption of aSWRs leads to impairments in anticipatory behavior (Nokia et al., 2012). In
61 addition, sensory cue representations are reactivated in hippocampal and cortico-
62 hippocampal circuits during aSWRs (Herzog et al., 2020; Rothschild et al., 2017). Yet,
63 whether task related information during classical conditioning is also reactivated in the CA1-
64 PFC circuit during aSWR and how this changes over the course of learning is currently
65 unknown.

66 Here, we investigate how neural activity patterns in CA1 and PFC change throughout
67 learning of sensory guided behavior, if information related to sensory cues is maintained
68 while anticipatory actions are performed, and whether task-related information is reactivated
69 in the CA1-PFC circuit during aSWRs. To this end, we employed high density silicon probe
70 recordings from both areas in head-fixed mice during appetitive auditory trace-conditioning.
71 Our findings reveal that CA1 and PFC exhibit distinct learning dependent changes in
72 sensory cue evoked activity, trial type and sensory-cue related sustained activity as well as
73 reactivation of task-related neural assemblies during aSWR.

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75 **Results**

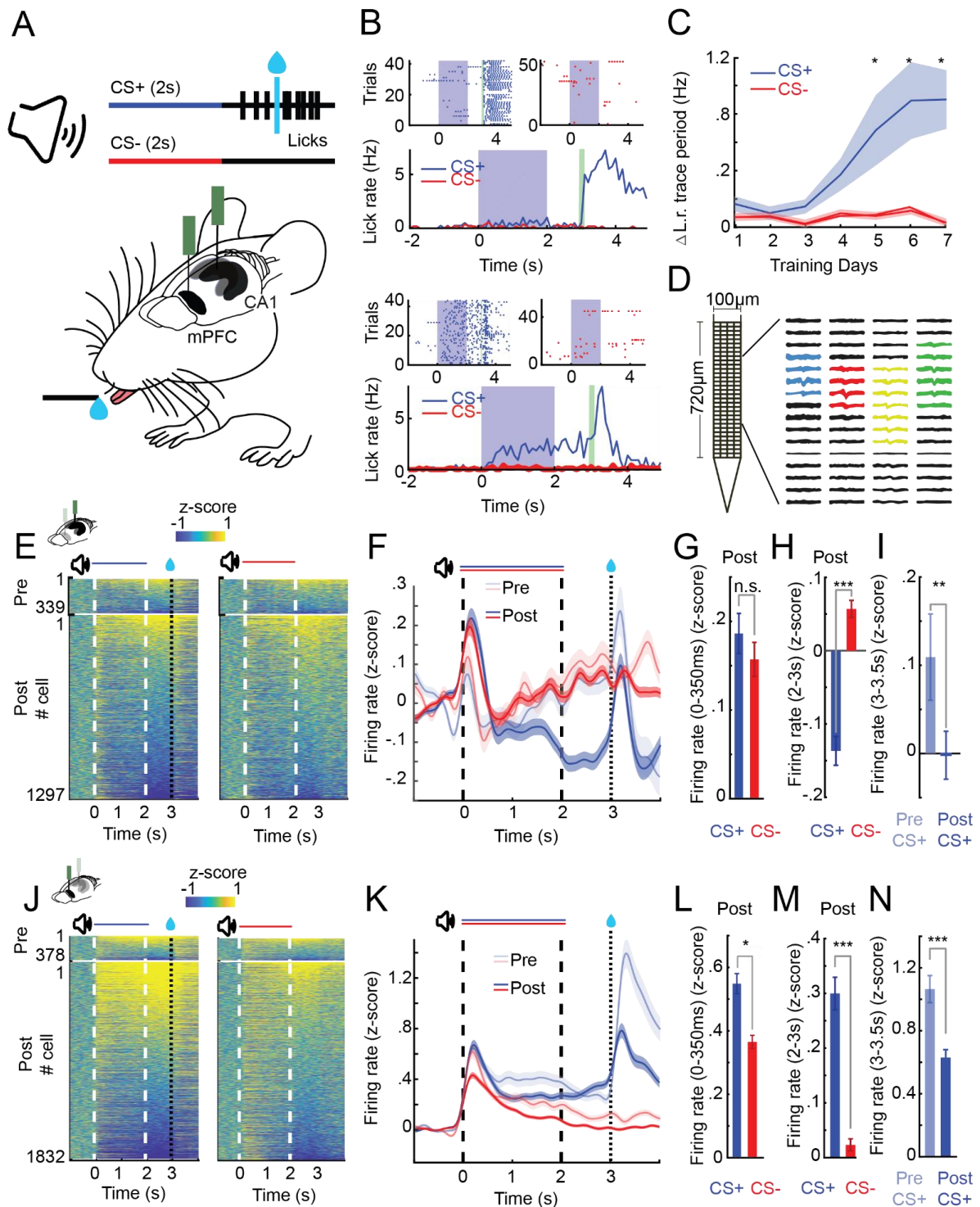
76 **Head-fixed mice learn to anticipate reward during appetitive auditory trace** 77 **conditioning**

78 We trained head-fixed mice to associate one of two sounds (CS+ vs CS-) with a liquid
79 reward delivered after a 1-second-long, silent trace period (Figure 1A) (Otis et al., 2017).
80 Successful learning expressed as the emergence of anticipatory licking of the animals in
81 response to CS+ sounds (Figure 1B & 1C). Across all animals, lick rates during the trace
82 period were significantly higher during CS+ trials after 5 days of training (Figure 1C;
83 Wilcoxon rank sum, $p < 0.01$).

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85 **CA1 and PFC exhibit learning dependent changes in sound evoked and sustained** 86 **activity**

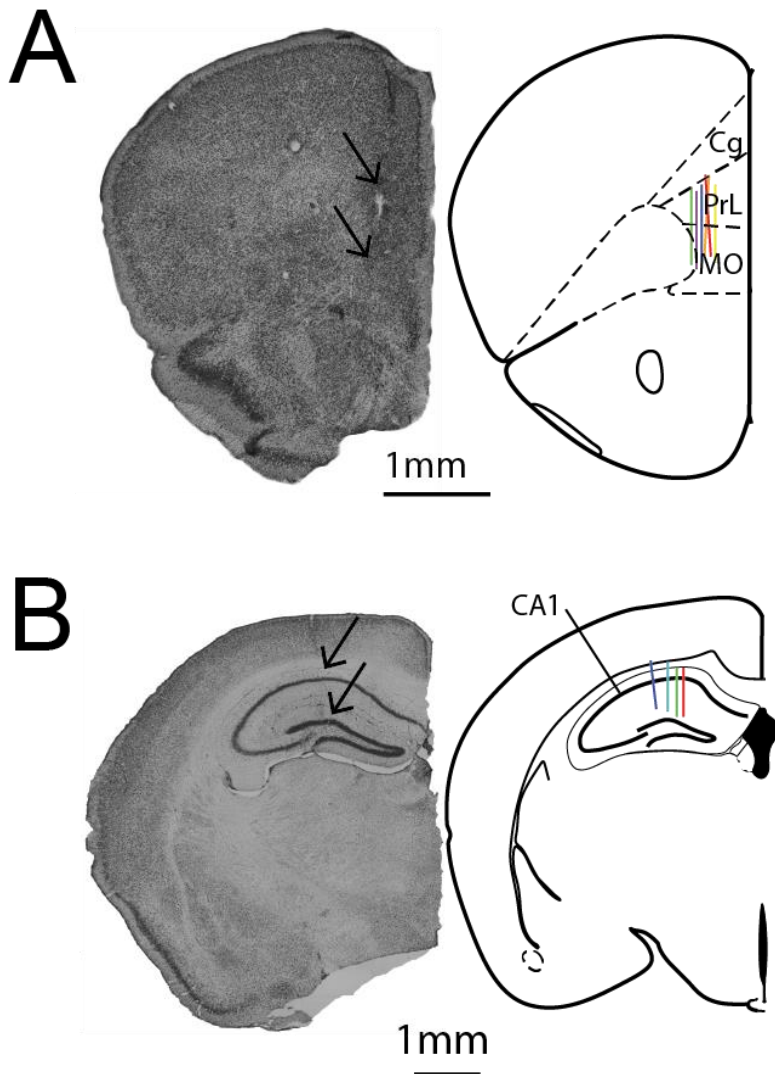
87 We next investigated how learning shapes neural dynamics in CA1 and PFC. To this end,
88 we performed high-density silicon probe recordings from dorsal CA1 and PFC (1636 and
89 2217 cells total and 34 and 54 average per session in CA1 and PFC respectively; Figure 1-
90 figure supplement 1 and Figure 1-source data 1), during the first two days of training and
91 after the animals successfully acquired the conditioning task (hereafter referred to as pre
92 and post learning) (Figure 1D, 1E and 1J). We observed that over the course of learning
93 both areas developed pronounced differences in neural activity patterns between CS+ and
94 CS- trials.



96

97 **Figure 1. CA1 and PFC single cell activity shows distinct learning-dependent changes**98 **during appetitive auditory trace conditioning (AATC).** **A)** Schematic of AATC task and99 **electrophysiological recordings** **B)** Example post-learning training sessions of one mouse100 **during the AATC task (dots in raster-plots represent licks, solid lines indicate**101 **average responses from respective sessions).** **C)** Average change in lick rate during the trace period

102 trial during learning for all animals (n=17) (*indicates sessions with significantly higher group
103 average licks during the trace period after CS+ sounds, Shade area represents standard
104 error of the mean (SEM)). **D)** “Neuroseeker” silicon probe layout and combined spatial spike
105 waveform patterns of 4 simultaneously recorded example neurons from CA1. **E)** Z-scored
106 firing rates of all CA1 neurons recorded pre (top) and post (bottom) learning during CS+ and
107 CS- trials ordered according to average trace period firing rates. **F)** Z-scored PSTHs of all
108 recorded cells in CA1. **G)** Z-scored sound evoked change in firing rate (0-350ms post
109 CS+/CS- onset) in CA1. **H)** Z-scored trace period change in firing rate (2-3s post CS+/CS-
110 onset) in CA1. **I)** Z-scored reward period change in firing rate (0-.5s post reward presentation
111 for CS+ trials pre and post learning) in CA1. **J)** Z-scored firing rates of all PFC neurons
112 recorded pre (top) and post (bottom) learning during CS+ and CS- trials ordered according
113 to average trace period firing rates. **K)** Z-scored PSTHs of all recorded cells in PFC. **L)** Z-
114 scored sound evoked change in firing rate in PFC. **M)** Z-scored trace period change in firing
115 rate in PFC. **N)** Z-scored reward period change in firing rate in PFC (*, **, *** represents
116 Wilcoxon rank sum, $p < 0.05$, $p < 0.01$, $p < 0.001$). (Error bars and shaded areas represent
117 SEM).
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120 **Figure 1-figure supplement 1. Positioning of silicon probes in CA1 and PFC. A)**
 121 *Histological image of silicon probe implantation track in PFC (left). Arrows show estimated*
 122 *extend of 128 channel “Neuroseeker” silicon probe. Schematic of verified recording position*
 123 *from 6 animals (right) (adapted from (Franklin and Paxinos, 2019). B) Histological image of*
 124 *silicon probe implantation track in CA1 (left). Arrows show estimated extend of 128 channel*
 125 *“Neuroseeker” silicon probe. Schematic of verified recording position from 4 animals (right)).*

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127 **Figure 1-source data 1. Number of recorded neurons per animal and session in CA1,**
 128 **PFC and simultaneous CA1-PFC recordings.**

<i>Animals</i>	Pre Sessions		Post Sessions					All Sessions
1	15	14	43	25	64	56	36	253
2	40		85	53	19			197
3	20	24	54	37				135
4	28		52	39	41	42	42	244
5	31	29	34	39				133
6	36		34	33				103
7	31		31	22				84
8			83	42				125
9			31	41	38			110
10	79		83	80	77	7		326
11			62	66	62	65	50	374
12	57		77	94	48			276
13	68		34	22	84	41	47	296
14	42		44					86
	69		22					91
15	17		34	40	36	42	16	185
	76		49	22	64	16	66	293
16			29	40	20	12		101
			14	61	35	52		162
17	12		44	20	12	27		115
	29		16	32	43	44		164
<i>Total</i>	272	67	484	348	192	179	94	1636
	378		471	460	451	225	163	2217

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132 Short-latency evoked responses to both CS+ and CS- stimuli increased over the course of
 133 learning in CA1 (Kruskal-Wallis test; $F(3,3267)=23.64$, $p<0.001$; Posthoc Wilcoxon Rank
 134 Sum Test for CS+, $p<0.001$ and CS-, $p=0.008$) but were not significantly different from each
 135 other post learning (Figure 1 G, Wilcoxon Rank Sum, $p=.73$). In contrast, in PFC, CS+
 136 responses remained high during learning but responses to CS- stimuli decreased (Kruskal-
 137 Wallis test; $F(3,4413)=21.49$, $p<0.001$; Post-hoc Wilcoxon Rank Sum Test for CS+, $p=0.2$
 138 and CS-, $p<0.001$) which led to a significant differences in post learning responses between
 139 CS+ and CS- stimuli (Figure 1L, Wilcoxon Rank sum $p<.05$)

140 During the trace period following CS+ sounds, cells in PFC exhibited, on average, a strong
 141 sustained increase in firing rates (Wilcoxon rank sum, $p<0.001$) (Figure 1M) while average
 142 single cell responses in CA1 became significantly suppressed (Wilcoxon rank sum, $p<0.001$)
 143 (Figure 1H).

144 Reward evoked activity significantly decreased in both CA1 (Wilcoxon rank sum, $p < 0.001$)
145 and PFC (Wilcoxon rank sum, $p < 0.001$) (Figure 1I and 1N) from pre- to post-learning
146 sessions.

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148 **A subset of single cells in CA1 and PFC show lick related activity**

149 Over the course of learning, mice started to respond to CS+ sounds with anticipatory licking.
150 Therefore, we investigated whether CA1 and PFC also exhibited time-locked activity at the
151 onset of anticipatory licking during CS+ trials. In line with previous reports showing the
152 involvement of PFC in licking behavior during appetitive trace conditioning (Otis et al., 2017),
153 we found that single cells in PFC showed increases in activity compared to pre-trial baseline
154 at the time of the first anticipatory lick (PFC Lick Up, $n = 77$, 4% of all cells; Criterion: mean
155 activity during -250ms - +250ms around 1st lick, 1 standard deviation above pre-trial
156 baseline) (Figure 2D). We also found that a small population of CA1 cells responded during
157 licking (CA1 Lick-up, $n = 36$, 2%) (Figure 2A).

