

1 **Neocortical pyramidal neurons with axons emerging from dendrites are**
2 **frequent in non-primates, but rare in monkey and human**

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37 **Abstract**

38 The canonical view of neuronal function is that inputs are received by dendrites and somata, become
39 integrated in the somatodendritic compartment and upon reaching a sufficient threshold, generate
40 axonal output with axons emerging from the cell body. The latter is not necessarily the case. Instead,
41 axons may originate from dendrites. The terms “axon carrying dendrite” (AcD) and “AcD neurons”
42 have been coined to describe this feature. In rodent hippocampus, AcD cells are shown to be
43 functionally ‘privileged’, since inputs here can circumvent somatic integration and lead to immediate
44 action potential initiation in the axon. Here, we report on the diversity of axon origins in neocortical
45 pyramidal cells of rodent, ungulate, carnivore, and primate. Detection methods were Thy-1-EGFP
46 labeling in mouse, retrograde biocytin tracing in rat, cat, ferret, and macaque, SMI-32/ β IV-spectrin
47 immunofluorescence in pig, cat, and macaque, and Golgi staining in macaque and human. We found
48 that in non-primate mammals, 10-21% of pyramidal cells of layers II-VI had an AcD. In marked
49 contrast, in macaque and human, this proportion was lower, and was particularly low for
50 supragranular neurons. A comparison of six cortical areas (sensory, association, limbic) in three
51 macaques yielded percentages of AcD cells which varied by a factor of 2 between the areas and
52 between the individuals. Unexpectedly, pyramidal cells in the white matter of postnatal cat and aged
53 human cortex exhibit AcDs to much higher percentages. In addition, interneurons assessed in
54 developing cat and adult human cortex had AcDs at type-specific proportions and for some types at
55 much higher percentages than pyramidal cells. Our findings expand the current knowledge regarding
56 the distribution and proportion of AcD cells in neocortex of non-primate taxa, which strikingly differ
57 from primates where these cells are mainly found in deeper layers and white matter.

58

59 **Key words:** subplate, interstitial cells, inhibitory interneurons, axon initial segment, neurofilament,
60 evolution

61

62 **Introduction**

63 The prevailing concept of neocortical pyramidal cell function proposes that excitatory inputs arrive
64 via the dendrites, are integrated in the somatodendritic compartment, and upon reaching sufficient
65 threshold, the axonal domain generates an action potential. The axon usually originates from the
66 ventral aspect of the soma, starting with a short axon hillock followed by the axon initial segment
67 (AIS), the electrogenic domain generating the action potential (reviewed by Kole and Brette, 2018).
68 Already Ramon y Cajal suggested that impulses may bypass the soma and flow directly to the axon
69 (reviewed by Triarhou, 2014). AcD are common in cortical inhibitory interneurons (Meyer, 1987;
70 Wahle and Meyer, 1987; Meyer and Wahle, 1988; Höfflin et al., 2017). Further, upright, inverted and
71 fusiform pyramidal neurons of supra – and infragranular layers display AcDs in Golgi impregnated or

72 dye-injected cortex from rodents, lagomorphs, ungulates and carnivores (Peters et al., 1968; Smit
73 and Uylings, 1975; van der Loos, 1976; Peters and Kara, 1985; Ferrer et al., 1986a; Ferrer et al.,
74 1986b; Hübener et al., 1990; Reblet et al., 1992; Matsubara et al., 1996; Prieto and Winer, 1999;
75 Mendizabal-Zubiaga et al., 2007; Hamada et al., 2016; Ernst et al., 2018). In mouse hippocampal CA1
76 pyramidal cells, axons frequently emerge from basal dendrites (Thome et al., 2014). Multiphoton
77 glutamate uncaging and patch clamp recordings revealed that input to the AcD is more efficient in
78 eliciting an action potential than input onto regular dendrites (non-AcDs). AcDs are intrinsically more
79 excitable, generating dendritic spikes with higher probability and greater strength. Synaptic input
80 onto AcDs generates action potentials with lower thresholds compared to non-AcDs, presumably due
81 to the short electrotonic distance between input and the AIS. The anatomical diversity of axon origins
82 plus the diversity of length and position of the AIS substantially impact the electrical behavior of
83 pyramidal neurons (reviewed by Kole and Brette, 2018). This begs the questions, of how frequent
84 AcD pyramidal neurons are among the mammalian species, and whether AcD pyramidal neurons also
85 exist in primates. Our data suggest remarkable differences between phylogenetic orders and position
86 in gray and white matter.

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INSERT FIG 1 HERE

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Results

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Pyramidal AcD cells in adult cortex

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We assigned AcD in a very conservative manner. All cells in which the axonal origin could not be unequivocally seen to arise from a dendrite were considered “somatic” axon cells. A certain fraction of neurons had axon which share a root with a dendrite. We consequently considered “shared root” cells as somatic axon cells. **Figure 1A** documents the diversity of axon origins of pyramidal cells in a P60 infant macaque monkey cortex with an axon originating from a soma (inset B), an AcD (inset C), and the shared root configuration (inset D). Generally, AcDs were basal dendrites. AcD neurons of other species are shown in **Figure 2A-E**. Macaque neurons stained with SMI-32/ β IV-spectrin are shown in three videos. **Figure 2 - video 1** shows an AcD pyramidal neuron from premotor cortex and flanking non-AcD neurons. **Figure 2 - video 2** shows a layer V pyramidal cell of the cingulate cortex with an axon wiggling out between thick dendrites at the right somatic pole. Even with confocal

107 imaging it was in cases like this not easy to make decisions, and we score this neurons as a shared
108 root cell. **Figure 2 - video 3** shows a spindle-shaped neuron resembling a Von Economo neuron of
109 infragranular layers of the cingulate cortex. Note that the axon emerges >65 μm away from the soma
110 from the descending dendrite.

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INSERT FIG 2 HERE

113

114 **Figure 2.** Representative AcD neurons. (A1, A2) from rat visual cortex (biocytin,
115 immunofluorescence); (B1, B2) cat visual cortex (immunofluorescence); (C1, C2) ferret visual
116 cortex (biocytin); (D1, D2) macaque premotor cortex (biocytin, immunofluorescence), the
117 inset shows the axon origin at higher magnification; (E1, E2) human auditory cortex (Golgi
118 method; D2 is a montage of two photos). Apical AcDs (asterisk in C2) were rare, less than ten
119 were detected among the neurons assessed in adult rat, ferret, and macaque, and none in
120 our human material. In all cases the axon immediately bent down towards the white matter.
121 Axon origins are marked by large arrows, small arrows indicate the course of biocytin-labeled
122 axons. Scale bars 25 μm .

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The online version of this article includes the following figure supplement for Figure 2:

125

126

Figure 2 – video 1

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Figure 2 - video 2

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Figure 2 - video 3

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Figure 2–figure supplement 1 Variations of axon origins of biocytin-stained pyramidal
131 neurons of rat (A-D) and ferret (E-G) visual cortex, and macaque premotor cortex (H-K) and
132 macaque intraparietal sulcus (L). The neurons in (L), an AcD cell next to a somatic axon cell
133 reside millimeters from the injection site, they are long-projecting layer III pyramidal
134 neurons. Cells with somatic axons are in B, C-inset, E, F, H, I, L (rightmost cell). Cells with
135 shared root configuration are in C, G. Cells with AcD are D, D-inset, J, K, L. The neurons in K, L
136 (the left one, enlarged in the inset in L) give rise to normal basal dendrites plus a single thick
137 radially descending dendrite which carries the axon. Such cells have been described in
138 macaque cortex (Hendry and Jones 1983). Note that the axon of the cell in J emerged from
139 the apical dendrite and bent down to the white matter. Axons marked by white arrows, axon
140 collaterals marked by small black arrows. Scales: 15 μm in A-D; 25 μm in E-L.

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Figure 2–figure supplement 2 Variations of axon origins of Golgi-impregnated pyramidal
143 neurons of human temporal cortex, all taken from Individual 2, 56 years of age and sampled
144 from all layers. Cells with somatic axons are in A, B, C, D, E, F. Cells with shared root
145 configuration are in G, H, I. Cells with AcD are J, K, L, M, N, O. Axons marked by white arrows,
146 axon collaterals marked by small black arrows. Scale: 25 μm .

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149 To further demonstrate the variability of the axon origin, **Figure 2–figure supplement 1A-L** shows
150 representative biocytin-labeled neurons of rat, ferret and macaque. The shared root configuration
151 can be considered a transition between a clear-cut somatic axon and an axon originating from a
152 dendrite. Neurons with the three features co-occur: for instance, of the group of rat pyramidal
153 neurons depicted in **Figure 2–figure supplement 1A and at higher magnifications in Figure 2–figure**
154 **supplement 1B, C, D**, some have somatic axons (cells labeled 1, 3), one has a shared root (cell 2) and
155 two have AcDs (cells 4, 5). **Figure 2–figure supplement 2A-O** shows Golgi-impregnated pyramidal
156 neurons of human cortex. The black reaction product made it more difficult to identify unequivocal
157 AcD cells.

158

159 **Quantitative analysis**

160 In gray matter of non-primates, 10-21% of the pyramidal neurons assessed by perpendicular counts
161 through all layers had an AcD (**Figure 3A**). The interindividual variability and staining methods are
162 reported for mouse and rat in **Table 1**, and for cat, ferret and pig in **Table 2**. Mouse Thy-1-EGFP, β IV-
163 spectrin-positive pyramidal neurons vary from 10-22%, possibly due to the individual variability of
164 the Thy-1 expression level. In adult macaque gray matter only about 3-6% of the pyramidal neurons
165 had an AcD (**Figure 3A**). The interindividual variability and staining methods are reported in **Table 3**.
166 In human gray matter the proportion of AcD pyramidal neurons was 1.96% on average. The
167 interindividual variability and staining methods are reported in **Table 4**.

168

169 A significant difference emerged after a layer-specific analysis. Proportions were largely obtained in a
170 second round of quantification with surface-parallel tracks, and in some cases sections were assessed
171 that had not been analyzed in the first round of counting in order to obtain higher cell numbers.
172 Therefore, in **Tables 1-4** the laminar percentages do not simply add up to proportions obtained for
173 whole gray matter. Further, we plotted the individual values because bar graphs do not represent
174 interindividual variability. Non-primates had about equal proportions of AcD cells in supra- and
175 infragranular layers (**Figure 3B, Tables 1, 2**). Thy-1 was only expressed in layer V and therefore, did
176 not allow to determine laminar percentages for mouse. The macaque had only about 1-5%
177 supragranular and about 5-14% infragranular AcD cells (**Figure 3B, Table 3**). Note the variable
178 proportions of AcD neurons in infragranular layers and no obvious correlation between proportion
179 and age of the individual macaques. The differences between non-primates and macaque were
180 significant (see legend to **Figure 3B**). Values obtained in human Golgi material overlap with the lower
181 range of the macaque values. Also in human, supragranular layers had low proportions of AcD cells of
182 0.99% on average. Laminar percentages for infragranular neurons were on average 2.87%, and

183 variable between individuals, but obviously not correlated with age (**Table 4**). Note that levels might
 184 have been a bit underestimated in Golgi material. The point will be addressed below.

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INSERT FIG 3 HERE

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188 **Figure 3.** Proportion of AcD neurons across species. **(A)** Shown are mean \pm S.E.M. of the
 189 individual percentages listed in **Figure 3-figure supplement Tables 1-4**, which also indicates
 190 the staining methods. Numbers above the bars are the total number of pyramidal neurons
 191 assessed per species/cell class for this graph. Numbers in the bars indicate the number of
 192 individuals. **(B)** Laminar analysis. Non-primate species showed roughly equal proportions of
 193 AcD neurons in supra- and infragranular layers. With some individual variability the range
 194 was 10-21%. In contrast, in macaque, the cluster was down-shifted along the ordinate due to
 195 overall much lower proportions. Further, infragranular pyramidal cells displayed much higher
 196 proportions of AcD cells compared to supragranular pyramidal cells. A Mann-Whitney rank
 197 sum test of “all non-primate” versus “all macaque” percentages of supragranular and
 198 infragranular AcD cells, yielded $p < 0.001$ and $p < 0.001$, respectively. Human was not included
 199 in the statistical test because only one method was used to detect AcD cells. The legend
 200 indicates the number of individuals and the staining methods; IFL, immunofluorescence.
 201 Note that we could not do a laminar analysis for all individuals shown in Figure 3A because
 202 staining of supragranular layers in some animals delivered too low numbers which might
 203 have led to a sampling error.

204

205 **Figure 3 - source data 1.** Data and statistical analysis of experiments shown in *Figure 3A* and
 206 *Figure 3.B*

207

208 **Table 1. Proportion of pyramidal neurons with AcD: rodents**

Species; cortical area; staining method; age; sex	proportion of AcD cells [%]; n of cells assessed;	by layers: supra %, infra %
Mouse S1 cortex, layer V; Thy1-EGFP/βIV-spectrin immunofluorescence		
adult, female	17.42 %, 178 cells	n.a.
adult, female	11.78 %, 348 cells	n.a.
adult, female	15.79 %, 36 cells	n.a.
adult, female	18.84 %, 138 cells	n.a.
adult, male	21.93 %, 187 cells	n.a.
adult, male	14.82 %, 54 cells	n.a.
adult, male	10.17 %, 59 cells	n.a.
<i>average [%], total n of cells</i>	15.82 %, 1000 cells	
Rat visual cortex; biocytin tracing		
adult, male, two hemispheres	17.82 %, 174 cells	15.06 %, 17.69 %
adult, male, two hemispheres	15.07 %, 803 cells	15.65 %, 16.46 %
<i>average [%], total n of cells</i>	16.45 %, 977 cells	15.36 %, 17.08 %

209 n.a., not applicable.

210

211

212 **Table 2. Proportion of pyramidal neurons with AcD: ungulate, carnivores**

Species; cortical area; staining method; age; sex	proportion of AcD cells [%]; n of cells assessed;	by layers: supra %, infra %
Kitten visual cortex gyral white matter; intracellular Lucifer Yellow		
P1, P2 (n = 2, sex n.d.)	37.93 %, 58 cells	n.a.
P10, P11 (n = 2, sex n.d.)	44.64 %, 56 cells	n.a.
P12, P14 (n = 2, sex n.d.)	47.37 %, 19 cells	n.a.
<i>average [%], total n of cells</i>	43.31 %, 133 cells	
Kitten and adult cat visual cortex layer VI; intracellular Lucifer Yellow		
P1, P5 (n = 2, sex n.d.)	20.18 %, 114 cells	n.a.
P11 (n = 2, sex n.d.)	17.65 %, 51 cells	n.a.
P19, P30 (n = 2, sex n.d.)	14.89 %, 47 cells	n.a.
P52, P60 (n = 2, sex n.d.)	20.00 %, 30 cells	n.a.
adult (n = 2, sex n.d.)	17.95 %, 39 cells	n.a.
<i>average [%], total n of cells</i>	18.13 %, 281 cells	
Adult cat visual cortex; SMI-32/βIV-spectrin immunofluorescence		
Individual 1, 3 months, sex n.d.	13.35 %, 978 cells	14.42 %, 14.55 %
Individual 2, adult, sex n.d.	14.92 %, 496 cells	n.d.
Adult cat visual cortex; biocytin tracing		
Individual 3, adult, sex n.d.	13.44 %, 655 cells	12.50 %, 15.85 %
Individual 4, adult, sex n.d.	17.14 %, 70 cells	18.52 %, 12.50 %
Individual 5, adult, sex n.d.	13.93 %, 316 cells	13.12 %, 15.79 %
Individual 6, adult, sex n.d.	19.13 %, 230 cells	19.34 %, 18.37 %
Individual 7, adult, sex n.d.	12.64 %, 174 cells	11.49 %, 19.23 %
<i>average [%] individuals 1-7, total n of cells</i>	14.94 %, 2919 cells	14.90 %, 16.05 %
Ferret visual cortex; biocytin tracing		
Individual 1, adult, female	16.56 %, 302 cells	15.95 %, 18.57 %
Individual 2, adult, female	14.66 %, 191 cells	15.39 %, 13.11 %
Individual 3, adult, female	11.30 %, 230 cells	10.24 %, 14.06 %
Individual 4, adult, male	13.07 %, 329 cells	13.45 %, 12.26 %
<i>average [%], total n of cells</i>	13.90 %, 1052 cells	13.76 %, 14.50 %
Pig dorsoparietal cortex; SMI-32/βIV-spectrin immunofluorescence		
3 months, European wild boar, female	20.11 %, 189 cells	22.04 %, 19.05 %
5 months, domestic, sex n.d.	20.99 %, 181 cells	n.d.
<i>average [%], total n of cells</i>	20.55 %, 370 cells	22.04 %, 19.05 %

213 n.a., not applicable; n.d., not determined due to too weak staining

214

215

216 **Table 3. Proportion of pyramidal neurons with AcD: primates - macaque**

Species; cortical area; staining method; age; sex	proportion of AcD cells [%]; n of cells assessed	by layers: supra %, infra %
<i>Macaca mulatta</i> premotor cortex; biocytin tracing		
Individual 1, 11 years, male	4.93 %, 954 cells	2.79 %, 11.38 %
Individual 2, 7 years, male	3.10 %, 816 cells	2.93 %, 6.32 %
Individual 3, 5 years, male	4.26 %, 423 cells	3.23 %, 6.25 %
<i>Macaca mulatta</i> parietal & visual cortex; SMI-32/βIV-spectrin immunofluorescence		
Individual 4, 5 years, male	5.99 %, 717 cells	2.59 %, 13.95 %
Individual 5, 10 years, male	6.75 %, 681 cells	2.51 %, 12.72 %
<i>Macaca fascicularis</i> parietal cortex; Golgi-Kopsch method		
Individual 6, adult, female	3.58 %, 307 cells	4.82 %, 6.90 %
Individual 7, adult, male	3.07 %, 228 cells	1.57 %, 5.67 %
average [%] individuals 1-7, total n of cells	4.53 %, 4126 cells	2.92 %, 9.03 %
<i>Macaca mulatta</i> visual cortex; biocytin tracing		
Individual 8, P60, female	4.51 %, 377 cells	1.28 %, 5.88 %
<i>Macaca mulatta</i> cingulate cortex; SMI-32/βIV-spectrin immunofluorescence		
Individual 8, P60, female	5.80 %, 500 cells	1.28 %, 7.85 %
<i>Macaca mulatta</i> premotor/M2 cortex; SMI-32/βIV-spectrin immunofluorescence		
Individual 8, P60, female	5.36 %, 1249 cells	1.34 %, 9.05 %
average [%] individual 8, total n of cells	5.22 %, 2126 cells	1.30 %, 7.59 %

217

218

219

220 **Table 4. Proportion of pyramidal neurons with AcD: primates - human**

Species; cortical area; staining method; age; sex	proportion of AcD cells [%]; n of cells assessed	by layers: supra %, infra %
Human temporal lobe; Golgi-Cox method		
Individual 1, 53 years, male	2.56 %, 646 cells	1.45 %, 4.40 %
Individual 2, 56 years, male	2.79 %, 825 cells	0.96 %, 4.66 %
Human auditory cortex; Golgi-Kopsch method		
Individual 3, 63 years, male	0.79 %, 253 cells	0.69 %, 0.91 %
Individual 4, 71 years, female	1.92 %, 677 cells	0.80 %, 2.58 %
Individual 5, 75 years, male	0.47 %, 215 cells	0.00 %, 0.80 %
Individual 6, 88 years, female	0.71 %, 140 cells	0.00 %, 1.28 %
Individual 7, 56 years, female	1.72 %, 407 cells	1.27 %, 2.34 %
Human prefrontal agranular cortex; Golgi-Kopsch method		
Individual 8, 46 years, female	2.02 %, 247 cells	n.d.
Individual 9, 77 years, male	2.59 %, 424 cells	n.d.
Individual 10, 87 years, female	1.53 %, 653 cells	n.d.
Human visual cortex area 18 Golgi-Kopsch method		
Individual 10, 87 years, female	2.48 %, 242 cells	1.77 %, 3.10%
<i>average [%] individuals 1-10, total n of cells</i>	1.96 %, 4729 cells	0.99 %, 2.87 %
Human auditory cortex gyral white matter; Golgi-Kopsch method		
Individual 3, 63 years, male	8.69 %, 115 cells,	n.a.
Individual 4, 71 years, female	8.88 %, 135 cells,	n.a.
Individual 5, 75 years, male	8.70 %, 69 cells,	n.a.
Individual 6, 88 years, female	9.18 %, 98 cells,	n.a.
<i>average [%] individuals 3-6, total n of cells</i>	8.86 %, 417 cells	n.a.

221

222 For a more detailed analysis, we compared six cortical areas (primary sensory to limbic) in macaque
 223 using the same method, SMI-32/ β IV-spectrin immunofluorescence. Antibody SMI-32 directed against
 224 nonphosphorylated neurofilaments labels somata and dendrites of large type 1 pyramidal cells
 225 mainly of layers III and V, and much weaker the smaller pyramidal neurons, but not spiny stellates of
 226 layer IV and small pyramidal neurons of layer II (Garcia-Cabezas and Barbas, 2014). β IV-spectrin is
 227 one of the most reproducible markers for the axon initial segment. The following regions were
 228 assessed: visual cortex V1/operculum, auditory cortex A1 along the lower bank of the lateral fissure,
 229 somatosensory cortex S2 along the upper bank of the lateral fissure, cingulate cortex medial and
 230 lateral flank including areas 23 and 31, respectively, the upper and lower bank of the intraparietal
 231 sulcus, and dorsal cortex (premotor and parietal at more anterior levels). The intraparietal sulcus has

232 on the upper bank area MIP involved in grasping, and on the lower bank the areas LIP and VIP
233 involved in control of eye movements. Intraparietal neurons were retrogradely labeled from the
234 premotor cortex injections. In three individuals, the percentages of AcD neurons varied between the
235 six areas by about a factor of 2 (**Figure 4A**). We could not recognize a systematic difference in that
236 one of the areas presented with substantially higher or lower percentages.

237 Further, we compared primary visual area 17 to extrastriate visual areas in adult cats which received
238 biocytin injections. Also here, individual percentages of AcD neurons varied from 11-20%; the
239 interindividual variability was larger than the interareal variability. There was no recognizable
240 difference between the areas analyzed (**Figure 4B**). Moreover, the values obtained in cat visual
241 cortex matched those in ferret visual cortex (striate and extrastriate) (**Figure 4B; Table 2**).

242 **INSERT FIG 4 HERE**

243

244 **Figure 4.** Within-species areal comparisons. **(A)** Upper left is a photomicrograph of one of the
245 coronal sections stained for immunofluorescence. The regions of interest are color-coded.
246 Upper right is the macaque brain (after Paxinos et al., 2009). The dashed boxes and Bregma
247 distances indicate where our assessments were made. The rostral box overlaps the premotor
248 cortex harboring the biocytin injections. Note that the analysis was spanning several
249 millimeters of cortex (see **Figure 4 - source data 1**). The middle box corresponds to the level
250 of the section shown to the left. It is slightly tilted with respect to the stereotaxis coordinates
251 (Paxinos et al., 2009). The posterior box corresponds to a fairly caudal level of the visual
252 cortex. The table summarizes the percentages of AcD neurons obtained in the six areas and 3
253 individuals and gives the mean of each area with standard deviation.

254 Abbreviations: arc, arcuate sulcus; cgs, cingulate sulcus; cs, central sulcus; ecal, external
255 calcarine sulcus; ios, inferior occipital sulcus; ips, intraparietal sulcus; lf, lateral fissure; lu,
256 lunate sulcus; prs, principal sulcus; sts, superior temporal sulcus.