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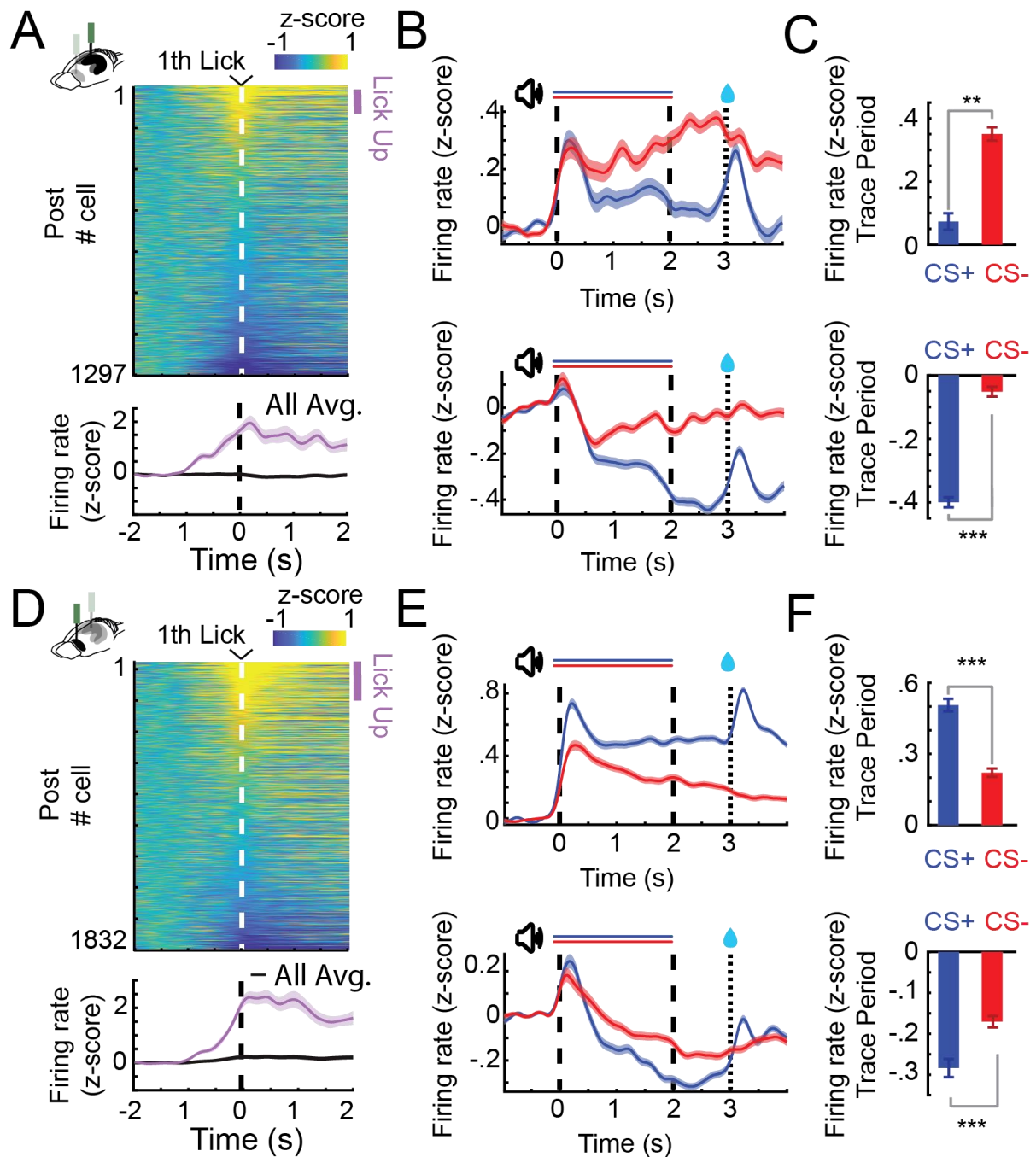
159 **CA1 and PFC cells exhibit distinct patterns of trial type-specific sustained activity**

160 However, lick related activity was not the only driver of single cell modulation during the
161 interval between CS+ and reward delivery. We discovered a large subset of single cells that
162 exhibited trial type specific sustained responses during the trace period in CA1 and PFC,
163 similar to what had previously been described during aversive eyeblink trace-conditioning
164 (Hattori et al., 2015, 2014; Takehara-Nishiuchi and McNaughton, 2008).

165 In CA1, a large fraction of non-lick cells was significantly suppressed by CS+ stimuli (post
166 Trace-Down, $n = 580$ 40%) (Figure 2B and 2C (bottom)) and the percentage of these Trace-
167 Down cells as well as suppression levels increased from pre to post learning (Pre 19%;
168 Suppression Pre vs Post, T-test $p < 0.01$). We also observed a smaller fraction of non-lick
169 cells with sustained increases to CS+ sounds (post Trace-Up, $n = 247$, 17%) (Figure 2B and
170 2C (bottom)). The percentages of these cells decreased from pre to post learning session
171 (Pre, 29%, Sup. Fig. 3 A & B).

172 In PFC, most modulated cells showed sustained increases in activity in response to CS+
173 sounds (Trace-Up, $n = 734$, 38%) (Figure 2E and 2F (top)) and the percentage and
174 activation levels of these cells remained similar from pre to post learning. A similar fraction of
175 cells showed sustained suppression (Trace-Down, $n = 630$ 33%) (Figure 2E and 2F
176 (bottom)). Differences between CS+ and CS- responses that emerged over the course of
177 learning in PFC were mostly caused by a reduction in CS- stimulus evoked activity. CS-
178 responsive Trace-Up cells in PFC significantly decreased their activity from pre to post
179 learning sessions (T-test, $p < 0.001$) (Fig. 2-figure supplement 1 A & B).

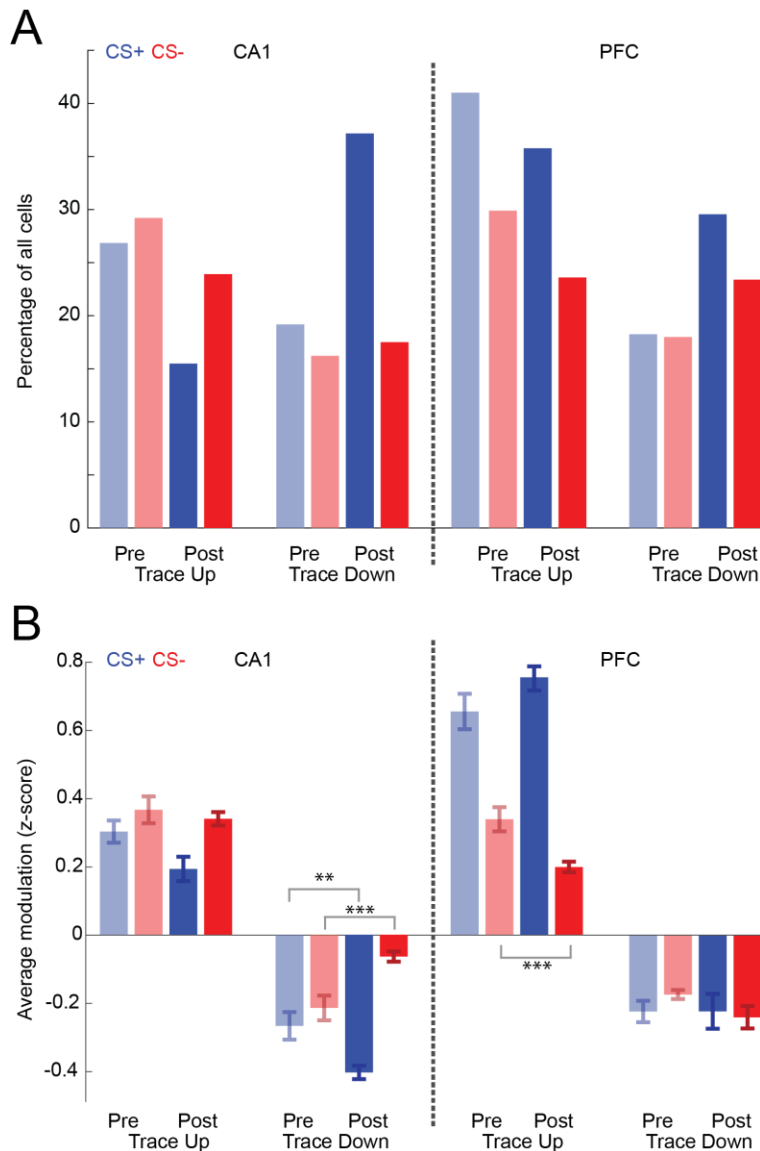
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Figure 2. CA1 and PFC single cells exhibit lick evoked responses and distinct patterns of sustained activity. **A)** Z-scored firing rates of all CA1 neurons (Top) aligned to the first lick of a lick bout (at least 3 licks/s) during CS+ trials (before reward delivery). Z-scored change in activity of all and for positively lick modulated cells (bottom). Purple bar indicates Lick-Up cells. **B)** Z-scored PSTHs of all Trace-Up (Top) and Trace-Down (Bottom) post-learning for CA1 (in CS+ or CS- trials: Trace-Up, n=444; Trace-Down, n=675). **C)** Z-scored change in firing rate during the trace period of the same Trace-Up neurons (Top) and Trace-Down neurons (bottom) for CA1 **D)** Lick cells in PFC (same as in A) **E)** Trace-Up (Top) and Trace-Down (Bottom) non-lick neurons post-learning for PFC (CS+ or CS- trials: Trace-Up, n=736; Trace-Down, n=734). **F)** Z-scored change in firing rate during the trace period of the

192 same Trace-Up neurons (Top) and Trace-Down neurons (bottom) for PFC. (*, **, ***
 193 represents Wilcoxon rank sum, $p < 0.05$, $p < 0.01$, $p < 0.001$; Error bars and shaded areas
 194 represent SEM).



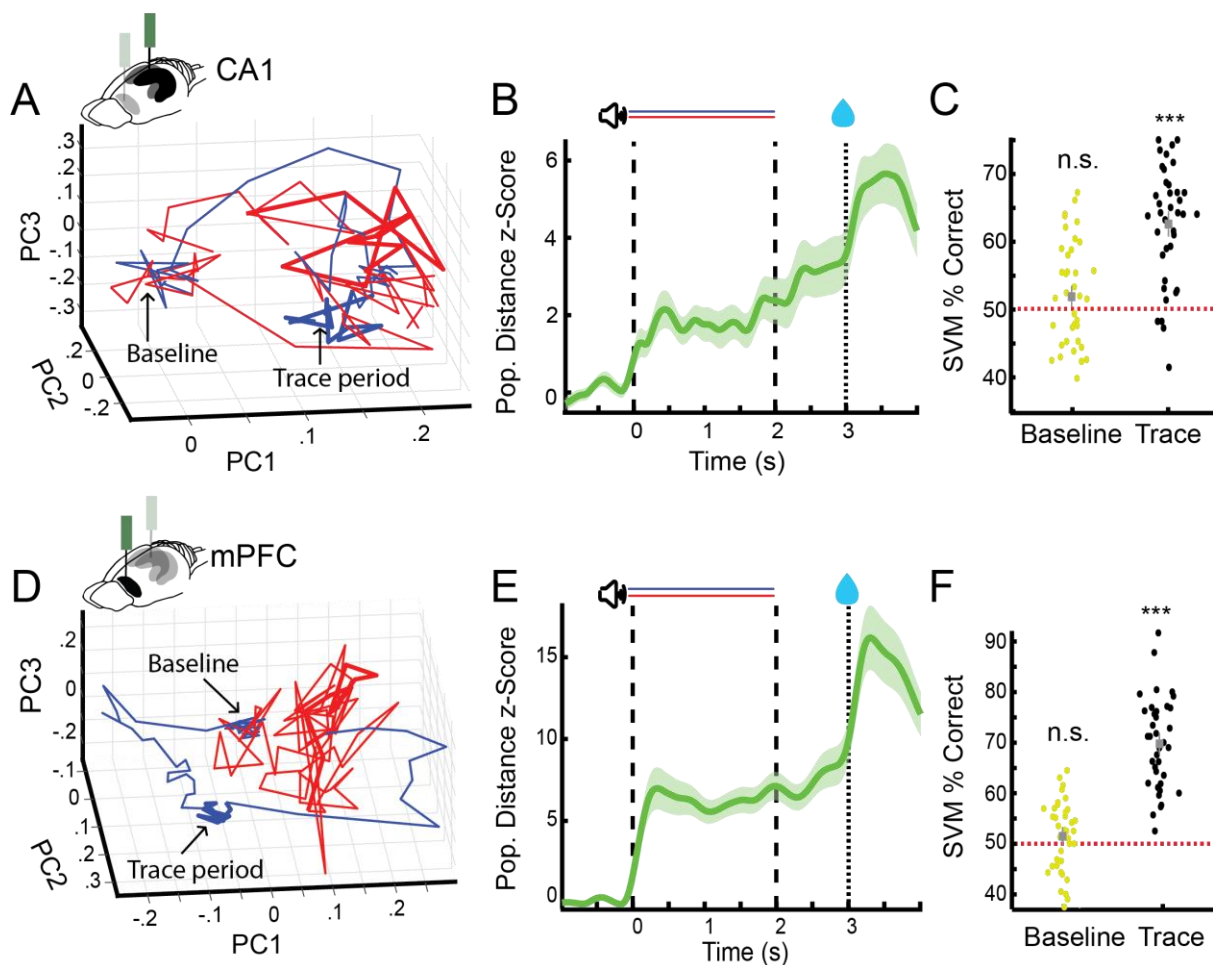
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 196 **Figure 2-figure supplement 1. Distribution and activation of Trace-Up and Trace-Down**
 197 **cells in CA1 and PFC changes over the course of learning. A)** Percentage of Trace-Up
 198 and Trace-Down cells in CA1 and PFC in pre- and post-learning sessions separately for
 199 CS+ and CS- trials. **B)** Average Z-scored modulation of all combined Trace-up and Trace-
 200 down cells in CA1 and PFC in pre- and post-learning sessions (Error bars represent SEM).

201
 202 **CA1 and PFC population activity distinguishes between rewarded and unrewarded**
 203 **trials during the trace period**

204 Because individual cells in CA1 and PFC exhibited sustained activity during the trace period,
 205 we hypothesized that these responses might be part of a broader CA1 and PFC population
 206 code to maintain a representation of trial identity between CS+ and reward delivery (i.e., in

207 the trace period, in which there is no on-going stimulus). To test this, we first computed the
208 binned population rate vectors for all simultaneously recorded non-lick cells in both areas
209 during CS+ and CS- trials on a session-by-session basis. We next calculated the Euclidean
210 distance between the population rate vector trajectories during the two stimuli (see Figure 3A
211 and 3C for trajectory examples), and used this metric as a proxy for the similarity of
212 population responses over time. We found that in post-learning sessions, the population rate
213 vector distance increased after stimulus onset and persists to be significantly different from
214 baseline during the trace period in CA1 (Wilcoxon sign rank, $p < 0.001$) and PFC (Wilcoxon
215 sign rank, $p < 0.001$), indicating that both areas maintain trial type specific information at the
216 population level. Non-lick cell population rate vector distance did not correlate with lick
217 activity or movement of the animals in CA1 ($n=36$, Population Vector Distance vs. Licks:
218 correlation coefficient = .14, $p = .42$; Population Vector Distance vs movement: correlation
219 coefficient = .03, $p = .85$; Fig. 3-figure supplement 2C) or PFC ($n=38$, Population Vector
220 Distance vs. Licks: correlation coefficient = -.1, $p = .52$; Population Vector Distance vs
221 movement: correlation coefficient = -.04, $p = .74$; Fig. 3-figure supplement 2D). Population
222 response differences persisted even after reward delivery and usually settled back at
223 baseline levels after 20s (Fig. 3-figure supplement 1).