257 **(B)** Upper left is a photomicrograph of one of the coronal sections of cat occipital cortex
258 analyzed for biocytin stained AcD neurons. The injection site in this case was near the area
259 17/18 border, some other cats had an additional injection into the suprasylvian gyrus (see
260 **Figure 4 - source data 1**). Area 17 is along the medial flank, areas 18, 19 and 21 are in the
261 lateral sulcus and on the suprasylvian gyrus. Upper right is the cat brain (after Reinoso-
262 Suarez, 1969) with the visual fields indicated. The table summarizes the percentages of AcD
263 neurons obtained in area 17 and the extrastriate areas. The graph pairs the data points of the
264 five cats. To the right, we compared cat (n = 7) to ferret (n = 4) visual cortex (striate and
265 extrastriate). Every point is one individual, the red bar represents the median for each
266 column. The p-values were determined with a Mann-Whitney rank sum test.

267

268 **Figure 4 - source data 1.** Data and statistical analysis of experiments shown in *Figure 4A* and
269 *Figure 4B*.

270

271 As defined in the beginning, all neurons in which the axon origin was not unequivocally seen to
272 emerge from a dendrite were scored as shared root cells, and for **Figures 3** and **Figure 4** were

273 included in the group of cells with somatic axons. Yet, cells with the shared root configuration
274 according to our criteria have been accepted in recent studies already as AcD neurons (Thome et al.,
275 2014). The question was, how often does the shared root configuration according to our definition
276 occur? We plotted the percentages of AcD neurons versus shared root neurons for rat, ferret,
277 macaque and human (**Figure 5A**). For macaque, we included the biocytin stained material from
278 premotor cortex. In macaque Individual 2 we could assess the contralateral cortex to determine the
279 percentage of AcD and shared root cells of callosal projection neurons. Further, in Individual 2, long-
280 range projection neurons residing in the intraparietal sulcus were assessed, which in functional terms
281 belong to the eye-hand-coordination and grasping network. Further, we determined the shared root
282 configuration in all areas shown in **Figure 4A** visualized via immunofluorescence. Also included were
283 the values obtained in Golgi-stained macaque and human cortex (see **Figure 5 - source data 1**). In
284 **Figure 5A**, the species cluster along the ordinate as already evident in **Figure 3B**. However, in **Figure**
285 **5A**, the species scatter widely along the abscissa. This suggested an absence of a systematic
286 correlation between AcD and the shared root configuration.

287

288 Next, for rat, ferret, macaque and human, we compared the percentages of AcD to the sum of AcD
289 plus shared root (**Figure 5B**). If the shared root cells were considered as AcD cells, the proportions of
290 AcD cells increase to some extent in all species analyzed. The interindividual variability of the shared
291 root cells was at a factor of >10 (range of 0.46% to 5.5% in macaque), and statistics argued against
292 any biologically significant difference between species.

293

294 Unexpectedly, a subtle difference was observed independently by two observers who analyzed the
295 Golgi material (PW at Ruhr University Bochum, GM at University La Laguna). 13 of 13 cases (2
296 macaque, 11 human individuals) had percentages of shared root cells higher than percentages of AcD
297 cells, whereas in 22 of 25 individuals and/or cortical areas stained for biocytin and
298 immunofluorescence the percentages of shared root cells were lower than the percentages of AcD
299 cells (**Figure 5B**). Thus, the proportion of AcD neurons was slightly underrepresented in the Golgi
300 material. Yet, also the biocytin material had larger proportions of shared root cells (**Figure 5B**). We
301 therefore compared immunofluorescence and biocytin of the macaque material (**Figure 5C**). Indeed,
302 the biocytin material delivered significantly more shared root cells. The unequivocal AcD cells were
303 equally well recognized by both methods. With the large data set of macaque Individual 2 we
304 compared the two methods within one individual (**Figure 5D**). Again, the proportion of shared root
305 cells in the biocytin material was higher than with immunofluorescence whereas unequivocal AcD
306 again were detected equally well detected with the two methods.

307

308 **Figure 5.** Proportion of AcD cells versus shared root cells. **(A)** Data from rat (biocytin), ferret
309 (biocytin), macaque (biocytin, immunofluorescence, Golgi), and human (Golgi). The species
310 cluster along the ordinate as already seen in **Figure 3B**. The Mann-Whitney rank sum test of
311 “all non-primate” versus “all macaque” proportions of AcD cells yielded $p < 0.001$. However,
312 the shared root values scatter considerably along the abscissa. Mann-Whitney rank sum test
313 of “all non-primate” versus “all macaque” proportions of shared root cells yielded $p = 0.008$.
314 **(B)** The percentages of AcD were graphically compared to the sum of AcD and shared root.
315 For macaque, data were separated by staining methods. Note that the Golgi method in
316 macaque and in human yielded a higher proportion of shared root compared to biocytin and
317 immunofluorescence. Numbers in the bars represent the sample size (individuals and/or
318 cortical areas). **(C)** Comparison of biocytin and immunofluorescence staining in macaque. **(D)**
319 Comparison of biocytin and immunofluorescence staining within just one individual
320 macaque. Note in C, D that AcD cells are detected equally well with both methods whereas
321 the biocytin staining yielded higher numbers of shared root cells (SR). In C, D, colors indicate
322 the comparisons, and the p-values were determined with a Mann-Whitney rank sum test.
323

324 **Figure 5 - source data 1.** Data and statistical analysis of experiments shown in *Figure 5A* and
325 *Figure 5B*.

326
327

328 AcDs in rodent hippocampus are described as being functionally privileged. This may be mirrored by
329 their spine density. Analysis of rat and ferret biocytin-stained pyramidal cells however revealed that
330 neither the dendrites sharing a root with an axon nor the AcDs had spine densities differing
331 systematically from the spine density of non-AcDs from the very same neuron (**Figure 6**).

332

333

INSERT FIG 6 HERE

334

335 **Figure 6.** Spine density did not systematically differ between regular dendrites (non-AcDs),
336 dendrites sharing a root with a neighboring axon, or AcDs. Data from adult rat and ferret
337 biocytin material, values from each cell are connected by a line. For normalization, the
338 average of the non-AcD has been set to 1, and all values were expressed relative to this.
339 Mann-Whitney rank sum test p-values and the sample size are reported above each plot.

340

341 **Figure 6 - source data 1.** Data and statistical analysis of experiments shown in *Figure 6*.

342

343 **Developmental aspects**

344 Kitten layer VI pyramidal cells (**Figure 3A, Table 2**) showed adult percentages of AcDs early
345 postnatally. Many pyramidal cells were L-shaped or inverted-fusiform, with the axon emerging from
346 one of the dominant dendrites (Lübke and Albus, 1989). In line with this, infant macaque cortex
347 exhibited percentages of AcD cells comparable to adult cortex neurons labeled with biocytin, and
348 again, AcD cells were more frequent in infragranular layers (**Figure 3A,B, Table 3**).

349

350 Unexpectedly, of the pyramidal cells in kitten white matter (Wahle et al., 1994), 43.31% had axons
351 emerging from the major dendrite (**Table 2**). Even more striking, 8.86% of the interstitial pyramidal
352 neurons of the adult human white matter (Meyer et al., 1992) displayed AcDs (**Table 4**), and on
353 average an additional 13.23% of the interstitial pyramidal cells had axons emerging from a shared
354 root.

355

356 **Interneurons**

357 We also assessed the proportion of AcD of interneurons. In human Golgi material, interneurons were
358 easily recognized by non-spiny slightly varicose dendrites, lack of polarity and – if present – locally
359 branching axons. The more graze morphology with rather simple dendrites allowed a reliable
360 detection of AcD cells. Examples of bitufted, Martinotti and basket cells were NeuroLucida-
361 reconstructed (**Figure 7A**). Not all cells had axons impregnated beyond the initial segment because
362 some interneuronal axons are myelinated, yet, the axon initial segment can be unequivocally
363 distinguished from dendrites (Jones, 1975). Up to 30% of the interneurons (all types pooled) had an
364 AcD (**Figure 7A**). This was in contrast to the rather small percentage of AcD pyramidal cells in human.
365 Further, Parvalbumin-positive neurons were analyzed in immunostained human material. About 22%
366 had an AcD (**Figure 7A**). Parvalbumin is a marker for GABA-ergic fast-spiking basket and chandelier
367 cells, whereas neuropeptides are enriched in non-fast-spiking interneurons with Somatostatin being
368 a marker for this interneuron lineage, at least in rodent. Somatostatin-positive neurons are GABA-
369 ergic. In perinatal kitten occipital cortex, they start to appear in deep layer VI and gradually more
370 cells differentiate in layer VI, V and upper layers; many are bitufted cells and Martinotti cells with
371 ascending axons (Wahle, 1993). Neuropeptide Y-positive cells of mainly layers VI and V of the gray
372 matter are often small basket neurons and also belong to the GABA-ergic neurons. About 12% had an
373 AcD. Neuropeptide Y-positive axonal loop cells of the cat subplate are a transient type of projection
374 neuron (Wahle and Meyer, 1987), which were recently reported to not contain glutamate
375 decarboxylase (Ernst et al., 2018). Only about 5% had an AcD, the vast majority of axonal loop cells
376 had the axon originating from the soma. For both Neuropeptide Y-positive cell types, this was
377 constant through kitten postnatal development. Of the Somatostatin-positive neurons 45-50% had
378 an AcD (**Figure 7B**). It seems as if the percentage of Somatostatin-positive AcD neurons would
379 increase early after birth. However, this “increase” rather reflected the differentiation of layer V/VI
380 bitufted and Martinotti cells which begin to express Somatostatin more intensely. So, Somatostatin-
381 positive AcD cells simply became easier to detect at higher numbers from P7-9 onwards.

382

383

INSERT FIG 7 HERE

384 **Figure 7.** AcD interneurons in human and cat cortex. **(A)** Photomicrograph of a representative
385 Golgi-impregnated bitufted neuron with arcade-like initial axon from supragranular layers
386 next to its reconstruction, followed by 3 further examples of bitufted, Martinotti (2) and
387 basket cells (3). Axons in orange, somata and dendrites in black. Asterisks mark AcD neurons,
388 boxes with arrows show the axon origin at higher magnification. In the table, the percentage
389 of Golgi-impregnated AcD interneurons is reported for Individuals 1, 2, 4, followed by the
390 percentages of Parvalbumin-positive AcD neurons of Individuals 11-13. **(B)** Photomicrograph
391 of a layer VI Neuropeptide Y-positive neuron with somatic axon, and a layer V Somatostatin-
392 positive AcD neuron. Axons marked by white arrows, small black arrows mark collaterals. The
393 graph shows percentages of AcD interneuron subsets at the ages indicated in developing cat
394 occipital cortex (see **Figure 7 - source data 1** for sample size).

395

396 **Figure 7 - source data 1.** Data and statistical analysis of experiments shown in *Figure 7A, B*.

397

398 To summarize, we observed a substantial species difference with pyramidal AcD cells being more
399 frequent in non-primates. Within-species, we found clear laminar differences, with pyramidal AcD
400 cells being rare in primate supragranular layers, and more frequent in deep layers, and in white
401 matter (subplate/interstitial) neurons. Interneurons in human and kitten cortex presented with type-
402 specific proportions of AcD which can be much higher than those of pyramidal neurons.

403

404 **Discussion**

405 A majority of human gray matter pyramidal neurons have axons arising from the soma. In this aspect,
406 in particular supragranular neurons of primates differ from those of non-primates. We found an
407 interindividual variability of AcD cells at about a factor of 2, and despite our high cells numbers a
408 sampling bias cannot be completely excluded. We could not find areal differences in macaque and in
409 cat. Also, the data of human visual, auditory, temporal and prefrontal cortex did not argue for areal
410 differences. Basal dendritic trees of layer III pyramidal cells in human visual cortex are largest at birth
411 whereas those in temporal cortical areas continue to increase in complexity during the first postnatal
412 years (for review Elston and Fujita, 2014). This suggested that the sparsity of the AcD phenotype in
413 human in particular in supragranular layers is not dependent on postnatal changes of dendritic
414 complexity. An additional fraction of neurons have axons which share a root with a basal dendrite.
415 Electron microscopy has demonstrated the mixed nature of the shared root which displays a
416 dendritic fine structure but also contains the fasciculated microtubuli characteristic for the axon
417 hillock. The latter is less distinct when the axon emerges from a dendrite in that the dense
418 undercoating typical for the initial segment starts immediately after the point of divergence (Peters
419 et al., 1968). This begs the question of how an axon can emerge from a dendrite? Cortical pyramidal
420 neurons migrate radially upwards while their axons emerge from the basal somatic pole and already
421 during soma migration descend into the white matter. After the neurons have reached their laminar

422 destination the leading process transforms into the apical dendrite and basal dendrites begin to
423 sprout. It remains to be shown if, during basal dendritogenesis, the axon hillock becomes passively
424 displaced from the soma onto an outgrowing dendrite. However, the argument does not explain why
425 the proportion of AcD neurons is much higher in hippocampus although numbers published with
426 intracellular labeling methods recently in rodent CA1 neurons vary from 20% (Benavides-Piccione et
427 al., 2020) to about 50% (Thome et al., 2014).

428 Further, at this moment it is not clear if the axonal origin is always firmly anchored or can drift along
429 the plasma membrane, for instance by mechanical influences. It is known that the AIS is a regulated
430 microdomain (Jamann and Engelhardt, 2018) which undergoes activity-dependent shifts in length
431 and in position. So, could the axon hillock actively “translocate” or become passively displaced from
432 the somatic to a proximal dendritic membrane? Dendrites are dynamic structures and although
433 imaging studies in mouse have reported fairly stable basal dendrites of supragranular pyramidal
434 neurons during development (Trachtenberg et al., 2002), there are also reports on dynamic changes
435 elicited by environmental enrichment, activity or disease (for review Hickmott and Ethell, 2006;
436 Elston and Fujita, 2014).

437 Domestic pig and wild boar had similar proportions suggesting that domestication has no influence.
438 Kitten and infant macaque data suggest that adult proportions of AcD neurons are already present at
439 early ages, and the three assessments in infant macaque are within the macaque cluster (**Figure 3B**).

440 In macaque, ontogenetically older infragranular pyramidal cells display more AcDs than later-
441 generated supragranular neurons, and the same was observed in our human material. Neurons of
442 the white matter seem to be a special case. In cat, the inverted pyramidal neurons represent a subset
443 of subplate cells. They reside at strategic positions to monitor incoming inputs and may quickly relay
444 that information to the overlying gray matter via axons ascending into the gray matter including layer
445 IV (Friauf et al., 1990). Given that subcortical afferents and white-to-gray matter projections match in
446 topography (reviewed by Molnar et al., 2020), a synaptic double-hit scenario has been postulated
447 with geniculocortical afferents trying to strengthen synapses onto layer IV spiny stellates, and with
448 excitatory subplate afferents transiently acting as “helper synapse” and instructor for the developing
449 thalamocortical connectivity (reviewed by Molnar et al., 2020). With regard to the functional concept
450 of AcD neurons (Thome et al., 2014; Hamada et al., 2016; Kole and Brette, 2018), our findings suggest
451 that action potential firing abilities bypassing somatic integration and somatic inhibition are
452 advantageous during development of thalamocortical wiring. In adult human white matter,
453 pyramidal interstitial cells may differ from the transient subplate cells of non-primate cortex (Meyer
454 et al., 1992; Suarez-Sola et al., 2009; Sedmak and Judas, 2021). Yet, a function of quickly relaying
455 incoming afferent information up to the gray matter is also conceivable here, and this might narrow

456 the time window of synaptic integration enabling plasticity or help to activate inhibitory
457 interneurons.

458

459 Whether neurons with axons sharing a common root with a dendrite should be regarded as AcD
460 neurons is a matter of debate. From the morphological perspective, we assigned AcD in a very
461 conservative manner. All neurons in which the axon origin was not unequivocally arising from a
462 dendrite or seemed to share a common root with a dendrite were included in the group with somatic
463 axons. Yet, in recent studies cells with the shared root configuration have been considered AcD
464 neurons, also using immunofluorescence (Hamada et al., 2016; Thome et al., 2014). As expected,
465 when plotting the sum of AcD plus shared root for the various staining methods, values for all species
466 were increasing. However, the non-primate-to-macaque difference can be easily seen. For instance,
467 our summed values from adult rat visual cortex sampled across all layers come closer to proportions
468 reported for layer V neurons by Hamada et al. (2016; about 28% in adult Wistar rat somatosensory
469 cortex). Of note, however, Hamada et al. (2016) reported on neurons which by our criteria would not
470 be AcD cells; their criterion for inclusion has been the distance of the spectrin/ankyrin G-labeled AIS
471 to the soma irrespective of whether the axon emerges from a shared root or unequivocally from a
472 dendrite. Together, considering a fraction of shared root will be tolerable, at least in non-primate
473 mammals with their substantial numbers of unequivocal AcD neurons.

474

475 The human Golgi material yielded the lowest values of AcD and of AcD plus shared root cells (**Figure**
476 **5B**) and the lowest proportion in supragranular layers (**Figure 3B**). We did not run statistical
477 comparisons with our human data for the following reason. After analyzing more and more
478 individuals and/or brain areas it became evident that the Golgi methods yielded a lower proportion
479 of AcD neurons and the higher proportion of shared root cells. In line with this, also the biocytin
480 material yielded higher proportions of shared root cells. A parsimonious explanation may be as
481 follows. The Golgi reaction product is a chromate precipitate deposited at the plasma membrane.
482 The pitch-black reaction product, the thickness of the tissue sections, on top of the complexity of
483 basal dendrites in primate (Hendry and Jones, 1983) and even more so in human (Mohan et al., 2015;
484 review by Goriounova and Mansvelder, 2019) can make it difficult to determine if an axon emerges
485 from a soma, or from a shared root, or already from a very proximal dendritic trunk. The same
486 accounts for the black biocytin reaction product (see **Figure 2** and **Figure 2-figure supplement 1, 2**)
487 although the section thickness here was thinner. An additional argument comes from the axon itself.
488 Axons originating from dendrites are thinner and have less prominent hillocks (Peters et al., 1968;
489 Mendizabal-Zubiaga et al., 2007; Benavides-Picchione et al., 2020). With dark reaction products it
490 was difficult to precisely determine where exactly a thin process lacking a clear hillock arises from a

491 large dendritic root. This way we counted somewhat higher percentages of shared root and
492 somewhat lower percentages of AcD in the Golgi Cox and Golgi-Kopsch material. By contrast, the
493 intracellular staining of much thinner sections such as the 20-50 μm thick sections of the biocytin and
494 immunofluorescence material allowed to visualize structures at better optical resolution. In
495 particular, the confocal analysis allowed to walk micrometer-by-micrometer through the optical stack
496 to decide “pro AcD” or “pro shared root” for each case in question arguing that the optical resolution
497 was the crucial parameter. Nevertheless, biocytin staining was equal to the immunofluorescence in
498 detecting clear-cut AcD, but was inferior to immunofluorescence and confocal analysis when it
499 comes to decide on shared root. It should be noted that the frequently used SMI-32 staining method
500 may also have a certain bias in that it stains preferentially type 1 pyramidal neurons (Molnar et al.,
501 2006). Future studies are needed before a final conclusion on the areal and laminar proportion of
502 human pyramidal AcD neurons can be made, and for a species comparison intracellular staining
503 methods should be applied as recently done for CA1 pyramidal cells (Benavides-Picchione et al.,
504 2020).

505

506 Pyramidal cell AcDs in isocortex and allocortex are basal dendrites. We found less than 10 axons in
507 rat, ferret and macaque emerging from an apical dendrite of a classical upright pyramidal cell of
508 layers II-V. Pyramidal cells of layer VI can be L-shaped or fusiform-bipolar with two major dendrites,
509 or inverted, in rodent as well as in primate (Hendry and Jones, 1983). In human, the large-sized Von
510 Economo neurons in cingulate and other cortices have been reported to regularly have an axon from
511 a thick descending basal dendrite which in addition often shares a common root with a secondary
512 dendrite (Banovac et al., 2021). A study comparing human and mouse hippocampal CA1 pyramidal
513 cells with intracellular injections reported that axons may arise from basal dendrites. The proportions
514 are 40% AcD cells in human, and 20% AcD cells in mouse (Benavides-Picchione et al., 2020). The latter
515 proportion differs markedly from the 52% AcD neurons visualized by DsRed expression in mouse CA1
516 neurons, and the 47% AcD neurons visualized via intracellular injection in Wistar rat CA1 neurons
517 reported by Thome et al. (2014). Electron microscopy has revealed that in rat cortex the AIS of axons
518 originating from one of these major dendrites of inverted pyramidal cells are thinner (Peters et al.,
519 1968; Mendizabal-Zubiaga et al., 2007; Benavides-Picchione et al., 2020), and the initial segment is
520 shorter and less innervated by symmetric synapses than AIS of axons arising from the soma
521 (Mendizabal-Zubiaga et al., 2007). In cat visual cortex, inverted-fusiform pyramidal neurons of layer
522 VI serve corticocortical, but not corticothalamic projections; for instance, the feedback projection to
523 area 17 from the suprasylvian sulcus (Einstein, 1996), an area involved in motion detection,
524 processsing of optical flow and pupillary constriction. With regard to the functional concept of AcD

525 cells, the kinetics of intra- and interareal information processing may have so far unrecognized
526 facets.

527

528 Local axon GABA-ergic cortical interneurons often have axons emerging from dendrites in rodent as
529 well as in monkey (Jones, 1975) and human (Kisvárdy et al., 1990). Our data confirm earlier
530 observations in vivo (Wahle and Meyer, 1987; Meyer and Wahle, 1988; Wahle, 1993) and in vitro
531 (Höfflin et al., 2017) in that the frequency of AcD is cell type-specific. About half of the bitufted and
532 Martinotti neurons in cat cortex had an AcD whereas most Parvalbumin-positive neurons, in
533 particular, large basket cells in the human cortex had somatic axons regardless of laminar position.
534 Intriguingly, cat subplate axonal loop cells turned out to be lowest with just about 5% AcD cells. This
535 was in contrast to >40% AcD subplate pyramidal cells present at the very same ages in the very same
536 compartment, with both types being co-generated from the cortical ventricular zone early during
537 corticogenesis. Also intriguingly, about one third of the interneurons of human cortex had an AcD.
538 Our sample represent a mixture of types because the axons were stained only initially, and it was not
539 possible to separate by type, as numbers were too small for this. Interestingly however, the
540 proportion of AcD interneurons in human were fairly close to an average proportion of AcD
541 interneurons in cat cortex, whereas the proportion AcD pyramidal cells in human was substantially
542 lower compared to pyramidal cells of cat and other non-primate mammals. Why interneurons do not
543 seem to follow the primate trend towards less AcD cells remains to be unraveled.

544

545 Our data add to the view that human cortical pyramidal neurons differ in important aspects from
546 those of non-primates (Elston et al., 2011; Elston and Fujita, 2014; DeFelipe, 2011; Beaulieu-Laroche
547 et al., 2018; Gidon et al., 2020; Rich et al., 2021). For instance, human supragranular pyramidal
548 neurons have highly complex basal dendrites (Hendry and Jones, 1983), each being a unique
549 computational unit (reviewed by Goriounova and Mansvelde, 2019). Further, layer II/III human
550 pyramidal cell dendrites have unique membrane properties (Eyal et al., 2016) and are more excitable
551 than those of rat (Beaulieu-Laroche et al., 2018). Another feature is the unique design of the human
552 cortical excitatory synapses having pools of synaptic vesicles, release sites, and active zones that are
553 much larger compared to those in rodents (Molnar et al., 2016; Yakoubi et al., 2019). Large and
554 efficient presynapses and more excitable dendrites may reliably depolarize the target cell's
555 somatodendritic compartment such that electrical dendroaxonic short circuits might become
556 obsolete. We propose, from non-primate to primate isocortical pyramidal neurons, an evolutionary
557 trend towards inputs that are conventionally integrated within the somatodendritic compartment
558 and can be precisely modulated by inhibition to generate an optimally tuned cellular and, finally,
559 behavioral output.