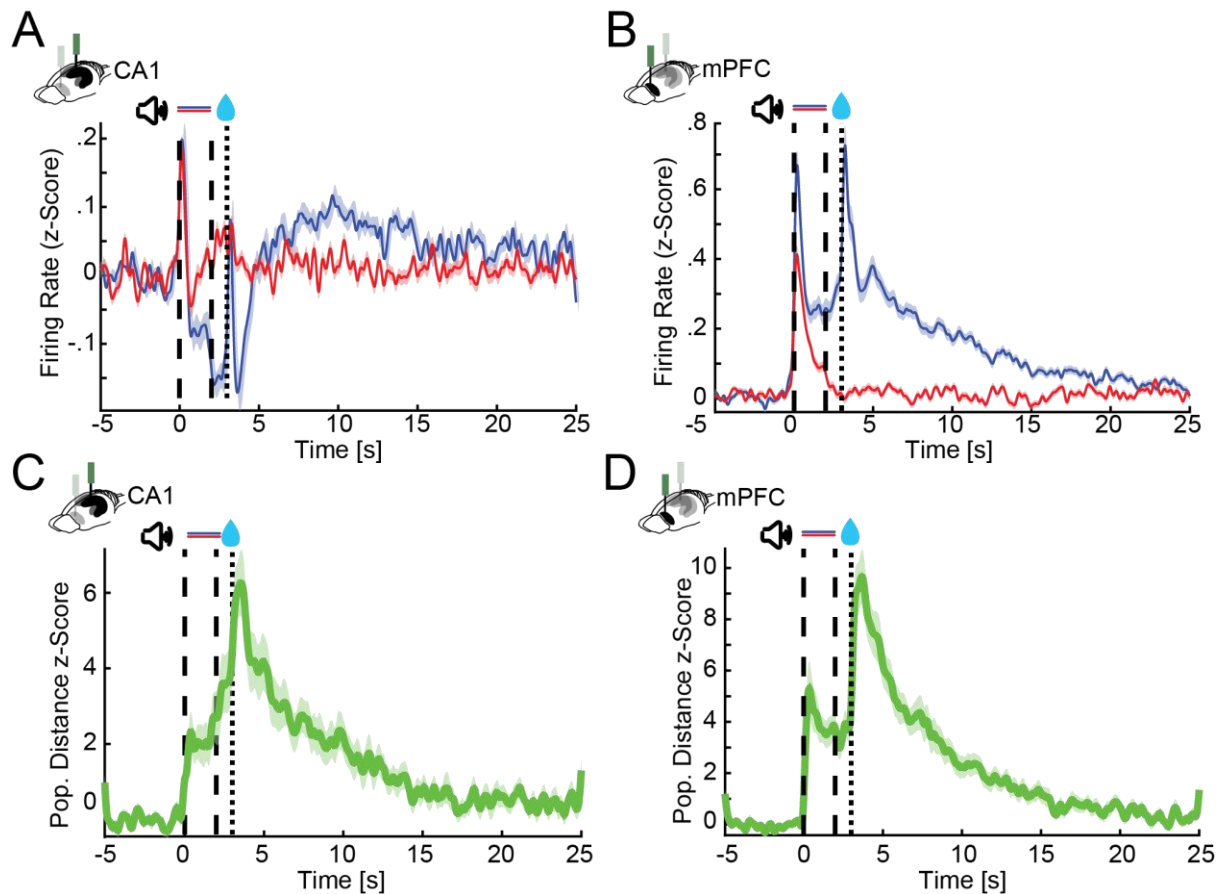
224 To verify that both areas maintain CS type specific information on a trial-by-trial basis, we
225 next trained a support vector machine classifier on the firing rates of simultaneously
226 recorded non-lick cells during the trace period from either CA1 or PFC. We were able to
227 predict the preceding stimulus identity significantly above chance level in CA1 (mean
228 performance, 62% correct, Wilcoxon sign rank $p < 0.001$; Figure 3C) and PFC (mean
229 performance, 76% correct, Wilcoxon sign rank $p < 0.001$; Figure 3F).



230

231 **Figure 3. CA1 and PFC non-lick cell population activity encode trial identity during the**
 232 **trace period. A)** Example of average non-lick cell population rate vector trajectories for one
 233 session in CA1 (CS+ (blue) and CS- (red)). Averages plotted along first 3 principal
 234 components (Baseline period: blob on the left, trace period thicker lines on the right). **B)**
 235 Average z-scored Euclidean-distance between CS+ and CS- non-lick cell population rate
 236 vector trajectories during AATC task for CA1 ($n=36$) (shaded areas represent SEM). **C)**
 237 Support vector machine classification of trial identity by average baseline (-1s-0) and trace
 238 period (2-3s) activity of non-lick cells in CA1 ($n=36$) (***) indicate Wilcoxon sign rank
 239 $p<0.001$). **D)** Example of average non-lick cell population rate vector trajectory for one
 240 session in PFC. **E)** Average z-scored Euclidean-distance between CS+ and CS- non-lick cell
 241 population rate vector trajectories for PFC ($n=38$) (shaded areas represent SEM). **F)**
 242 Support vector machine classification of trial identity by average baseline (-1s-0) and trace
 243 period (2-3s) activity of non-lick cells in PFC ($n=38$).

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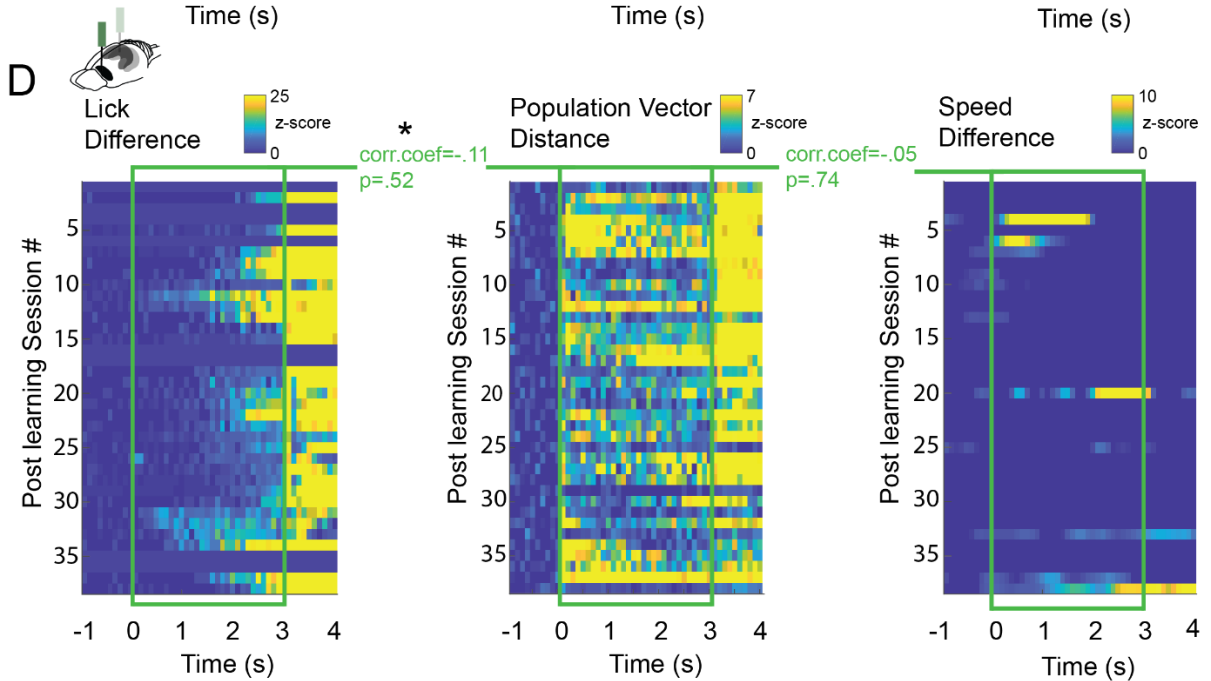
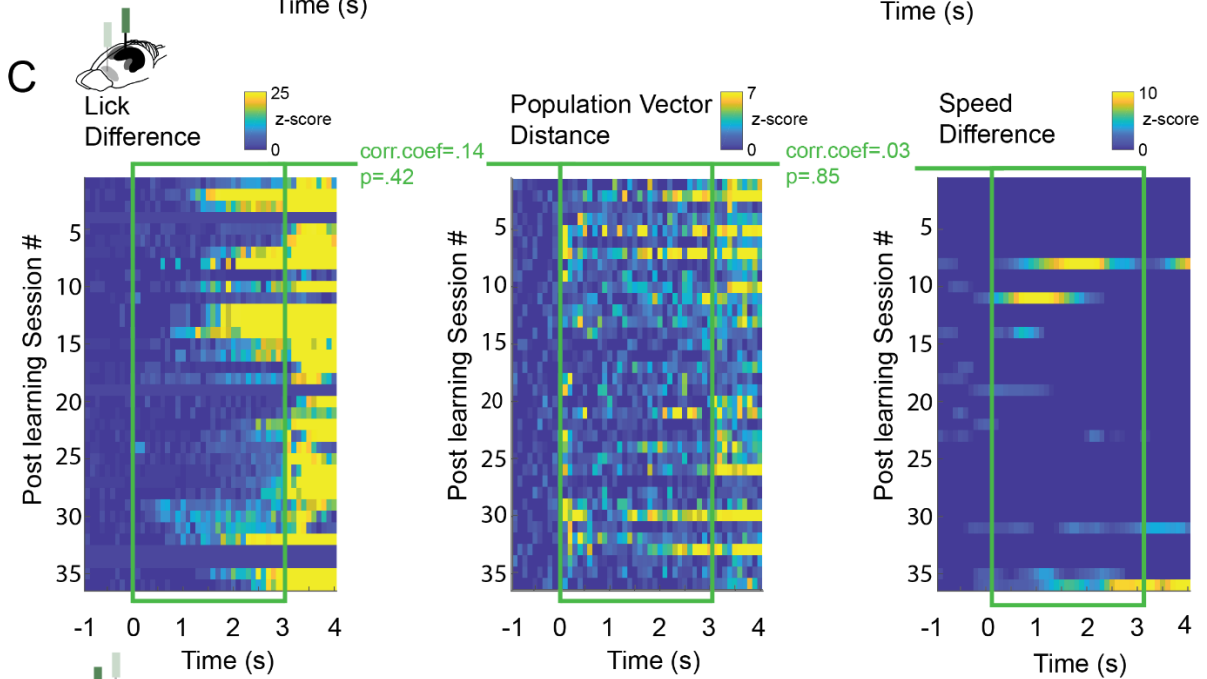
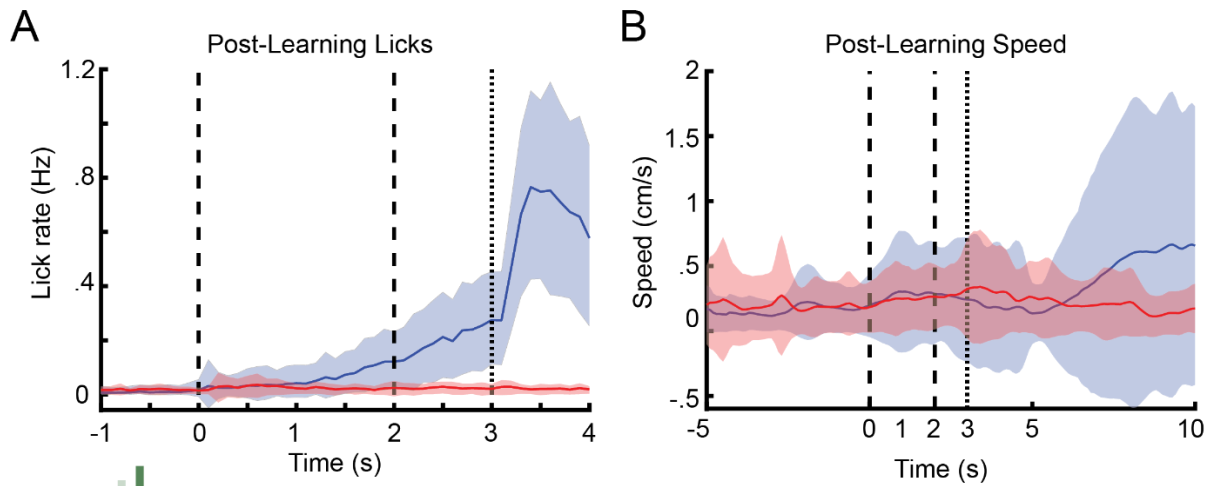
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Figure 3-figure supplement 1. CA1 and PFC single cells and population responses slowly decay back to baseline after conditioning trials. A & B) Z-scored firing rates of all CA1 (A) and PFC (B) neurons recorded during post-learning sessions for 25s after trial onset. C & D) Average z-scored Euclidean-distance between CS+ and CS- non-lick cell population rate vector trajectories during AATC task for CA1 (n=36) and PFC (n=38) (shaded areas represent SEM).



253 **Figure 3-figure supplement 2. CA1 and PFC non-lick cell population activity does not**
254 **correlate with lick or running behavior. A)** Average Lick responses during post-learning
255 trials for CS+ and CS- trials (52 sessions, 17 animals) (shaded areas represent SEM). **B)**
256 Average running speed during post-learning CS+ and CS- trials (52 sessions, 17 animals). **C**
257 **& D)** Correlation between non-lick population rate vector differences (CS+ vs CS- trials) for
258 all post learning sessions in CA1 (C) and PFC (D) (middle) and differences in lick rate (CS+
259 vs CS- trials) (left) and differences in running Speed (CS+ vs CS- trials) (right) for all post
260 learning sessions.

261

262 **CA1-PFC LFP coherence but not single cell interactions increase during trace** 263 **conditioning**

264 CA1 and PFC are known to interact during various spatial memory tasks in rodents
265 (Benchenane et al., 2010; Jones and Wilson, 2005; Sigurdsson et al., 2010; Spellman et al.,
266 2015) and aversive trace-conditioning affects local field potential synchronization within and
267 across brain areas (Shearkhani and Takehara-Nishiuchi, 2013; Takehara-Nishiuchi et al.,
268 2011). Given that CA1 and PFC are also highly engaged and encode information about trial
269 identity during appetitive trace-conditioning, we wondered if we could find evidence for an
270 interaction between CA1 and PFC on the level of single cells and local field potentials (LFP)
271 (Figure 4A).