Key Resources Table				
Reagent type (species) or resource	Designation	Source or reference	Identifiers	Additional information
antibody	anti-βIV-spectrin (Rabbit polyclonal)	Höflin et al., 2017	self-made	IF (1:500)
antibody	anti-SMI-32 unphosphorylated neurofilaments (Mouse polyclonal)	Covance, Muenster, Germany	Cat # SMI-32r, RRID: AB_2315331	IF (1:1000)
antibody	anti-NeuN (Mouse monoclonal)	Merck (Millipore), Darmstadt, Germany	Cat # MAB377, RRID: AB_2298772	IF (1: 1000)
antibody	anti-Parvalbumin, (Rabbit recombinant)	SWANT, Marly Switzerland	Code No. PV 27	IHC (1:5000)
antibody	donkey anti-rabbit (Alexa-488 polyclonal)	Thermo Scientific, Waltham MA, USA	RRID: AB_2687506	IF (1:1000)
antibody	goat anti-rabbit (biotinylated polyclonal)	Dako A/S, Glostrup, Denmark	RRID: AB_2313609	IHC (1:1000)
antibody	goat, anti-mouse (Alexa-568 polyclonal)	Invitrogen, Carlsbad, CA, USA	RRID: AB_2534013	IF (1:1000)
antibody	sheep anti-mouse (biotinylated polyclonal)	GE Healthcare Life Sciences, Braunschweig Germany,	Amersham Cat # RPN1001	IHC (1:200)
chemical compound, drug	Streptavidin Alexa-488	Thermo Scientific, Waltham MA, USA	Cat #S11223	(1:1000)
chemical compound, drug	ABC Elite horseradish peroxidase	Vector Labs Inc., Burlingame, CA, USA,	RRID: AB_2336827	(1:250)
other	DAPI stain	Invitrogen	D1306	(1 µg/mL)
software, algorithm	SigmaStat 12.3	Systat Software GmbH	Frankfurt am Main, Germany	

566 **Animals**

567 The data presented here were compiled by tissue sharing (immunohistochemistry) and from tissue
568 that had originally been processed for unrelated projects, i.e. no additional animals were sacrificed
569 specifically for this study.

570

571 **Biocytin injections**

572 Two adult male Long-Evans pigmented rats (**Table 1**) received local biocytin injections into areas 17
573 and 18 in the course of teaching experiments done in the 1990s demonstrating surgery, tracer
574 injections and biocytin histology. The animals were from the in-house breeding facility. The
575 histological material has been used for decades to train neuroanatomy course students at the
576 Department of Zoology and Neurobiology. Four adult pigmented ferrets (*Mustela putorius furo*,
577 **Table 2**) received BDA injections into the motion-sensitive posterior suprasylvian area (Philipp et al.
578 2005, Kalberlah et al. 2008). Five adult cats (**Table 2**) received biocytin injections into visual cortex at
579 around the border of area 17 to area 18 (Distler and Hoffmann, unpublished). After a survival time of
580 6-13 days the animals were sacrificed and processed as described for the macaque cases. Three male
581 adult macaques (*Macaca mulatta*; **Table 3**) received tracer injections (15-20% biotin dextrane amine
582 (BDA) MW 3000) into dorsal premotor cortex (Distler and Hoffmann, 2015). After a survival period of
583 14-17 days the animals were sacrificed with an overdose of pentobarbital and perfused through the
584 heart with 0.9% NaCl and 1% procainhydrochloride followed by paraformaldehyde-lysine-periodate
585 containing 4% paraformaldehyde in 0.1 M phosphate buffer pH 7.4. Coronal 50 μ m thick frozen
586 sections were cut on a microtome and processed for biocytin histochemistry with the avidin-biotin
587 method (ABC Elite) with diaminobenzidine as chromogen which in most cases was enhanced with
588 ammonium nickel sulfate (Distler and Hoffmann 2015). A P60 infant macaque (**Table 3**) received a
589 biocytin injection into visual cortex and has been processed as above (Distler and Hoffmann, 2011).

590

591 **Intracellular Lucifer Yellow injections**

592 The cat material was from a study on development of area 17 layer VI pyramidal cell dendrites
593 (Lübke and Albus 1989). Briefly, Lucifer Yellow was iontophoretically injected into the somata in fixed
594 vibratome slices of 100-150 μ m thickness followed by UV-light photoconversion in the presence of
595 diaminobenzidine towards a solid dark-brown reaction product (**Table 2**). Further, we assessed
596 neurons in the white matter of developing cat visual cortex (**Table 2**) prelabeled with the antibody
597 “subplate-1” followed by Lucifer Yellow injection and photoconversion (Wahle et al., 1994).

598

599 **Immunofluorescence**

600 Mouse material (**Table 1**) was collected as part of the ongoing dissertations of Nadja Lehmann and
601 Susanna Weber, Institute of Neuroanatomy, Medical Faculty Mannheim, Heidelberg University,
602 supervised by Prof. Maren Engelhardt. Sections were processed as described previously (Jamann et
603 al. 2021). The intrinsic EGFP-signal was combined with β IV-spectrin immunostaining. Adult cat
604 material for immunostaining was from studies on development of visual cortex interneurons (Wahle
605 and Meyer, 1987; Meyer and Wahle 1988). Cryoprotected slabs of these brains had been stored
606 since then embedded in TissueTek at -80°C. The 5 month pig material was obtained from the
607 Institutes of Physiology and Anatomy, Medical Faculty, University Mannheim (donated by Prof.
608 Martin Schmelz). The P90 European wild boar material was from current studies (Ernst et al., 2018;
609 Sobierajski et al., 2021) (**Table 2**). Adult macaque and P60 infant macaque material not used for
610 immediate histological assessment had been stored after fixation and glycerol infiltration in
611 isopentane at -80°C. From such spared blocks, 50 μ m cryostat sections were cut for immunostaining

612 (**Table 3**). Sections were pretreated with 3% H₂O₂ in TBS for 30 min, rinsed, incubated for 1 h in 0.5%
613 Triton in TBS, blocked in 5% horse serum in TBS for 2 h followed by incubation in mouse anti-SMI-32
614 to stain somata and dendrites of subsets of pyramidal cells, and rabbit anti-βIV-spectrin (Höfflin et
615 al., 2017) to stain the AIS. We could do only 1 pig and 1 cat for the laminar analysis because the
616 immunofluorescence did not to deliver sufficient basal dendritic SMI-32 labeling of supragranular
617 neurons in the second available individual. Thus, for these two cases no reliable laminar data could
618 be obtained. Mouse anti-NeuN staining of adjacent sections helped to identify the layers in the
619 biocytin material. DAPI counterstaining helped to identify layers of the immunofluorescence sections.
620 After 48 h incubation at 8°C sections were rinsed, incubated in fluorescent secondaries including
621 DAPI to label nuclei, and coverslipped for confocal analysis. Formalin-fixed human material donated
622 to the Department of Anatomy of the University of La Laguna (see below, Golgi-Kopsch method) was
623 cryosectioned at 80 μm thickness and immunoperoxidase-stained for parvalbumin to determine AcD
624 basket and chandelier cells (Individuals 11-13 in **Figure 7A**). The material was prepared as part of the
625 dissertation of Maria Luisa Suarez-Sola (University La Laguna, Spain, 1996) under the supervision of
626 Prof. Gundela Meyer; the material served to illustrate the publication Suarez-Sola et al. (2009).
627 Stained sections were reassessed for the present study.

628

629 **Golgi impregnation**

630 The Golgi-Cox impregnations were done with so-called access tissue removed during transcortical
631 amygdalo-hippocampectomy from two adult patients who suffered from temporal lobe epilepsy
632 (Individuals 1 and 2 in **Table 4**). All experimental procedures were approved by the Ethical
633 Committees as reported (Schmuhl-Giesen et al., 2021, Yakoubi et al., 2019). These and other
634 previous studies (Mohan et al., 2015) have demonstrated that the access tissue is normal because it
635 is located far from the epileptic focus. Biopsy tissue was processed using the Hito Golgi-Cox Optim-
636 Stain kit (Hitobiotec Corp) as described (Schmuhl-Giesen et al., 2021; Yakoubi et al., 2019). Coronal
637 sections (quality as shown by Schmuhl-Giesen et al. in their Figure 1-figure supplement 1) were
638 analyzed for AcD pyramidal neurons in supragranular (I-IV) and infragranular (V-VI) layers. Further,
639 interneurons with smooth dendrites were assessed from this material. Of selected neurons the initial
640 axon and dendrites were 3D-reconstructed with the NeuroLucida (MicroBrightField Inc., Williston, VT,
641 United States) at 1000x magnification.

642

643 The Golgi-Kopsch impregnations of macaque cortex (**Table 3**) were done on spare tissue from
644 experiments done by Prof. Dr. Barry B. Lee (Lee et al., 1983). The sections had been used as
645 reference material in the Dept. of Anatomy, University of La Laguna, Tenerife, Spain. The Golgi-
646 Kopsch impregnations of human auditory and agranular prefrontal cortex (Individuals 3-9 in **Table 4**)
647 were processed decades ago (Meyer 1987; Meyer et al., 1989; Meyer et al., 1992). The brains were
648 from notarized donations to the Department of Anatomy of the University of La Laguna for teaching
649 of medical students and for research. Donors had no neurological disorders. After death, the bodies
650 were transferred to the Department and perfused with formalin. The brains were extracted, stored in
651 formalin, and small selected blocks were processed using a variant of the Golgi-Kopsch method.
652 Tissue blocks were immersed in a solution of 3.5 % potassium dichromate, 1% chloral hydrate, and
653 3% formalin in distilled water for 5 days, followed by immersion for 2 days in 0.75% silver nitrate.
654 Blocks containing the auditory cortex (Heschl's gyrus), ventral agranular prefrontal cortex, and visual
655 area 18 were cut by hand with a razor blade, dehydrated and mounted in Epon. For the assessment
656 of AcD cells in the white matter, the border between gray and white matter was traced (Meyer et al.,
657 1992). We avoided this zone and took as orientation the dense aggregations of astrocytes in the

658 white matter and the linear arrangement of blood vessels. As shown before (Meyer et al., 1992),
659 interstitial pyramidal cells have a variety of shapes, from elongated bipolar to multipolar, but carry
660 dendritic spines in contrast to non-pyramidal interstitial cells.

661

662 **Analysis and Assignment of AcD**

663 We scored all pyramidal cells with sufficiently well stained basal and apical dendrites that had a
664 recognizable axon. We analyzed fields of view where the labeled pyramidal cells are fairly
665 perpendicularly oriented such that the apical dendritic trunk and the descending axon could be
666 clearly seen. In the biocytin, Lucifer Yellow, and Golgi cases, the axon could be clearly distinguished
667 from sometimes equally thin descending dendrites because the latter had spines. Axons often had a
668 clear axon hillock, which is more prominent in primate > carnivore > rodent, although this was less
669 prominent for axons emerging from dendrites. Descending primary axons often gave rise to thinner
670 collaterals. Note the complementary nature of the methods: Golgi impregnation labels neurons in all
671 layers, not selecting for particular types of pyramidal cells, and also yielding cells of layer II which are
672 SMI-32-negative. The Golgi-Cox method with the optimized kit yielding a somewhat higher density
673 of neurons than the Golgi-Kopsch method. Yet, the various Golgi methods have been reported to
674 deliver very similar results (Banovac et al., 2021). Intracortical biocytin/BDA injections labeled
675 preferentially neurons with horizontal projections in layers II/III, and neurons of infragranular layers
676 closer to the injection site. SMI-32/ β IV-spectrin labeling is strongest in large pyramidal cells of layer
677 III and also in infragranular layers, in particular of layer V, and weaker in layer VI as demonstrated
678 (Paxinos et al., 2009). This way, the two methods yielded data preferentially for type 1 pyramidal
679 neurons. The areal comparison in macaque is reported in Figure 4A. The fields were identified
680 according to Paxinos et al. (2009) and Lewis and Van Essen (2000). The areal comparison in cat is
681 reported in Figure 4B. The fields were identified according to Reinoso-Suarez (1961).