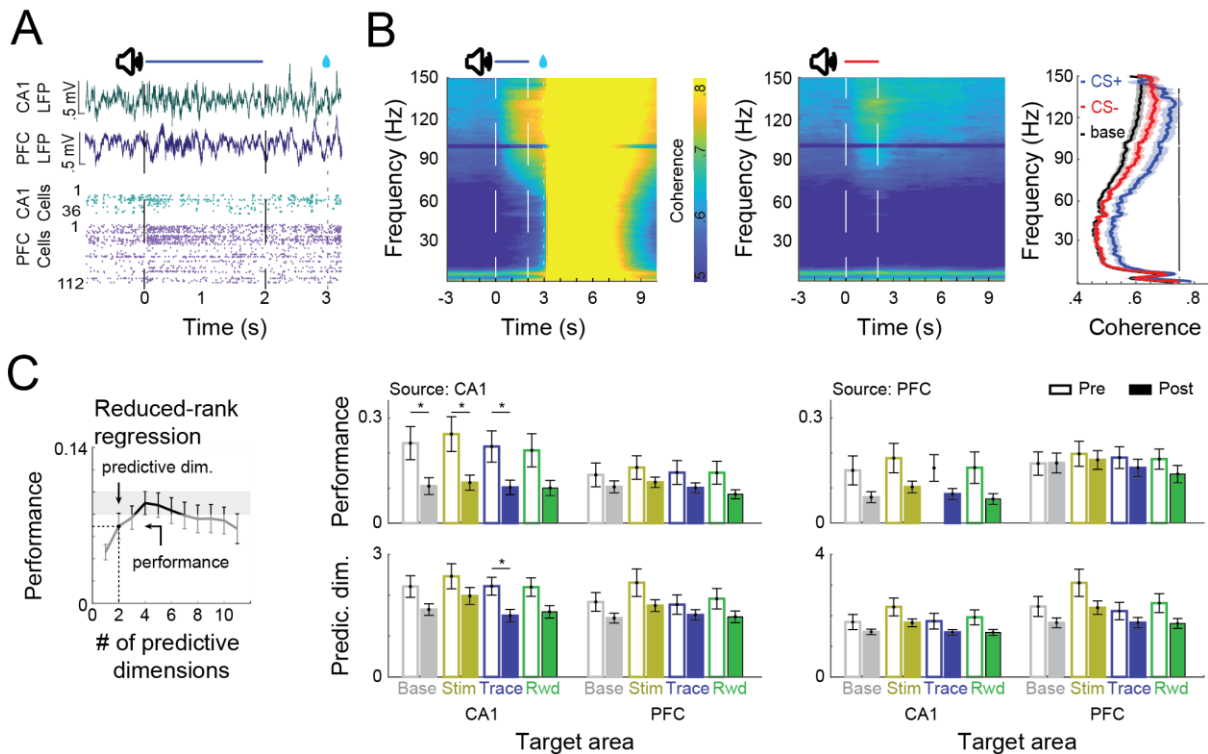
272 We found that post-learning, high frequency CA1-PFC LFP coherence was increased during
273 CS presentation (n=13, 60-140 Hz permutation test at each frequency <0.05) (Figure 4B).
274 During CS+ trials, trace period coherence was furthermore significantly elevated across a
275 broad frequency range (n=13, 12-140 Hz permutation test at each frequency <0.05).

276 Given the interactions between CA1 and PFC on the LFP level, we next checked for an
277 interaction between both areas on the single cell level (Figure 4C). To this end we computed
278 a reduced-rank regression (RRR) to assess how well the activity of a sampled population in
279 one of the areas (source area) could be used to explain another (disjoint) sampled
280 population in the same area or in another connected area (target area), through a simplified,
281 low-dimensional linear model. We then used cross-validation to estimate the optimal
282 dimensionality (rank) of each RRR and its performance (R^2) (Semedo et al., 2019).

283 We observed that post learning CA1 ensemble activity at baseline could be used to predict
284 other individual neurons firing rates in CA1 just as well as the firing rates of neurons in PFC.
285 The PFC ensemble on the other hand was much better at predicting the firing rates of other
286 PFC neurons compared to neurons in CA1 (Figure 4C), which indicates a directionality of
287 information flow between both areas at baseline.

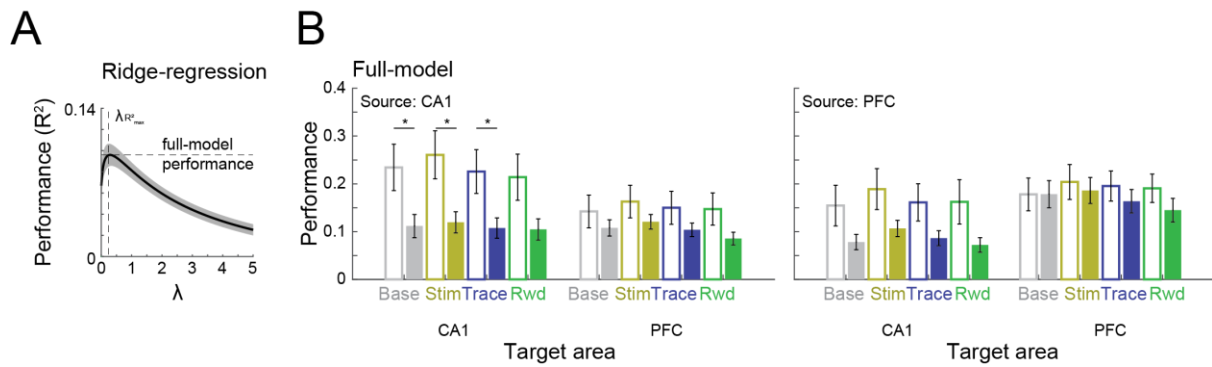
288 However, cross-area predictability of firing rates did not change significantly when we
289 compared baseline levels to any of the different trial stages (Stim, Trace or Reward) (Figure

290 4C, *Wilcoxon ranksum test* $p < 0.05$). Comparing the performance of the full-rank model also
 291 did not reveal any significant differences in coordination between CA1 and PFC across task
 292 periods (Ridge-regression with L1 regularization; Figure 4-figure supplement 1, *Wilcoxon*
 293 *ranksum test* $p < 0.05$).
 294 However, by focusing on CA1, we found that ensemble activity substantially decorrelated
 295 over the course of learning and individual cells firing rates were significantly less well
 296 predicted by the rest of the ensemble from pre to post learning sessions. This was not the
 297 case in PFC (Figure 4C).



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 299
 300 **Figure 4. CA1-PFC interaction during trace-conditioning** **A)** Example of simultaneously
 301 recorded LFP and single cell activity from CA1 and PFC during a CS+ conditioning trial. **B)**
 302 CA1-PFC LFP coherence during CS+ trials (right) and CS- trials (left). Average coherence
 303 during baseline and during the trace-period (right). Black bar indicates significant difference
 304 between CS+ post and CS- post trials (permutation test at each frequency < 0.05). **C)** (Left)
 305 Schematic representation of how performance and the number of predictive dimensions
 306 were calculated for each regression. (Right) Reduced-rank regression between CA1 and
 307 PFC spiking activity during conditioning trials in pre and post learning sessions. Solid and
 308 filled bars represent pre learning and post learning sessions respectively, error bars
 309 represent SEM and * refers to *Wilcoxon ranksum test* $p < 0.05$.

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313 **Figure 4-figure supplement 1. CA1-PFC single cell interaction does not change across**

314 **different task periods** **A)** Schematic representation of Ridge-regression. A full-rank model

315 was computed using 10-fold cross validation and L1 regularization. The model with the best

316 performance over the regularization parameter λ was selected. **B)** Full-model ridge

317 regression between CA1 and PFC spiking activity during conditioning trials in pre and post

318 learning sessions. Solid and filled bars represent pre learning and post learning sessions

319 respectively, error bars represent SEM, and * refers to Wilcoxon ranksum test $p < 0.05$.

320

321 **Task-related neuronal assemblies are more strongly reactivated in PFC during aSWR**

322 **after learning**

323 Learning-dependent reorganization of cortical circuits during memory consolidation has

324 previously been linked to activity during hippocampal SWRs (Peyrache et al., 2009) and

325 reactivation of spatial information in PFC during aSWRs has been reported by several

326 groups (Kaefer et al., 2020; Maggi et al., 2018; Shin et al., 2019). aSWRs have additionally

327 been implicated in the planning of goal-directed behavior (Ólafsdóttir et al., 2018). Therefore,

328 we wondered if we could find evidence for reactivation of task-related neural assemblies

329 during aSWRs occurring during inter-trial intervals of the conditioning task. To test this, we

330 first detected the presence of neuronal cell assemblies in concatenated trial activity (Lopes-

331 dos-Santos et al., 2013, Fig. 5-figure supplement 3) and then checked the reactivation

332 strength of these task related assemblies during aSWRs in CA1 and PFC. We found that

333 reactivation of task-related assemblies in PFC increases significantly over the course of

334 learning during hippocampal aSWRs (Figure 5A 5B) (Wilcoxon rank sum test; $p < 0.05$). This

335 was true for assemblies defined during trials as well as during intertrial intervals (Fig. 5-figure

336 supplement 1). In CA1, on the other hand, reactivation strength remained constant from pre-

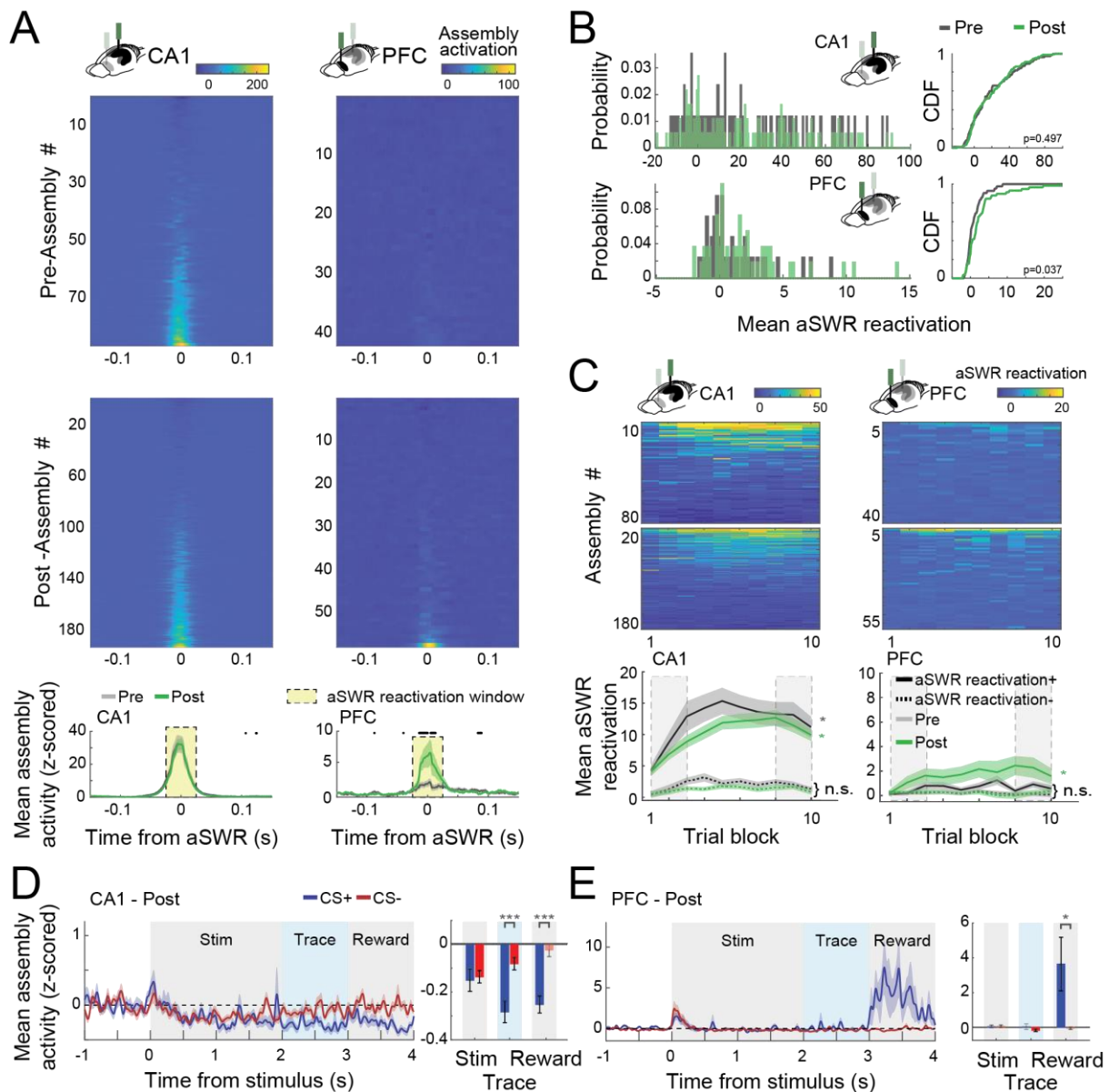
337 to post-learning sessions ($p = 0.337$). The frequency of aSWR occurrences did not change

338 between pre and post learning sessions (Pre $n = 24$, 0.08 Hz; Post $n = 38$, 0.09 Hz; Wilcoxon

339 rank sum test; $p = .2$) (Fig. 5-figure supplement 2, B&C). During conditioning trials, aSWR rate

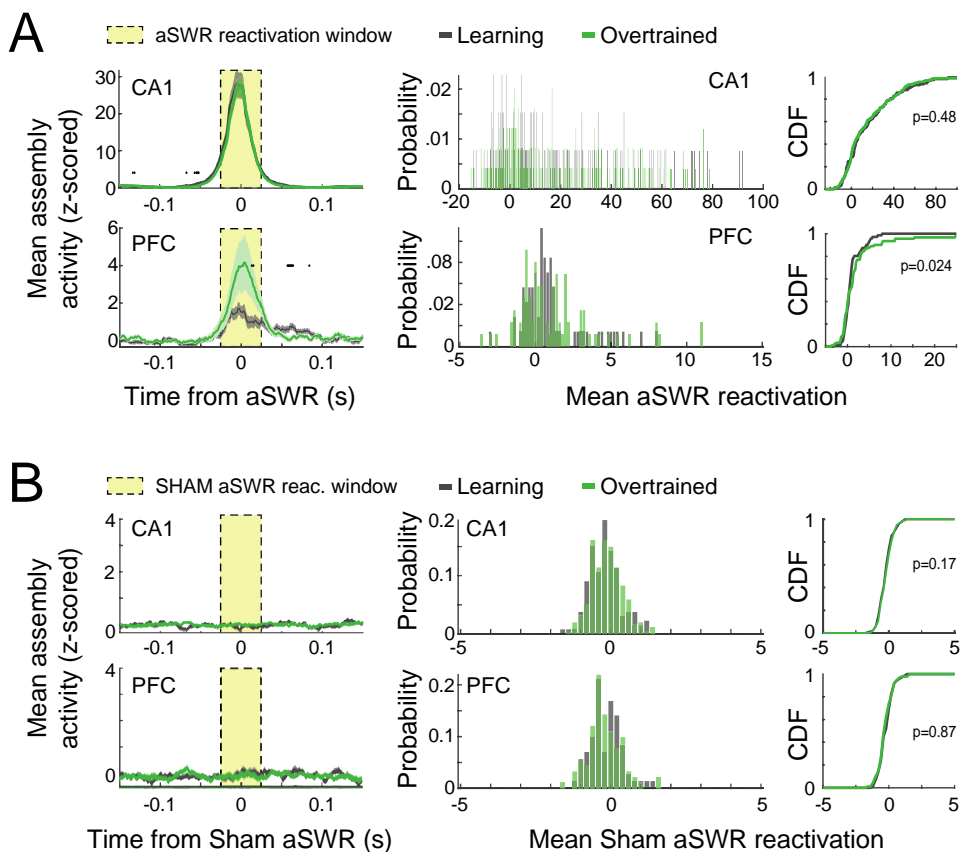
340 decreased and was at its lowest during reward consumption (Fig. 5-figure supplement 2 C).