682

683 All neurons fulfilling the criteria were sampled by 5 observers trained on the AcD criteria (M.E., Linz;
684 G.M., La Laguna; P.W. together with I.G. or E.S., Bochum, mostly by “4-eyes”). For light microscopy,
685 neurons were viewed and scored with 40x and 63x objectives. All sections available of the tracing
686 material were assessed and described in the source data, and in part more than once. In a first
687 assessment, we went perpendicular to obtain AcD cells in all layers. These data are largely included in
688 Figure 3A. However, when analyzing the macaque material we got the impression of less AcD
689 neurons in supragranular layers. Therefore, we assessed the macaque and non-primate biocytin
690 material a second time, now in a surface-parallel manner. Further, for the laminar analysis, we had to
691 obtain larger numbers of neurons to minimize any sampling error. With most of the human Golgi
692 material, AcD cells were determined in a laminar fashion from the beginning, and since we found so
693 few AcD cells we also scored the shared root configuration from the beginning. Subsequently, to
694 obtain the shared root from the biocytin material for a species comparison, we reassessed the animal
695 material a third time, again in a perpendicular manner albeit in fewer sections. Cell numbers and the
696 histological basis for every figure are given in the source data, note that total cell numbers vary but
697 the percentage of AcD cells obtained was in all cases close to the first count of the individual and
698 within the range for each species.

699

700 For SMI-32/ β IV-spectrin and Thy-1/ β IV-spectrin fluorescence, images and the tile scan were done
701 with a Leica TSC SP5 confocal microscope (40x and 10x objective resp., with 1.1 NA, 1024 × 1024 px).
702 For SMI-32/ β IV-spectrin fluorescence, the areas were imaged by taking confocal stacks at regular
703 distances in supragranular and infragranular layers to equal proportions. All stacks (numbers are

704 given in the source data files) were quantitatively assessed, no selection was made. We aimed to
705 obtain large numbers of neurons in order to avoid or at least reduce any sampling bias as much as
706 possible for the laminar analysis, and in particular for the macaque tissue. Therefore, all neurons
707 (AcD, shared root, somatic) with sufficient staining of the initial dendrites and a β IV-spectrin-labeled
708 axon initial segment were manually marked in the confocal stacks using the “3D-environment”-
709 function of Neurolucida 360 similar to **Figure 2-videos 1, 2, 3** (mp4) exported from the Leica
710 program. For the photomicrographs presented, global whole picture contrast, brightness, color
711 intensity and saturation settings were adjusted with Adobe Photoshop®. Scale bars were generated
712 with ImageJ (MacBiophotonics) and inserted with Adobe Photoshop® (CS6 Extended, Version 13.0
713 x64).

714

715 The assignment of AcD was done in a very conservative manner following Peters et al. (1968) (see
716 their Figure 1 with cell A presenting a shared root, cell B a somatic axon; cells C,D are AcD cells).
717 Thus, we accepted as AcD cells only neurons where the axon arose with recognizable distance of at
718 least the width of the axon hillock to the soma, or emerged at such an angle that a vector through
719 the axon hillock will not project into the soma, but will bypass the soma tangentially. Sometimes the
720 axon and a dendrite emerged so close to each other or from a shared root (in X/Y but also Z level)
721 such that the optical plane did not allow to make a clear decision. We included shared root cells in
722 the group of “somatic axon cells”, unless otherwise noted/analyzed (see **Figure 5**). In particular the
723 white matter pyramidal neurons of the human brain and of the cat brain were difficult due to their
724 elongated shape and the somata tapering into the major dendrites (Meyer et al., 1992). Therefore,
725 we strictly aimed for the clear-cut cases.

726

727 **Spine analysis**

728 To elucidate if the privileged AcD has a higher spine density than non-AcD, spines were plotted with
729 the Neurolucida at 1000x magnification from biocytin-labeled neurons of rat and ferret cortex from
730 primary and secondary basal dendrites starting minimum 50 μ m away from the soma. On average we
731 were able to reconstruct 170 μ m/neuron in rat and 145 μ m/neuron in ferret. The number of spines
732 per 100 μ m dendritic length was computed and the value for the AcD was paired to the average
733 value of the basal non-AcD of every neuron. Yet, the number of measurable neurons was limited for
734 the following reasons. First, neurons had to be well backfilled with the tracer. Second, neurons had
735 to have an appreciable length of the AcD plus a minimum of one basal non-AcD in the 50 μ m thin
736 sections. Third, these dendrites had to display branch orders of 2-4 because the proximally thicker
737 stems are not suitable for spine analysis and often void of spines (Hübener et al., 1990). Fourth, only
738 solitary cells residing not too close to the injection site with its high background could be analyzed.
739 Spine densities varied in our data set. Technically, the degree of biocytin labeling expectedly varied
740 with the strength of the connection to the injection site. Biologically, pyramidal cell type-specific
741 spine densities are known to vary up to an almost spine-free state e.g. in Meynert cells (Hübener et
742 al., 1990). To collect a sufficient sample size, we included moderately biocytin-backfilled cells,
743 although they tended to present with a lower spine density. Moreover, most counts were taken from
744 branch order 2-4 segments which may have less spines than terminal segments. Our density average
745 in rat matched values reported for nonterminal segments of Golgi-stained near-adult hooded rat
746 visual cortex supragranular pyramidal cells (Juraska, 1982). Our ferret spine values were lower
747 compared to earlier reports (Clemo and Meredith, 2012) presumably for the reasons mentioned
748 above. However, this would not compromise our finding because we compared only dendrites within
749 the individual neurons. Would there be some systematic change of the spine density between the

750 AcD and the non-AcD of each cell, the difference should manifest irrespective of the individual
751 staining intensity.

752

753 **Statistical analysis**

754 Graphs and statistics were done with SigmaStat12.3 (Systat Software GmbH, Frankfurt am Main,
755 Germany). We aimed at minimum 5 individuals per group in order to run non-parametric Mann-
756 Whitney rank sum tests where applicable. The group of non-primates was compared to macaque,
757 human was not included in the tests. Source data for the graphs were included as excel files.

758

759

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1040

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1049

1050 **Data availability**

1051 All data obtained during the study are reported in the Figures and the Supplemental Tables.

1052

1053 **Additional Information**

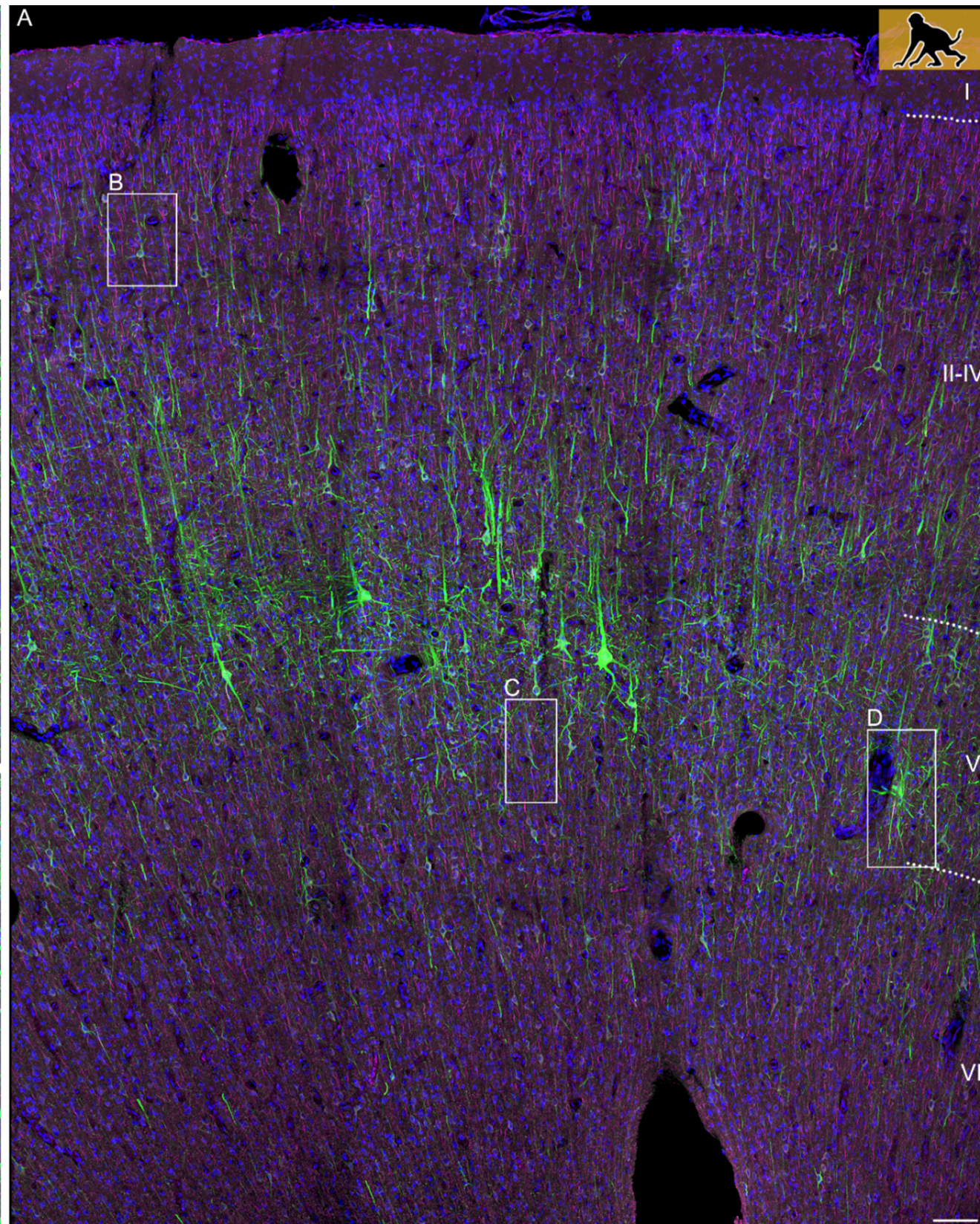
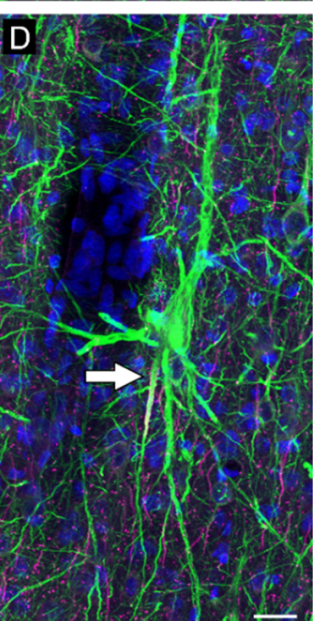
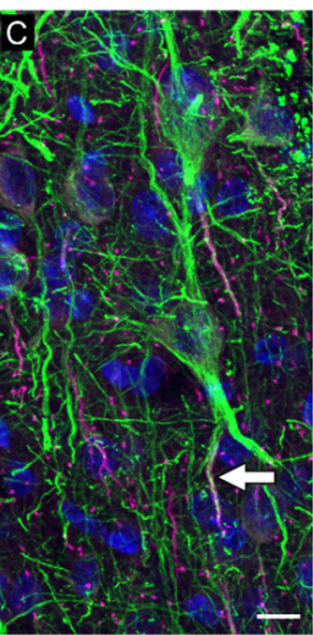
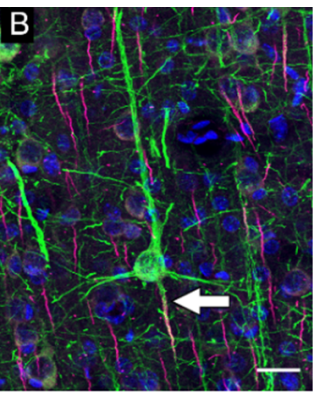
1054 **Funding**

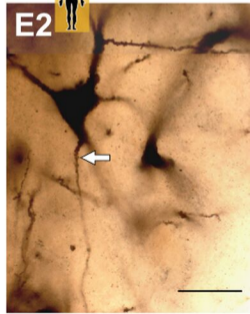
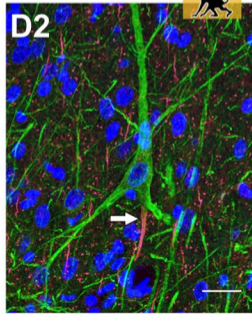
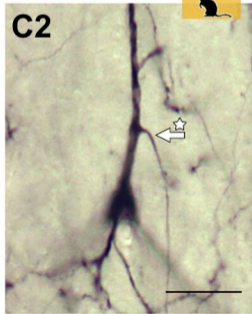
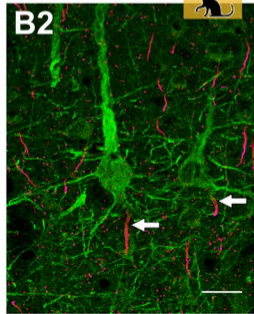
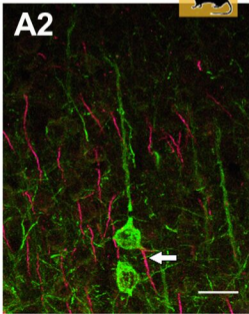
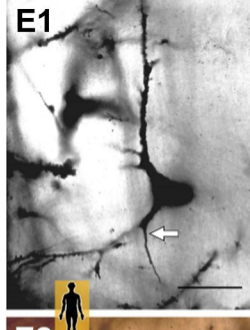
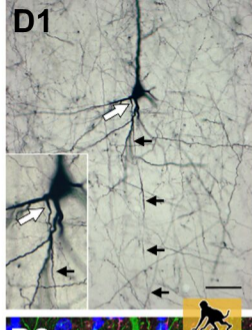
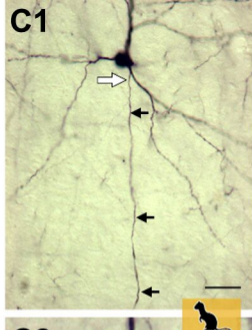
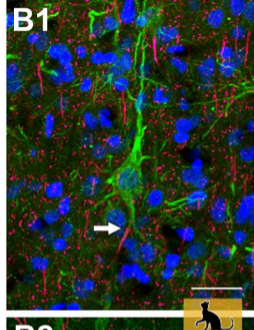
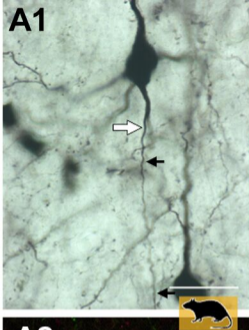
1055 Deutsche Forschungsgemeinschaft WA 541/13-1 and WA 541/15-1 to Petra Wahle. Deutsche
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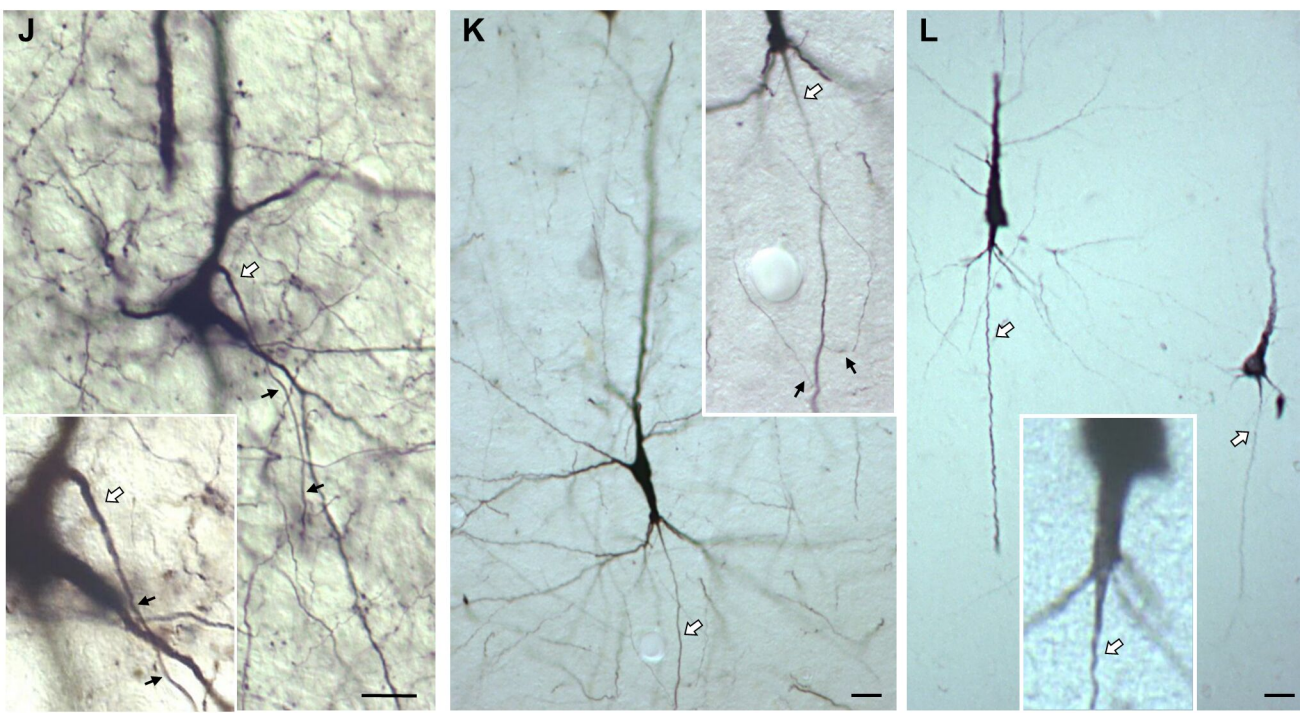
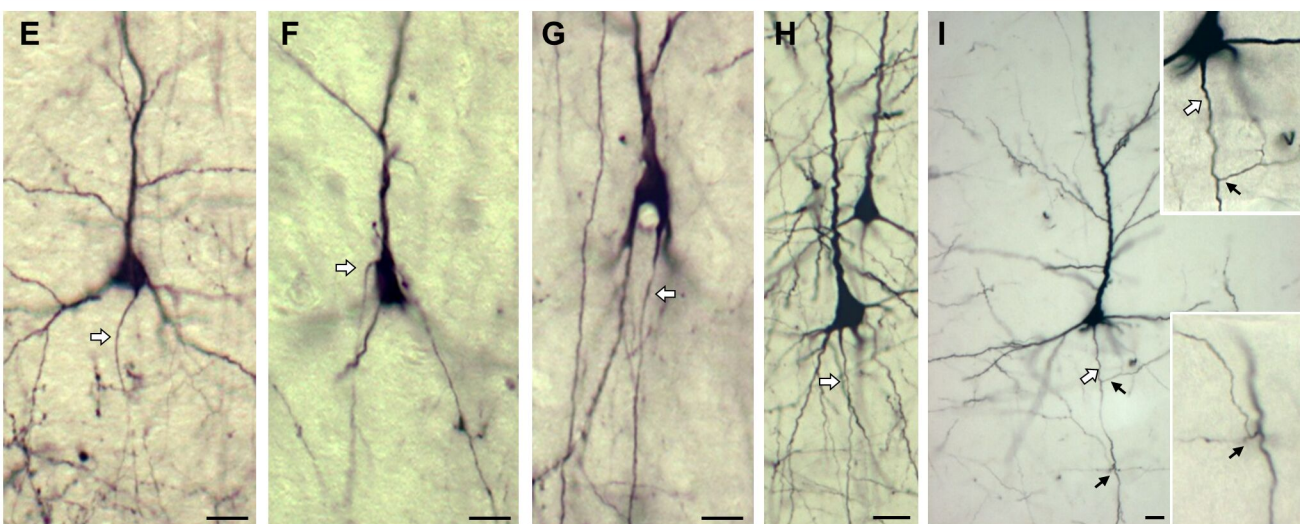
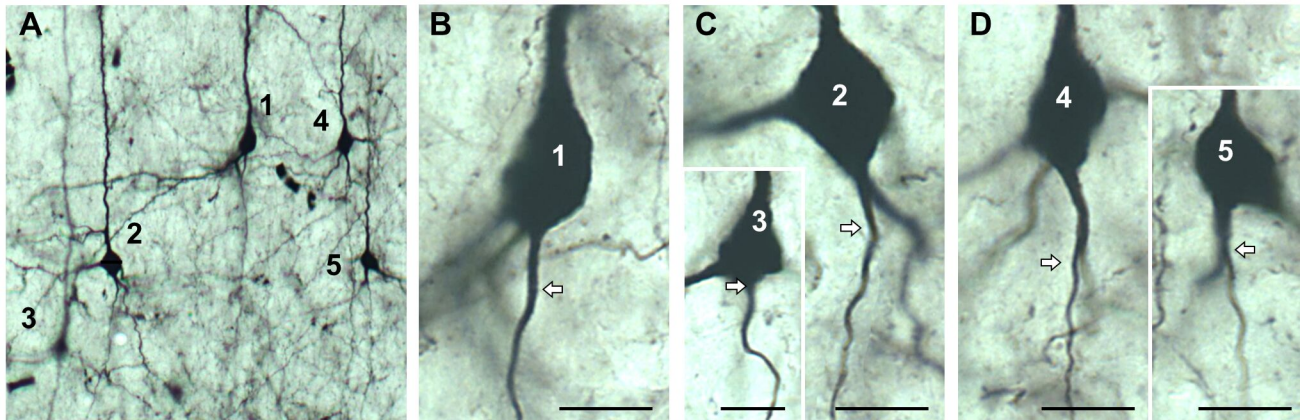
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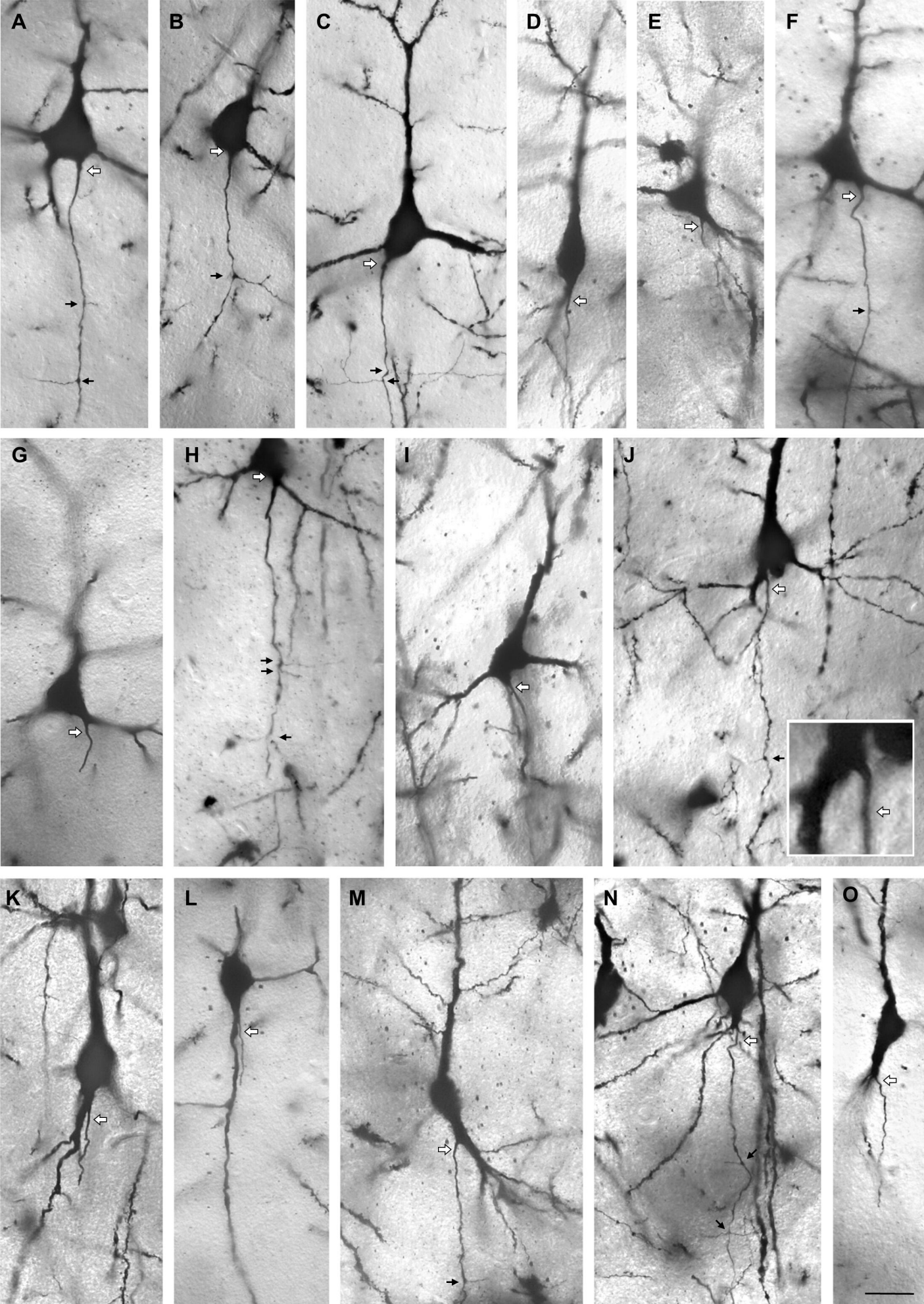
1061 **Ethics**

1062 The data presented in this paper were collected via tissue sharing and from material that had
1063 originally been processed for projects not related to the present topic, i.e. no animals were sacrificed
1064 specifically for the present study.