341 Average aSWR rates independent of task stage slightly increased from the beginning to the
 342 end of each session (n=62; 0.06 Hz to 0.1 Hz, Wilcoxon rank sum test; $p < 0.01$).
 343



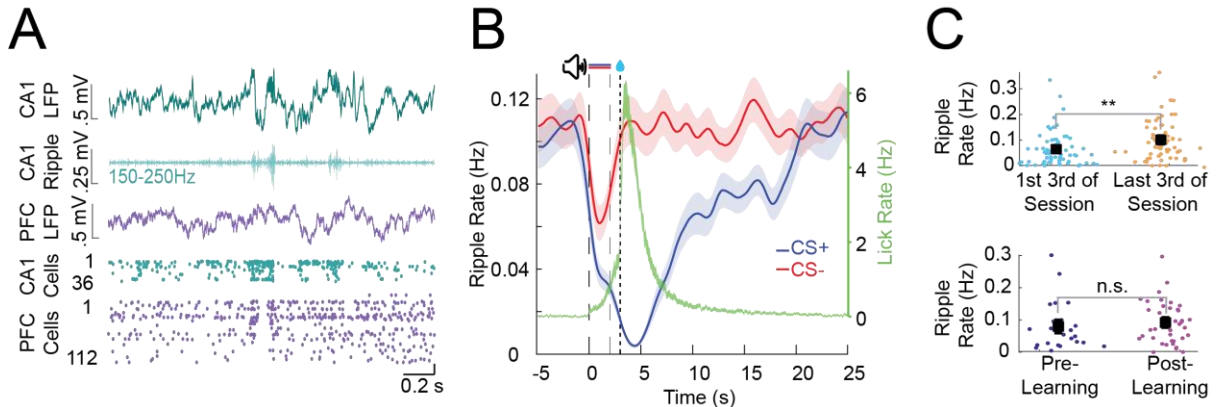
344
 345 **Figure 5. CA1 and PFC cell assemblies show different aSWR reactivation dynamics.**
 346 **A)** Average (z-scored) assembly activation triggered by aSWR occurring in the inter-trial
 347 intervals for CA1 and PFC, Pre and Post learning sessions (Top). Mean aSWR-triggered
 348 activation over all the assemblies for Pre and Post sessions for each area. Shaded areas
 349 represent the SEM. Black dots represent windows in which Pre and Post assembly activity
 350 were statistically different (Wilcoxon rank sum test; $p < 0.05$). Notice the higher aSWR
 351 triggered activation of assemblies in PFC in Post sessions. **B)** Histogram (left) and
 352 cumulative distribution function (CDF; right) of the mean assembly activity on the reactivation
 353 window denoted in A. P-values refer to a two-sample Kolmogorov-Smirnov test between Pre
 354 and Post distributions. **C)** Average aSWR reactivation of each assembly per session (Top).

355 Sessions were divided into 10 blocks of equal trial length. Mean aSWR reactivation of all
 356 positively (reactivation+) and negatively (reactivation-) reactivated assemblies. Asterisks
 357 refer to Wilcoxon signed-rank test performed between the first and last three trial-blocks
 358 (dashed rectangles) of each area/learning condition (n.s.: non-significant; * $p < 0.05$; ** $p < 0.01$;
 359 *** $p < 0.001$) and shaded areas represent SEM. Note the evident increase in CA1 aSWR
 360 assembly reactivation across the session in both Pre and Post sessions for positively
 361 modulated assemblies (reactivation+). **D)** Mean (z-scored) assembly activity triggered by the
 362 stimulus onset for the 25% most strongly aSWR-reactivated assemblies in CA1 (Left).
 363 Average of the traces over each trial period is shown for CS+ and CS- (Right). Notice the
 364 initial decrease of assembly activity in CA1 during the stimulus and the posterior separation
 365 between CS+ and CS-. **E)** The same as in D, but for PFC assemblies. Note the difference
 366 between CS+ and CS- assembly activity during the reward period. Asterisks refer to a
 367 Wilcoxon signed-rank test comparing CS+ and CS- (* $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$). Error
 368 bars refer to SEM and darker bars denote mean assembly activity significantly different from
 369 zero ($p < 0.05$; t-test).
 370

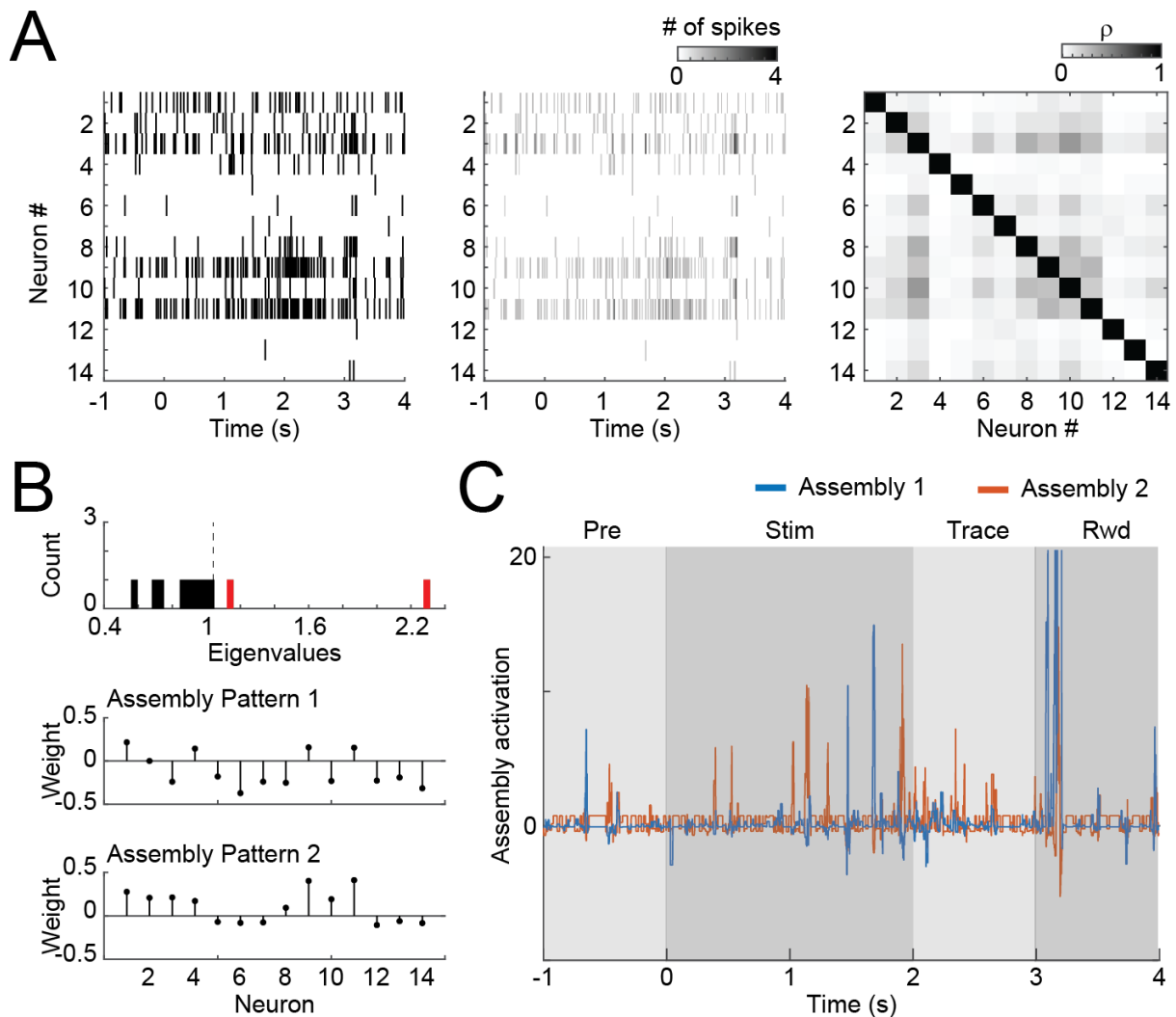


371
 372 **Figure 5-figure supplement 1. aSWR reactivation of assemblies detected during inter-**
 373 **trial intervals. A)** (Left) Mean reactivation around aSWRs of assemblies detected during the
 374 **inter-trial intervals (excluding aSWR events) for Pre and Post learning sessions. (Middle)**

375 Histogram of mean assembly aSWR-reactivation on the reactivation window (yellow
 376 rectangle) for Pre and Post learning sessions. (Right) Cumulative distribution of mean
 377 assembly aSWR-reactivation. P-values refer to a two-sample Kolmogorov-Smirnov test
 378 between Pre and Post distributions. **B)** Similar to A, but using sham aSWR times to compute
 379 the average reactivation. (aSWR events were randomly shifted by ~200 ms).
 380



381
 382 **Figure 5-figure supplement 2. Distribution of awake Sharp Wave Ripples during trace-**
 383 **conditioning. A)** Example of simultaneously recorded LFP and single cell activity from CA1
 384 and PFC during aSWRs **B)** Ripple rate during CS+(blue) and CS-(red) trials across all
 385 conditioning sessions. Average lick rate during CS+ trials is overlaid in green (Shaded areas
 386 indicate SEM). **C)** Average aSWR rate increases from early to late within individual sessions
 387 (top) and average aSWR rate does not change between pre and post learning sessions
 388 (bottom) (error bars represent SEM and ** refers to Wilcoxon ranksum test $p < 0.01$).
 389



390
391

Figure 5-figure supplement 3. Detecting cell assemblies in neural populations. **A**) The rastergram (left) of each trial was computed and binned in 20-ms-bins with no overlap (middle). After concatenating the activity of all trials, the activity of each neuron was z-scored and the correlation matrix was computed (right). **B**) The eigenvalues of the correlation matrix were then computed and compared to the analytical (Marchenko-Pastur) distribution to estimate the amount of assembly patterns present in the data (top). After that, independent component analysis was used to extract the assembly patterns (bottom). **C**) The patterns in **B** were then used to project the assembly activity during the trial, using 20 ms bins with steps of 1 ms.

400

401 Task-related assembly reactivation strength increases during individual sessions in CA1

402
403 We next analyzed how reactivation strength changed over time within individual sessions. We found that in CA1 assembly reactivation strength per aSWR increases gradually over the course of individual pre-learning sessions for positively modulated assemblies (reactivation+) as well as for negatively modulated assemblies (reactivation-) during aSWRs

407 (Figure 5C). This effect was also present in post-learning sessions for the reactivation+
408 assemblies (One-way ANOVA; CA1 pre+: $p < 0.01$, pre-: $p < 0.05$, pos+: $p < 0.001$, post-: n.s.).
409 In PFC assembly reactivation strength slightly increased for positively modulated assemblies
410 in post learning sessions (Figure 5C).

411 We then sought to determine what type of task specific information the most strongly SWR-
412 reactivated assemblies represent during the conditioning task in post learning sessions. In
413 CA1, we found that the 25% most reactivated assemblies are suppressed during the trace
414 and reward period after CS+ trials compared to CS- trials (Figure 5D) (Wilcoxon signed-rank;
415 $p < 0.001$). In PFC, on the other hand, the 25% most reactivated assemblies responded
416 strongly during the reward period (Figure 5E) (t-test, compared to pre-trial baseline; $p < 0.05$).
417 Those effects were not observed for the 25% least reactivated cells.

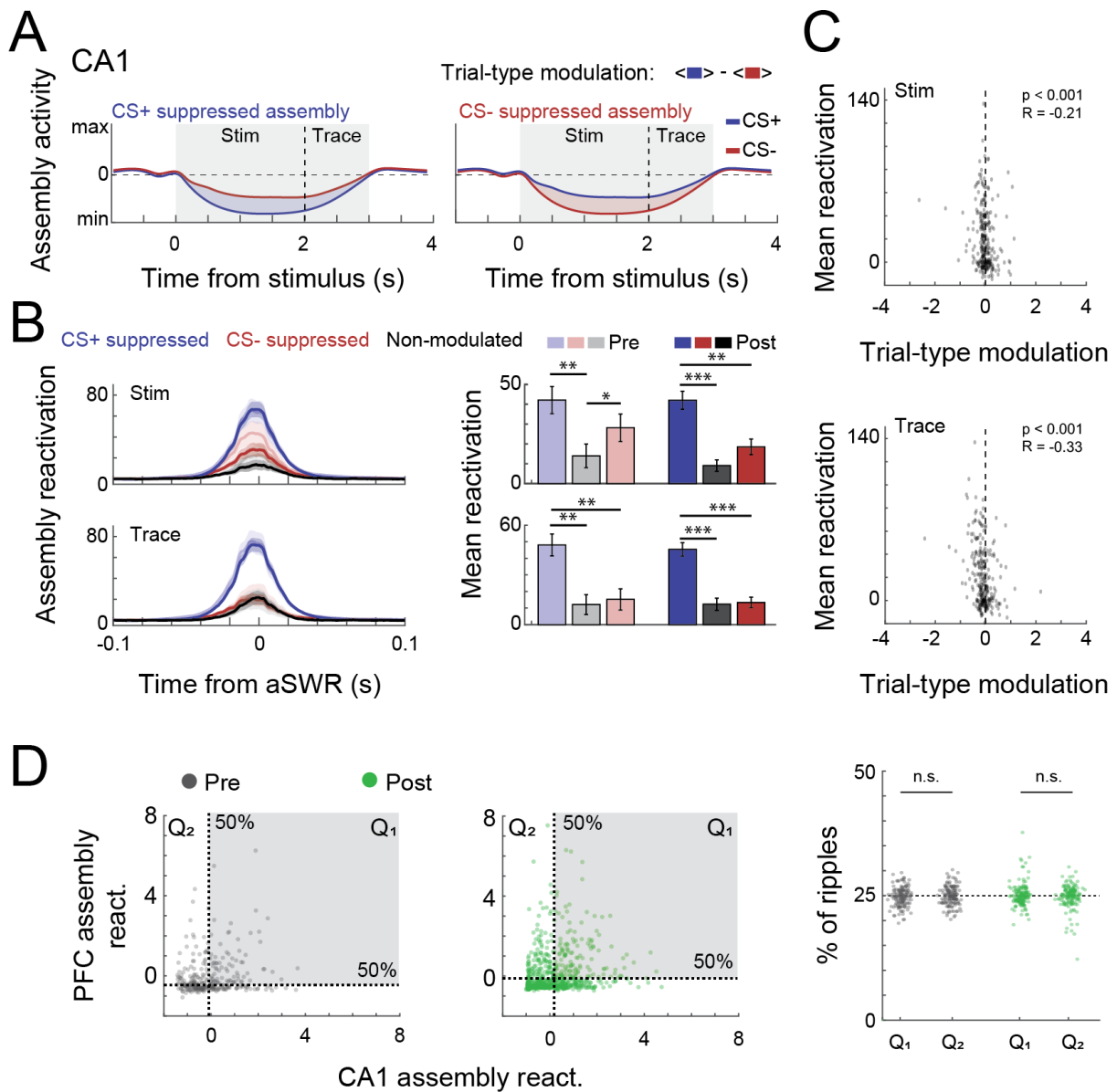
418

419 **CS+ responsive assemblies are preferentially replayed in CA1 during aSWRs**

420 Given that assemblies detected during the task and the intertrial intervals became both
421 reactivated during aSWR, we next asked whether we could find additional evidence for a
422 prioritized reactivation of assemblies that carry specific information about CS sounds during
423 the conditioning trials. To this end, we first computed the average activity of each assembly
424 for CS+ or CS- stimuli and computed a trial-type modulation score, defined as the average
425 assembly activation during CS+ trials subtracted by the average activation during CS- trials
426 (stimulus and trace periods; Figure 6A). Then, for each session, we selected the most
427 positively modulated (i.e., CS+ activity higher than CS-), the most negatively modulated (i.e.,
428 CS- activity higher than CS+), and the least modulated, control assembly. Because we found
429 that most assemblies in CA1 are suppressed during the stimulus (as are the firing rates), we
430 term assemblies by whether they were more suppressed during CS+ or CS- stimuli. We
431 found that, for CA1, CS+ suppressed assemblies in both stimulus and trace periods (i.e.,
432 assemblies that are more suppressed during CS+ trials than CS- trials) were more
433 reactivated during aSWRs (Figure 6B) compared to CS- suppressed assemblies and control,
434 non-modulated assemblies. This effect was confirmed by the presence of a negative
435 correlation between the trial-type modulation score and the average aSWR reactivation of
436 each assembly (Figure 6C).

437 CS- suppressed assemblies were also more strongly reactivated than control assemblies in
438 pre-learning sessions ($p < 0.05$, Figure 6B) and, in CS- trials, assemblies that were more
439 suppressed during stimulus compared to trace period also reactivated more during
440 aSWR (Figure 6-figure supplement 1 C). Together this indicates that stimulus-coding
441 assemblies are also differentially modulated during aSWR.

442 In PFC we did not observe preferential reactivation of CS+ or CS- specific assemblies



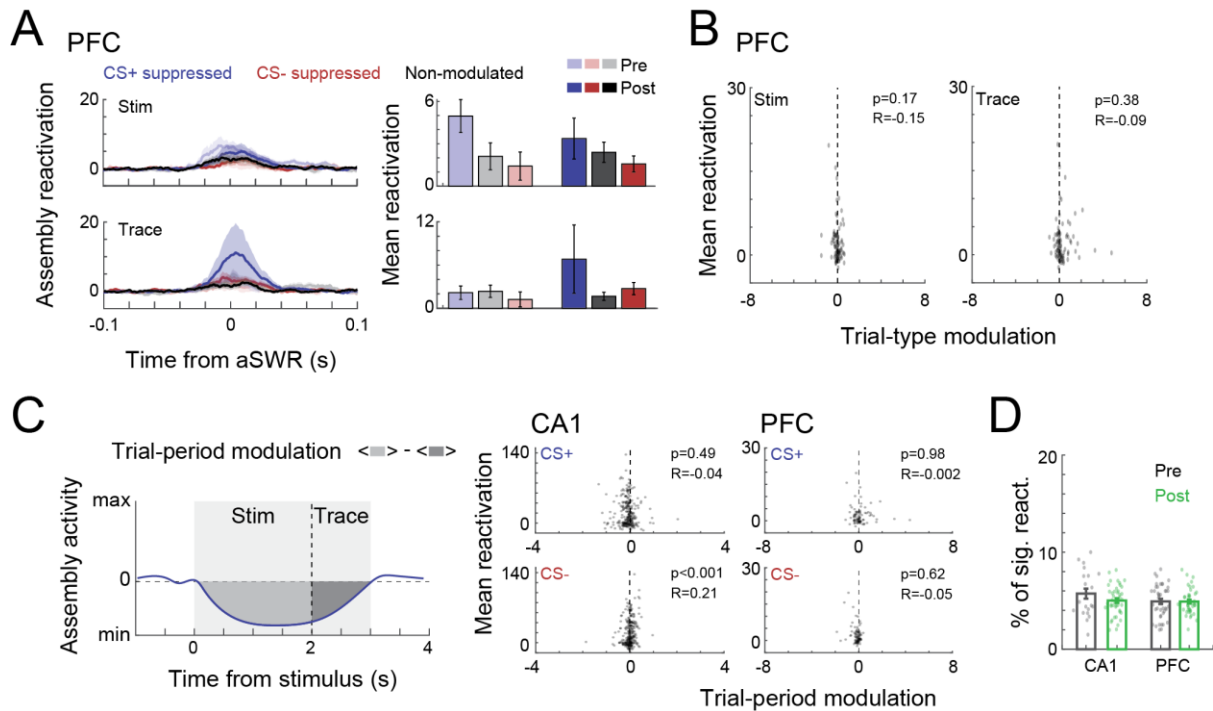
443 (Figure 6-figure supplement 1).

444

445 **Figure 6. Trial-type modulation and PFC coactivation of CA1 assemblies.** **A)** Schematic
 446 representation of trial-type modulation scores, CS+ and CS- suppressed assemblies. The
 447 modulation score was defined as the difference between average assembly activation on
 448 CS+ and CS- trials during a specific period. **B)** Mean aSWR reactivation of CS+ suppressed,
 449 CS- suppressed and non-modulated assemblies pre and post learning over time (left) and
 450 within 50 ms window around ripples (right). Error bars denote SEM (* $p < 0.05$; ** $p < 0.01$;
 451 *** $p < 0.001$). **C)** Scatter plot and Pearson's correlation values between trial-type modulation
 452 score and average aSWR reactivation for all CA1 assemblies (pre and post learning). Notice

453 the stronger reactivation of negatively modulated assemblies (CS+ suppressed). **D)** (Left)
 454 Example of joint reactivation for two pairs of CA1-PFC assemblies. Quadrants were defined
 455 using the median aSWRs reactivation of each area and the proportion of reactivations in
 456 each quadrant was computed. (Right) Percentage of ripple reactivations in 1st and 2nd
 457 quadrants defined in left for all possible combination of assembly pairs (Wilcoxon signed-
 458 rank test).

459
 460



461

462 **Figure 6-figure supplement 1. Trial-type modulation of PFC assemblies and trial-**
 463 **period modulation.** **A)** Mean aSWR reactivation of CS+ suppressed, CS- suppressed and
 464 non-modulated assemblies in PFC over time (left) and within 50 ms window around ripples
 465 (right). Error bars denote SEM. **B)** Scatter plot and Pearson's correlation values between
 466 trial-type modulation score and average aSWR reactivation for all CA1 assemblies (pre and
 467 post learning). **C)** (Left) Schematic representation of trial-period modulation scores. The trial-
 468 period modulation score was defined as the difference between average assembly activation
 469 on stimulus and trace periods in CS- trials. (Right) Scatter plot and Pearson's correlation
 470 values between trial-period modulation scores and aSWRs reactivation for assemblies in
 471 CA1 and PFC. **D)** Percentage of significant assembly reactivations during aSWRs.

472

473 Finally, we wondered whether CA1 and PFC assembly reactivation is coordinated during
 474 aSWRs. Coordinated reactivation of task relevant information during aSWR has previously
 475 been found in the CA1-PFC circuit during some spatial navigation tasks but not during others

476 (Kaefer et al., 2020; Shin et al., 2019). We found that both areas independently of each
477 other showed comparable and significant aSWRs reactivations rates (Fig. 6–figure
478 supplement 1D). To then check whether CA1 and PFC assembly reactivation is coordinated
479 during aSWR during trace conditioning, we computed how often high aSWR reactivation of
480 pairs of assemblies co-occurred between the two areas (Figure 6D). We found that
481 coordinated reactivations of pairs of CA1 and PFC assemblies during aSWRs happens at
482 chance levels (Figure 6D) which suggest that aSWR reactivations of cell assemblies derived
483 from conditioning trials are uncoordinated between CA1 and PFC.

484

485 **Discussion**

486 This study characterizes changes in neural activity in the CA1-PFC network while mice learn
487 to use predictive sounds to anticipate future rewards. We show that activity in both areas is
488 strongly shaped by learning and that task specific information is reactivated in a complex
489 pattern across CA1 and PFC during aSWR.

490 While CA1 and PFC are highly active during aversive eyeblink trace conditioning, evidence
491 for a similar involvement during appetitive trace-conditioning had been missing. In fact,
492 several previous studies have pointed out differences in the mechanisms underlying both
493 types of learning (Pezze et al., 2017; Thibaudeau et al., 2007).

494 Despite these differences, we found that single cells in CA1 and mPFC during appetitive
495 trace-conditioning behave similarly to what had previously been reported during aversive
496 trace-conditioning. Both areas display long lasting sustained activity that bridges the
497 temporal gap between CS+ offset and reward delivery. In both areas, these sustained
498 responses are composed of a mix of Trace-Up and Trace-down cells, i.e., cells that display
499 sustained excitation and inhibition respectively. In CA1, higher numbers and stronger
500 inhibition of Trace-Down cells result in overall suppression of the entire area during the trace
501 period, while in PFC higher numbers and stronger activation of Trace-Up cells resulted in
502 overall excitation.

503 Similar to our study, abundant Trace-Down like responses and sparse Trace-Up like
504 responses have been described during aversive trace-conditioning in CA1 (Hattori et al.,
505 2015; McEchron and Disterhoft, 1997). This distinct pattern of mostly inhibition mixed with
506 sparse excitation has been hypothesized to increase the signal to noise ratio to more
507 efficiently propagate the signal of Trace-Up cells to downstream areas (Hattori et al., 2015).
508 Yet, it is also conceivable that Trace-down cells participate in an independent form of coding.
509 Inhibition in CA1 might for example play an active role in suppressing well expected
510 incoming stimuli, i.e. reward delivery (Bastos et al., 2012; Rummell et al., 2016; Stachenfeld
511 et al., 2017).

512 In PFC, responses during appetitive trace-conditioning are also similar to what has
513 previously been found during aversive trace-conditioning. Specifically, higher numbers and
514 stronger excitation of Trace-Up cells have also been found in rat PFC and parts of rabbit
515 PFC during aversive trace-conditioning (Hattori et al., 2014; Takehara-Nishiuchi and
516 McNaughton, 2008). A learning dependent reduction in responses to CS- like pseudo
517 conditioning stimuli have also previously been described in PFC (Hattori et al., 2014;
518 Takehara-Nishiuchi and McNaughton, 2008; Weiss and Disterhoft, 2011).

519 In combination, this suggests that sparse excitation with strong surrounding inhibition in CA1
520 and mostly excitation in PFC are two general coding principles employed to bridge the
521 temporal gap between a salient cue and a behaviorally relevant event, independently of the
522 appetitive or aversive nature of the event and the specific anticipatory action that it requires.
523 We furthermore observed high frequency CA1-PFC coherence was specifically increased
524 during CS+ trials. Increased synchronization between both areas during spatial working
525 memory tasks have previously been reported predominantly in the Theta frequency (4-12
526 Hz) (Battaglia et al., 2011; Benchenane et al., 2010; Jones and Wilson, 2005). However,
527 during trace-conditioning mice were mostly stationary and did not display strong
528 hippocampal theta oscillations and high frequency coherence therefore likely results from a
529 different underlying mechanism.

530 Despite both areas being highly engaged in the task and encoding trial specific information
531 on a trial-by-trial level, we did not find any evidence for task-specific communication on the
532 single cell level. CA1 and PFC therefore either process conditioning trials in parallel rather
533 than in series or rely on intermediate structures (e.g. entorhinal cortex) for effective
534 communication (Insel and Takehara-Nishiuchi, 2013).

535 Lastly, we found that cell assemblies in CA1 and PFC that are responsive during classical
536 conditioning also strongly reactivate during awake hippocampal Sharp-Wave Ripples
537 (aSWRs). Earlier reports found coordinated aSWR reactivation of behavioral sequences
538 during learning of spatial memory tasks in CA1 and PFC respectively (Kaefer et al., 2020;
539 Shin et al., 2019; Shin and Jadhav, 2016; Tang et al., 2017). In addition, close loop
540 disruption of aSWRs has been shown to impair performance in spatial memory task and
541 slow learning during conditioning. However, physiological evidence for reactivation of neural
542 assembly patterns during aSWRs in a non-spatial task in either CA1 or PFC had been
543 missing (Joo and Frank, 2018; Ólafsdóttir et al., 2018). Our data now provides this missing
544 link.

545 Notably, we observed a fast increase in reactivation strength of assemblies derived from
546 conditioning trial activity within CA1 during aSWRs as individual training sessions
547 progressed and a slow increase in reactivation strength in trial and inter-trial-interval derived
548 assemblies in PFC from pre-learning to post-learning sessions. This is well in line with the

549 idea that both areas support learning on different timescales and levels of complexity, with
550 the hippocampus adapting fast to detailed new experiences and the prefrontal cortex
551 adapting more slowly to behaviorally important variables that remain stable over time
552 (McClelland et al., 1995; Takehara-Nishiuchi and McNaughton, 2008; Takehara et al., 2003).
553 Assembly reactivation in CA1 was furthermore task specific during trace-conditioning.
554 Assemblies that were suppressed during and after CS+ sounds became most strongly
555 reactivated. CS- suppressed assemblies were also but less strongly modulated during
556 aSWRs in CA1.

557 This preferential reactivation of CS suppressed assemblies during aSWR, provides
558 additional evidence that suppression in CA1 during CS+ trials plays a pivotal role during
559 trace conditioning and might be relevant to actively encode stimulus identity or to predict
560 upcoming task events.

561
562 Surprisingly, we did not observe that assemblies, derived from activity during conditioning
563 trials in CA1 and PFC, significantly co-reactivated during aSWR.

564 Several studies previously reported single cells in CA1 and PFC with similar spatial firing
565 fields to also be strongly correlated during aSWRs and that synaptic inputs to individual
566 mPFC cells increased if CA1 replay was more coordinated (Nishimura et al., 2021; Shin et
567 al., 2019; Tang et al., 2017). However, on the population level, CA1 and mPFC reactivation
568 of specific spatial trajectories has been found to occur independently (Kaefer et al., 2020).
569 Moreover, reactivation of spatial sequences in CA1 and other cortical areas, specifically the
570 entorhinal cortex has been shown to occur independently as well (O'Neill et al., 2017).

571 One way to reconcile these findings is that the coordination of aSWRs reactivation within the
572 CA1-PFC circuit might depend on task structure. If animals have to follow rules that are
573 based on specific sequences of behavioral events, e.g., in spatial alternation tasks (Shin et
574 al., 2019), replay of sequences of events in CA1 might drive the activation of cells or cell
575 assemblies in PFC that encode the appropriate behavioral response to those sequences
576 (Buzsáki and Tingley, 2018). If the task structure instead “only” requires stimulus response
577 mappings, as in our experiment and visually guided spatial navigation experiments (Kaefer
578 et al., 2020), PFC might not rely on additional information from CA1 and reactivation remains
579 independent. However, coordinated aSWRs reactivation in CA1 and PFC might happen
580 robustly in non-spatial tasks if the tasks require the animals to learn sequences (Cabral et
581 al., 2014; Rondi-Reig et al., 2001; Terada et al., 2017).

582 Lastly, it would be intriguing to know how SWRs reactivation of task relevant information
583 during classical conditioning depends on the current state of the animal. A previous study
584 reported significant differences in coordinated CA1-PFC reactivation between wakefulness
585 and sleep (Tang et al., 2021). Yet evidence for sleep SWR reactivation of nonspatial

586 information is still lacking. This further highlights the importance to study SWR reactivation
587 with a battery of different behavioral task and across behavioral states that can help to
588 disentangle the exact content and relevance of replay events for learning and behavior in the
589 future.

590

591

592

593 **Material and Methods**

594 **Animals**

595 For this experiment, we used a total of 17 male C57Bl/6J mice. The animals were obtained
596 at 10-13 months of age from Charles River Laboratory and all experiments were performed
597 within two months after delivery. 7 animals were used for silicon probe recordings from
598 dorsal hippocampus area CA1, 6 animals were used for recordings from PFC and an
599 additional 4 animals were used for combined silicon probe recordings from CA1 and PFC
600 during the AATC task. All animals were group housed until the first surgery after which they
601 were individually housed to prevent damage to the implants. Throughout the experiment the
602 animals were maintained on a reversed 12-hour light/dark cycle and received food and water
603 ad libitum until we introduced food restriction two days after the first surgery. All experiments
604 were performed during the dark period. This study was approved by the Central Commissie
605 Dierproeven (CCD) and conducted in accordance with the Experiments on Animals Act and
606 the European Directive 2010/63/EU on animal research.

607

608 **Surgical preparation for head-fixed electrophysiological recordings**

609 Animals were anesthetized using isoflurane (1–2%) and placed in a stereotaxic frame. At the
610 onset of anesthesia, all mice received subcutaneous injections of carprofen (5 mg/kg) as
611 well as a subcutaneous lidocaine injection through the scalp. The animals' temperature was
612 maintained for the duration of the surgical procedure using a heating blanket. Anesthesia
613 levels were monitored throughout the surgery and the concentration of isoflurane was
614 adjusted so that a breathing rate was kept constant at around 1.8 Hz. We exposed the skull
615 and inserted a skull screw over the cerebellum to serve as combined reference and ground
616 for electrophysiological recordings. We then placed a custom made, circular head-plate for
617 head-fixation evenly on the skull and fixated it with dental cement (Super-Bond C&B). For
618 CA1 recordings, a craniotomy was performed over the left hippocampus -2.3 mm posterior
619 and +1.5 mm lateral to Bregma and for PFC recordings a craniotomy was performed over
620 left frontal cortex at +1.78mm anterior and +.4mm lateral to Bregma. The exposed skull was

621 covered with a silicon elastomer (Body Double Fast, Smooth-on) until the first recording. All
622 mice were given at least 2 days to recover from the surgery.

623

624 **Head-fixed virtual reality setup**

625 The head-fixed virtual reality setup consisted of two rods that were screwed onto either side
626 of the implanted head-plate and fixated the mice on top of an air-supported spherical
627 treadmill. The motion of the treadmill was recorded using an optical mouse and transformed
628 into movement along a virtual linear track designed with the Blender rendering software. The
629 virtual track was then projected through a mirror into a spherical screen surrounding the
630 head-fixed animal on the treadmill (Schmidt-Hieber and Häusser, 2013)

631 (<https://github.com/neurodroid/gnoom>). While in head-fixation the animals received soy milk
632 as reward which was delivered through a plastic spout that was positioned .5cm anterior to
633 the lower lip. Licks were detected with an infrared beam-break sensor that was positioned
634 right in front of the spout.

635 All animals were slowly habituated to head-fixation by placing them in the setup for at least 2
636 days of 3x10 minute sessions during which they received about 50 rewards, totaling to about
637 .2ml of soy milk. During the habituation, we started to food restrict the animals to around
638 90% of initial body weight to motivate better task performance.

639 In all cases, the food restricted animals started to lick off the soymilk reward reliably within
640 the first 6 habituation sessions.

641

642 **Behavioral training**

643 The AATC task required the animals to associate a 2-second-long CS+ sound with a droplet
644 of soymilk reward (~5 microliter), delivered after 1 second of silence, the so-called “trace
645 period” while ignoring a CS- control sound. We interleaved the CS presentations randomly
646 every 30-45 seconds. For the two sounds, we choose a 3000 kHz continuous pure tone and a
647 7000 kHz tone pulsating at 10hz. We counter-balanced the CS+ and CS- sounds evenly
648 between animals throughout the experiment.

649 As the main behavioral outcome measure, we detected the licks of the animals with an
650 infrared beam-break sensor that was mounted in front of the reward spout. Each training
651 session ended as soon as the animals received 50 rewards. We repeated the experiment for
652 at least 10 days.

653 During these behavioral training sessions, the head-fixed animals could freely run on the
654 linear track in the virtual reality which was otherwise not correlated with the AATC task.

655 We performed acute silicon probe recordings from the dorsal CA1 area and/or PFC of head-
656 fixed mice during the first 2 days of the AATC task as well as from day 6 onwards for as long
657 as we were able to achieve stable recordings. We then classified recording sessions from

658 the first 2 days of training as pre-learning (Pre) and recording sessions from day 6 onwards
659 and with a significant increase in anticipatory licks as post-learning (Post).

660

661 **Acute electrophysiological recordings during AATC tasks**

662 At the start of each recording session, we placed the mice in head-fixation and removed the
663 silicon elastomer cover to expose the skull. We then used a micromanipulator (Thorlabs) to
664 acutely insert a 128-channel silicon probe into the middle of the previously prepared
665 craniotomy above PFC and/or CA1. For PFC recordings, we then slowly lowered the
666 recording electrode to -2.0mm ventral to Bregma. For CA1 recordings, we continuously
667 monitored the local field potential (1-600Hz), ripple frequency signal (150-300Hz) and
668 spiking activity (600-2000Hz) during the insertion process and tried to position our
669 electrode in a way that the strongest ripple amplitude and spiking activity was 200-250µm
670 from the base of the probe and 470-520µm from the tip. In this way, we were able to cover
671 most of dorso-ventral extend of CA1 with our recording electrode.

672 Electrophysiological signals were filtered between 1 and 6000 Hz, digitized at 30 kHz using 2
673 64 channel digitizing heads-stages (RHD2164 Amplifier Board, Intan Technologies) and
674 acquired with an open-ephys recording system. After each recording session, we retracted
675 the silicon probe and placed a new silicon cover on the skull before releasing the animals
676 back to their respective home cages.

677

678 **Behavioral Data Analysis**

679 For every training session and each animal, we compared the change in lick-rate between
680 CS+ vs CS- trials. In short, for each trial we took the sum of all licks during the trace period
681 and subtracted the sum of all licks during the baseline period (-1s to onset of CS). We then
682 computed a T-test between the change in lick rate for all CS+ vs all CS- trials and defined
683 the animal to have learned (post-learning sessions) if this comparison showed a significant
684 difference in lick rate between the two conditions.

685

686 **Neural Data Analysis**

687 To identify single unit activity, the raw voltage signal was automatically spike sorted with
688 Kilosort (Pachitariu et al., 2016) (<https://github.com/cortex-lab/Kilosort>) and then manually
689 inspected and curated with the 'phy' gui (<https://github.com/kwikteam/phy>). All following
690 analysis was performed using custom written MATLAB scripts
691 (<https://github.com/chanlukas/AATCstudy>).

692 .

693

694 **Single Cell responses**

695 For each unit, we binned the single cell spiking data (25ms), smoothed the data with
696 Gaussian-weighted moving average filter (25 Bins) and computed the Peri-Stimulus-Time-
697 Histograms (PSTH) for CS+ and CS- trials.

698 To assess evoked responses to CS+ and CS- we calculated the Z-scored firing rates in the
699 first 350ms (post-learning CS) post stimulus interval for each cell and compared population
700 responses in this time window.

701 To assess lick related activity, we first defined lick onset as the first lick after CS+ sound
702 onset but before reward delivery that was followed by at least 3 licks within the next second.
703 We then defined single cells to be lick-responsive if the average firing rate during the time
704 window around the 1st lick (-250ms to +250ms) was increased by at least 1 standard
705 deviation or decreased by at least 1 standard deviation from pre-trial baseline (-1000 - CS+
706 onset)

707 To assess trace period activity, we defined single cells to be trace responsive if the average
708 Z-scored firing rate during the 1 second trace period increased by at least 1 standard
709 deviation or decreased by at least 1 standard deviation from baseline during either CS+ or
710 CS- trials.

711 To quantify group differences, we performed a Kruskal-Valis (pre vs post learning) using
712 average S-scored responses during the trace period.

713 For analysis of learning dependent changes in reward evoked activity, we calculated the Z-
714 scored firing rates during the reward response window (reward delivery- reward
715 delivery+500ms).

716

717 **Population rate vector analysis**

718 To analyze the CA1 and PFC population response during the trace period, we first computed
719 the average CS+ and CS- PSTHs for all simultaneously recorded non-lick cells in 25ms bins
720 for every session and smoothed the data with Gaussian-weighted moving average filter (25
721 bins). For visualization purposes, we then computed the first 3 principal components of the
722 resulting matrices CS+ and CS- PSTHs and plotted the resulting 3-dimensional vectors. To
723 quantify the difference in population activity between CS+ and CS- trials, we calculated the
724 Euclidean distance between CS+ and CS- in n-dimensional space (n=number of
725 simultaneously recorded non-lick cells) for each bin and averaged across sessions.

726 In order to predict trial identity by trace period population firing rates using a support vector
727 machine classifier, we first calculated the trial-by-trial firing rates for all simultaneously
728 recorded cells during the trace period. We then split all trials of a single recording session
729 into 20 equal partitions and used 19 of these partitions to train the support vector machine
730 classifier (fitcsvm function, MATLAB). We then tested the classifier performance on the 20th
731 partition and repeated this process for all other partitions (20fold cross validation). Classifier

732 performance above chance was determined by comparing the average prediction accuracy
733 across all sessions against chance level using a Wilcoxon sign rank test.

734

735 **Reduced Rank Regression of CA1-PFC single cell interactions**

736 To investigate CA1-PFC interaction on the single cell population level, we used reduced
737 rank regression (RRR) to assess how well the activity of a sampled population in one of the
738 areas (source area) can be explain another (disjoint) sampled population in the same or in a
739 separate target area, through a simplified, low-dimensional linear model. (Semedo et al.,
740 2019). Briefly, for a given session, we first subsample (without replacement) the population
741 of each region in two equally-sized sets of source and target neurons, so that all four sets
742 had the same number of neurons. We then used a 10-fold cross validation scheme to
743 compute the RRR (i.e., fitting the target population activity using the source population)
744 using multiple rank values. The performance of each model was computed using the relative
745 amount of variance explained by the model (R^2). We then selected the first model which had
746 mean performance within 1 SEM of the best model, using its rank as the number of
747 predictive dimensions (Figure 4 C). This procedure was repeated for 10 different
748 subsamples and the performance and number of predictive dimensions of each session was
749 computed via averaging across sub-samples. We also compared the performance of the full
750 regression model (in which all the ranks were used) to control for different dimensionality of
751 the RRRs in the two areas. In this particular case, we added L1 regularization, and chose
752 the best model (highest average performance over cross-validation) among different ridge
753 parameter values and measured the MSE between estimated and real activity (Figure 4-
754 figure supplement 1).

755 **Coherence analysis**

756 To assess coherence between mPFC and CA1 during the AATC task, we first selected the
757 CA1 recording channel with the strongest aSWR amplitude (see below) as well at the central
758 channel of the mPFC recording electrodes and down-sampled the raw voltage singles to
759 2000Hz. Coherence was then analyzed with multi-taper Fourier Analysis (Mitra and Pesaran,
760 1999), using the Chronux MATLAB toolbox (<http://www.chronux.org>).

761

762 **Reactivation during awake Sharp-Wave Ripples**

763 In order to detected awake Sharp-Wave Ripples during the inter-trial periods of the AATC
764 task, we first filtered the local field potential at the top half of our recording electrode (16
765 channels in total, 1 channel at each depth, 320 μ m spread around CA1 cell layer) between
766 150-300Hz and used common average reference to exclude artefacts affecting all channels.
767 We then identified the recording depth with the strongest average ripple power and used the

768 ripple band signal on this channel for further analysis. For each recording session, we
769 visually inspected the ripple band signal and manually set a low-cut threshold for ripple
770 detection (100 μ V in most cases) and a high-cut threshold for artefact rejection (500 μ V in
771 most cases). We furthermore, excluded threshold crossings within 200ms of each other as
772 well as within 200ms of any licking activity.

773 To compute task related cell assemblies in CA1 and PFC, we first binned the spikes of each
774 trial (-1 to 4 s from stimulus onset) into 20 ms bins. Then, we used independent component
775 analysis (ICA) to find the co-activation patterns as described previously (Lopes-dos-Santos
776 et al., 2013, Fig. 5-figure supplement 3). The number of assemblies was defined by the
777 eigenvalues of the cross-correlation matrix that were above the analytical Marcenko Pastur
778 distribution (Lopes-dos-Santos et al., 2013). We then projected the neural activity onto each
779 of the assembly patterns (using the same 20 ms bins, with overlap) and computed the mean
780 assembly activity triggered by the stimulus, animal licks and hippocampal SWR, normalizing
781 it with the z-score transformation. Normalization was done using the z-score transformation,
782 which in the case of stimulus-triggered assembly activity only used pre-stimulus period (of
783 both CS+ and CS-) as baseline (-1000 - 0 s) and in case of ripples-triggered activity only
784 used the period outside the ripple center (50 ms window) as baseline. At last, we defined the
785 assembly reactivation window on SWR as the average z-scored assembly activity in the 50
786 ms window centered on the aSWR. We then divided each session in 10 equally long trial
787 blocks, and investigated the reactivation strength of positively (reactivation+) and negatively
788 (reactivation-) modulated assemblies over the course of the session. Statistical comparisons
789 between pre and post (normalized) mean assembly activity was done using a Wilcoxon rank
790 sum test, while comparisons of reactivation within a session was done comparing the
791 reactivation in the first three and last blocks using a Wilcoxon signed-rank test. In Figure 5D-
792 E traces were smoothed using a 100 ms Gaussian window. Comparisons between CS+ and
793 CS- stimuli were done using a Wilcoxon signed-rank test, while comparisons with baseline
794 (pre-stimulus period) were done using a t-test.

795

796 **Trial-type encoding assembly reactivation during aSWR**

797 For each assembly a trial-type modulation score (Figure 6A) was computed defined as the
798 average assembly activation (in a given period) during CS+ trials minus the average
799 activation during CS- trials. Similarly, a trial-period modulation score was defined as the
800 average assembly activation during stimulus minus the average assembly activation during
801 trace (Figure 6-figure supplement 1A). For trial-period modulation scores, only CS- trials
802 were used. In Figure 6B and Figure 6-figure supplement 1B only the assemblies with the
803 lowest (CS+ suppressed), highest (CS- suppressed) and least (non-modulated) score values
804 of each session were chosen.

805

806 **CA1-PFC assembly co-activation during aSWR**

807 First, to ensure that both areas had significant aSWRs reactivations, we computed the
808 percentage of significant ripples. This was defined as the percentage of reactivations above
809 2 standard deviations (computed through the median absolute deviation) from the median.
810 Then, to assess the coordination of CA1 and PFC assembly reactivation (during aSWRs),
811 we counted how often each simultaneously recorded pair (1 CA1 and 1 PFC assembly)
812 reactivated together above the median in both areas and then compared the percentage of
813 coincident high reactivations (quadrant 1; Q1) with the percentage of high and low
814 reactivations in CA1 and PFC respectively (quadrant 2; Q2; see Figure 6). A more
815 conservative version of this analysis using the 5% highest and lowest aSWRs reactivation to
816 define the quadrant thresholds yield similar results (data not shown).

817

818 **Histology**

819 At the end of each experiment, mice were perfused with 4%PFA and brain sections (100µm)
820 were examined with light microscopy to confirm electrode placement in CA1 and mPFC.

821

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825

826 **Competing interests**

827 No competing interests declared.

828

829 **References**

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984

985 **Figure 1. CA1 and PFC single cell activity shows distinct learning-dependent changes**
986 **during appetitive auditory trace conditioning (AATC). A) Schematic of AATC task and**
987 **electrophysiological recordings B) Example post-learning training sessions of one mouse**
988 **during the AATC task (dots in raster-plots represent licks, solid lines indicate average**

1000 responses from respective sessions). **C)** Average change in lick rate during the trace period
1001 trial during learning for all animals (n=17) (*indicates sessions with significantly higher group
1002 average licks during the trace period after CS+ sounds, Shade area represents standard
1003 error of the mean (SEM)). **D)** “Neuroseeker” silicon probe layout and combined spatial spike
1004 waveform patterns of 4 simultaneously recorded example neurons from CA1. **E)** Z-scored
1005 firing rates of all CA1 neurons recorded pre (top) and post (bottom) learning during CS+ and
1006 CS- trials ordered according to average trace period firing rates. **F)** Z-scored PSTHs of all
1007 recorded cells in CA1. **G)** Z-scored sound evoked change in firing rate (0-350ms post
1008 CS+/CS- onset) in CA1. **H)** Z-scored trace period change in firing rate (2-3s post CS+/CS-
1009 onset) in CA1. **I)** Z-scored reward period change in firing rate (0-.5s post reward presentation
1010 for CS+ trials pre and post learning) in CA1. **J)** Z-scored firing rates of all PFC neurons
1011 recorded pre (top) and post (bottom) learning during CS+ and CS- trials ordered according
1012 to average trace period firing rates. **K)** Z-scored PSTHs of all recorded cells in PFC. **L)** Z-
1013 scored sound evoked change in firing rate in PFC. **M)** Z-scored trace period change in firing
1014 rate in PFC. **N)** Z-scored reward period change in firing rate in PFC (*, **, *** represents
1015 Wilcoxon rank sum, $p < 0.05$, $p < 0.01$, $p < 0.001$). (Error bars and shaded areas represent
1016 SEM).

1006

1007 **Figure 1-figure supplement 1. Positioning of silicon probes in CA1 and PFC. A)**
1008 *Histological image of silicon probe implantation track in PFC (left). Arrows show estimated*
1009 *extend of 128 channel “Neuroseeker” silicon probe. Schematic of verified recording position*
1010 *from 6 animals (right) (adapted from (Franklin and Paxinos, 2019)). B)* *Histological image of*
1011 *silicon probe implantation track in CA1 (left). Arrows show estimated extend of 128 channel*
1012 *“Neuroseeker” silicon probe. Schematic of verified recording position from 4 animals (right)).*

1013

1014

1015 **Figure 1-source data 1. Number of recorded neurons per animal and session in CA1,**
1016 **PFC and simultaneous CA1-PFC recordings.**

1017

1018 **Figure 2. CA1 and PFC single cells exhibit lick evoked responses and distinct patterns**
1019 **of sustained activity. A)** Z-scored firing rates of all CA1 neurons (Top) aligned to the first
1020 lick of a lick bout (at least 3 licks/s) during CS+ trials (before reward delivery). Z-scored
1021 change in activity of all and for positively lick modulated cells (bottom). Purple bar indicates
1022 Lick-Up cells. **B)** Z-scored PSTHs of all Trace-Up (Top) and Trace-Down (Bottom) post-
1023 learning for CA1 (in CS+ or CS- trials: Trace-Up, n=444; Trace-Down, n=675). **C)** Z-scored
1024 change in firing rate during the trace period of the same Trace-Up neurons (Top) and Trace-
1025 Down neurons (bottom) for CA1 **D)** Lick cells in PFC (same as in A) **E)** Trace-Up (Top) and

1026 Trace-Down (Bottom) non-lick neurons post-learning for PFC (CS+ or CS- trials: Trace-Up,
1027 n=736; Trace-Down, n=734). **F)** Z-scored change in firing rate during the trace period of the
1028 same Trace-Up neurons (Top) and Trace-Down neurons (bottom) for PFC. (*,**,***
1029 represents Wilcoxon rank sum, $p<0.05$, $p<0.01$, $p<0.001$; Error bars and shaded areas
1030 represent SEM).

1031

1032 **Figure 2-figure supplement 1. Distribution and activation of Trace-Up and Trace-Down**
1033 **cells in CA1 and PFC changes over the course of learning. A)** Percentage of Trace-Up
1034 and Trace-Down cells in CA1 and PFC in pre- and post-learning sessions separately for
1035 CS+ and CS- trials. **B)** Average Z-scored modulation of all combined Trace-up and Trace-
1036 down cells in CA1 and PFC in pre- and post-learning sessions (Error bars represent SEM).

1037

1038 **Figure 3. CA1 and PFC non-lick cell population activity encode trial identity during the**
1039 **trace period. A)** Example of average non-lick cell population rate vector trajectories for one
1040 session in CA1 (CS+ (blue) and CS- (red)). Averages plotted along first 3 principal
1041 components (Baseline period: blob on the left, trace period thicker lines on the right). **B)**
1042 Average z-scored Euclidean-distance between CS+ and CS- non-lick cell population rate
1043 vector trajectories during AATC task for CA1 (n=36) (shaded areas represent SEM). **C)**
1044 Support vector machine classification of trial identity by average baseline (-1s-0) and trace
1045 period (2-3s) activity of non-lick cells in CA1 (n=36) (***) indicate Wilcoxon sign rank
1046 $p<0.001$). **D)** Example of average non-lick cell population rate vector trajectory for one
1047 session in PFC. **E)** Average z-scored Euclidean-distance between CS+ and CS- non-lick cell
1048 population rate vector trajectories for PFC (n=38) (shaded areas represent SEM). **F)**
1049 Support vector machine classification of trial identity by average baseline (-1s-0) and trace
1050 period (2-3s) activity of non-lick cells in PFC (n=38).

1051

1052 **Figure 3-figure supplement 1. CA1 and PFC single cells and population responses**
1053 **slowly decay back to baseline after conditioning trials. A & B)** Z-scored firing rates of all
1054 CA1 (A) and PFC (B) neurons recorded during post-learning sessions for 25s after trial
1055 onset. **C & D)** Average z-scored Euclidean-distance between CS+ and CS- non-lick cell
1056 population rate vector trajectories during AATC task for CA1 (n=36) and PFC (n=38)
1057 (shaded areas represent SEM).

1058

1059 **Figure 3-figure supplement 2. CA1 and PFC non-lick cell population activity does not**
1060 **correlate with lick or running behavior. A)** Average Lick responses during post-learning
1061 trials for CS+ and CS- trials (52 sessions, 17 animals) (shaded areas represent SEM). **B)**
1062 Average running speed during post-learning CS+ and CS- trials (52 sessions, 17 animals). **C)**

1063 **& D)** Correlation between non-lick population rate vector differences (CS+ vs CS- trials) for
1064 all post learning sessions in CA1 (C) and PFC (D) (middle) and differences in lick rate (CS+
1065 vs CS- trials) (left) and differences in running Speed (CS+ vs CS- trials) (right) for all post
1066 learning sessions.

1067

1068 **Figure 4. CA1-PFC interaction during trace-conditioning.** **A)** Example of simultaneously
1069 recorded LFP and single cell activity from CA1 and PFC during a CS+ conditioning trial. **B)**
1070 CA1-PFC LFP coherence during CS+ trials (right) and CS- trials (left). Average coherence
1071 during baseline and during the trace-period (right). Black bar indicates significant difference
1072 between CS+ post and CS- post trials (permutation test at each frequency <0.05). **C)** (Left)
1073 Schematic representation of how performance and the number of predictive dimensions
1074 were calculated for each regression. (Right) Reduced-rank regression between CA1 and
1075 PFC spiking activity during conditioning trials in pre and post learning sessions. Solid and
1076 filled bars represent pre learning and post learning sessions respectively (error bars
1077 represent SEM).

1078

1079 **Figure 4-figure supplement 1. CA1-PFC single cell interaction does not change across**
1080 **different task periods.** **A)** Schematic representation of Ridge-regression. A full-rank model
1081 was computed using 10-fold cross validation and L1 regularization. The model with the best
1082 performance over the regularization parameter λ was selected. **B)** Full-model ridge
1083 regression between CA1 and PFC spiking activity during conditioning trials in pre and post
1084 learning sessions. Solid and filled bars represent pre learning and post learning sessions
1085 respectively, error bars represent SEM, and * refers to $p < 0.05$ in a Wilcoxon ranksum test.

1086

1087 **Figure 5. CA1 and PFC cell assemblies show different aSWR reactivation dynamics.**
1088 **A)** Average (z-scored) assembly activation triggered by aSWR occurring in the inter-trial
1089 intervals for CA1 and PFC, Pre and Post learning sessions (Top). Mean aSWR-triggered
1090 activation over all the assemblies for Pre and Post sessions for each area. Shaded areas
1091 represent the SEM. Black dots represent windows in which Pre and Post assembly activity
1092 were statistically different (Wilcoxon rank sum test; $p < 0.05$). Notice the higher aSWR
1093 triggered activation of assemblies in PFC in Post sessions. **B)** Histogram (left) and
1094 cumulative distribution function (CDF; right) of the mean assembly activity on the reactivation
1095 window denoted in A. P-values refer to a two-sample Kolmogorov-Smirnov test between Pre
1096 and Post distributions. **C)** Average aSWR reactivation of each assembly per session (Top).
1097 Sessions were divided into 10 blocks of equal trial length. Mean aSWR reactivation of all
1098 positively (reactivation+) and negatively (reactivation-) reactivated assemblies. Asterisks

1099 refer to Wilcoxon signed-rank test performed between the first and last three trial-blocks
1100 (dashed rectangles) of each area/learning condition (n.s.: non-significant; * $p < 0.05$; ** $p < 0.01$;
1101 *** $p < 0.001$) and shaded areas represent SEM. Note the evident increase in CA1 aSWR
1102 assembly reactivation across the session in both Pre and Post sessions for positively
1103 modulated assemblies (reactivation+). **D**) Mean (z-scored) assembly activity triggered by the
1104 stimulus onset for the 25% most strongly aSWR-reactivated assemblies in CA1 (Left).
1105 Average of the traces over each trial period is shown for CS+ and CS- (Right). Notice the
1106 initial decrease of assembly activity in CA1 during the stimulus and the posterior separation
1107 between CS+ and CS-. **E**) The same as in D, but for PFC assemblies. Note the difference
1108 between CS+ and CS- assembly activity during the reward period. Asterisks refer to a
1109 Wilcoxon signed-rank test comparing CS+ and CS- (* $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$). Error
1110 bars refer to SEM and darker bars denote mean assembly activity significantly different from
1111 zero ($p < 0.05$; t-test).

1112

1113 **Figure 5-figure supplement 1. aSWR reactivation of assemblies detected during inter-**
1114 **trial intervals. A)** (Left) Mean reactivation around aSWRs of assemblies detected during the
1115 inter-trial intervals (excluding aSWR events) for Pre and Post learning sessions. (Middle)
1116 Histogram of mean assembly aSWR-reactivation on the reactivation window (yellow
1117 rectangle) for Pre and Post learning sessions. (Right) Cumulative distribution of mean
1118 assembly aSWR-reactivation. P-values refer to a two-sample Kolmogorov-Smirnov test
1119 between Pre and Post distributions. **B)** Similar to A, but using sham aSWR times to compute
1120 the average reactivation. (aSWR events were randomly shifted by ~200 ms).

1121

1122 **Figure 5-figure supplement 2. Distribution of awake Sharp Wave Ripples during trace-**
1123 **conditioning. A)** Example of simultaneously recorded LFP and single cell activity from CA1
1124 and PFC during aSWRs. **B)** Ripple rate during CS+(blue) and CS-(red) trials across all
1125 conditioning sessions. Average lick rate during CS+ trials is overlaid in green (Shaded areas
1126 indicate SEM **C)** Average aSWR rate increases from early to late within individual sessions
1127 (top) **and** average aSWR rate does not change between pre and post learning sessions
1128 (bottom) (error bars represent SEM and ** refers to Wilcoxon ranksum test $p < 0.01$)..

1129

1130 **Figure 5-figure supplement 3. Detecting cell assemblies in neural populations. A)** The
1131 rastergram (left) of each trial was computed and binned in 20-ms-bins with no overlap
1132 (middle). After concatenating the activity of all trials, the activity of each neuron was z-scored
1133 and the correlation matrix was computed (right). **B)** The eigenvalues of the correlation matrix
1134 were then computed and compared to the analytical (Marchenko-Pastur) distribution to
1135 estimate the amount of assembly patterns present in the data (top). After that, independent

1136 component analysis was used to extract the assembly patterns (bottom). **C**) The patterns in
1137 *B* were then used to project the assembly activity during the trial, using 20 ms bins with
1138 steps of 1 ms.

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1140 **Figure 6. Trial-type modulation and PFC coactivation of CA1 assemblies.** **A)** Schematic
1141 representation of trial-type modulation scores, CS+ and CS- suppressed assemblies. The
1142 modulation score was defined as the difference between average assembly activation on
1143 CS+ and CS- trials during a specific period. **B)** Mean aSWR reactivation of CS+ suppressed,
1144 CS- suppressed and non-modulated assemblies pre and post learning over time (left) and
1145 within 50 ms window around ripples (right). Error bars denote SEM (* $p < 0.05$; ** $p < 0.01$;
1146 *** $p < 0.001$). **C)** Scatter plot and Pearson's correlation values between trial-type modulation
1147 score and average aSWR reactivation for all CA1 assemblies (pre and post learning). Notice
1148 the stronger reactivation of negatively modulated assemblies (CS+ suppressed). **D)** (Left)
1149 Example of joint reactivation for two pairs of CA1-PFC assemblies. Quadrants were defined
1150 using the median aSWRs reactivation of each area and the proportion of reactivations in
1151 each quadrant was computed. (Right) Percentage of ripple reactivations in 1st and 2nd
1152 quadrants defined in left for all possible combination of assembly pairs (Wilcoxon signed-
1153 rank test).

1154

1155 **Figure 6-figure supplement 1. Trial-type modulation of PFC assemblies and trial-**
1156 **period modulation.** **A)** Mean aSWR reactivation of CS+ suppressed, CS- suppressed and
1157 non-modulated assemblies in PFC over time (left) and within 50 ms window around ripples
1158 (right). Error bars denote SEM. **B)** Scatter plot and Pearson's correlation values between
1159 trial-type modulation score and average aSWR reactivation for all CA1 assemblies (pre and
1160 post learning). **C)** (Left) Schematic representation of trial-period modulation scores. The trial-
1161 period modulation score was defined as the difference between average assembly activation
1162 on stimulus and trace periods in CS- trials. (Right) Scatter plot and Pearson's correlation
1163 values between trial-period modulation scores and aSWRs reactivation for assemblies in
1164 CA1 and PFC. **D)** Percentage of significant assembly reactivations during aSWRs.

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