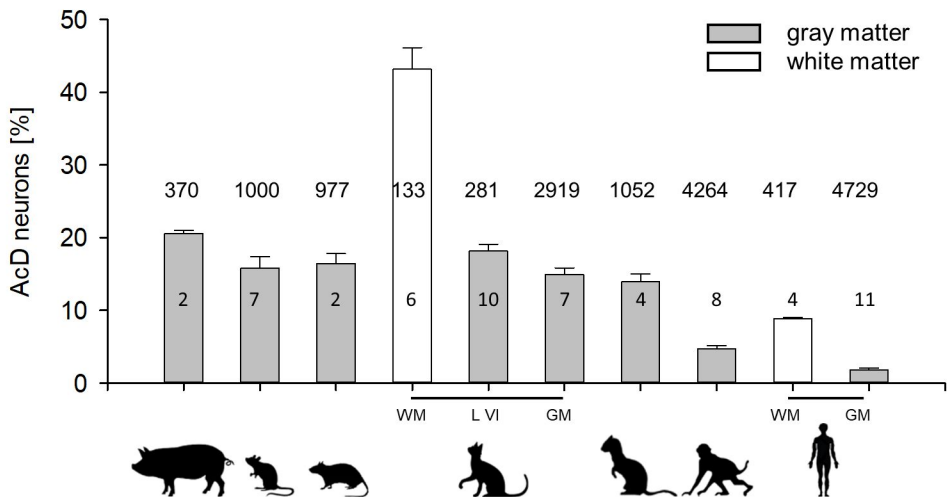




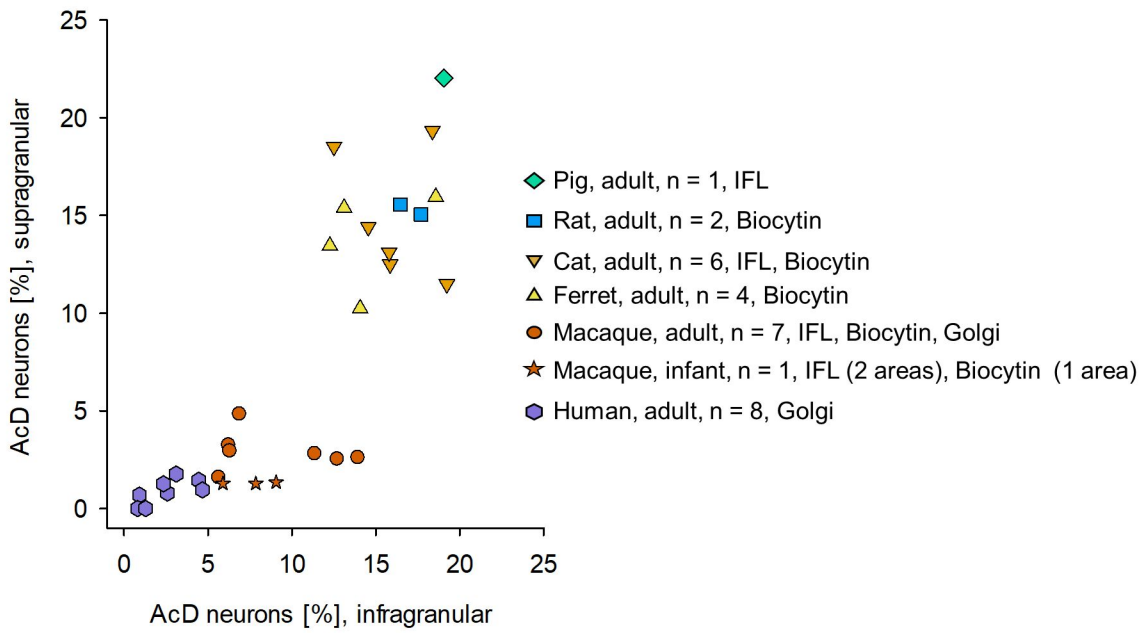




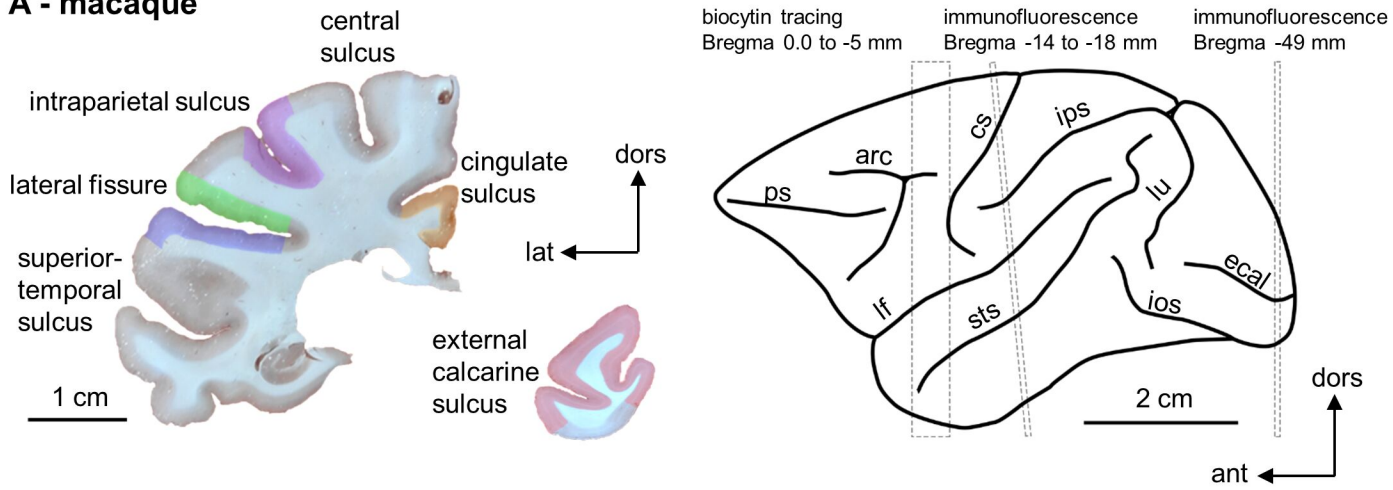
A



B

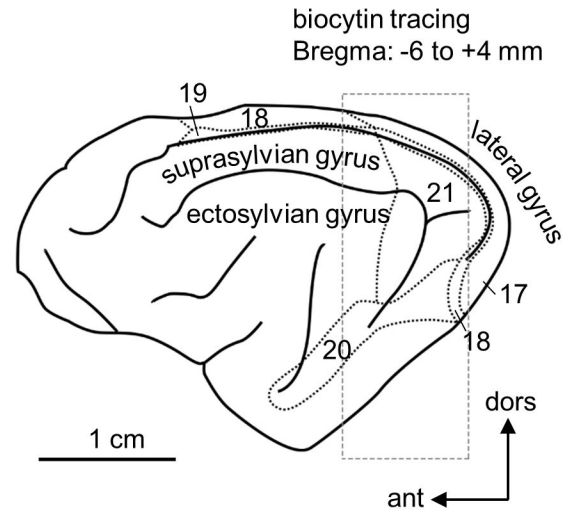
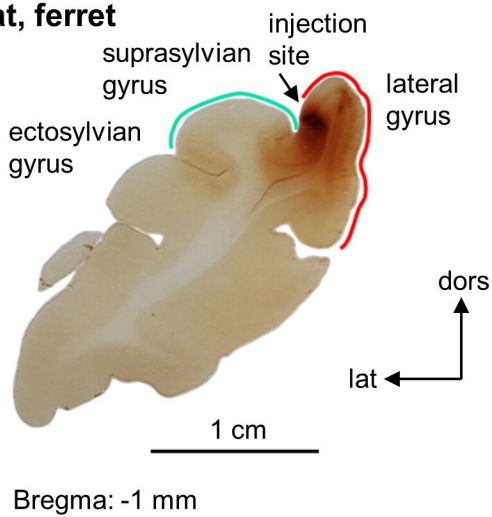


A - macaque

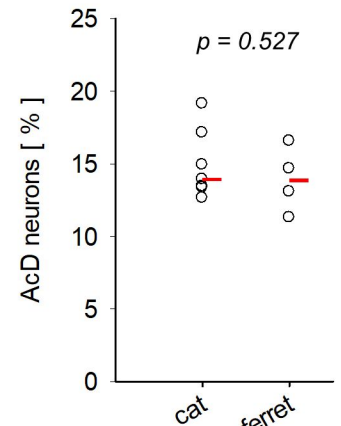
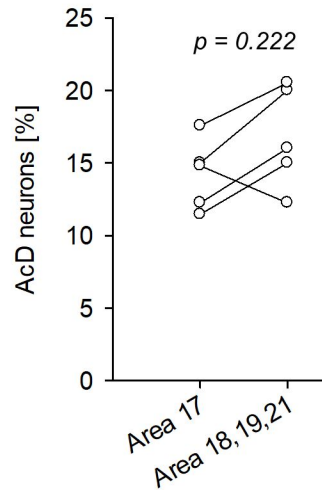


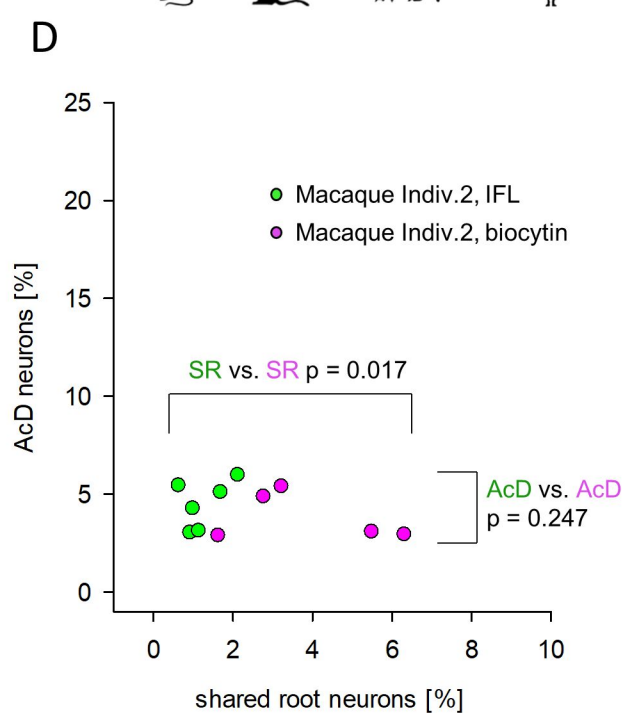
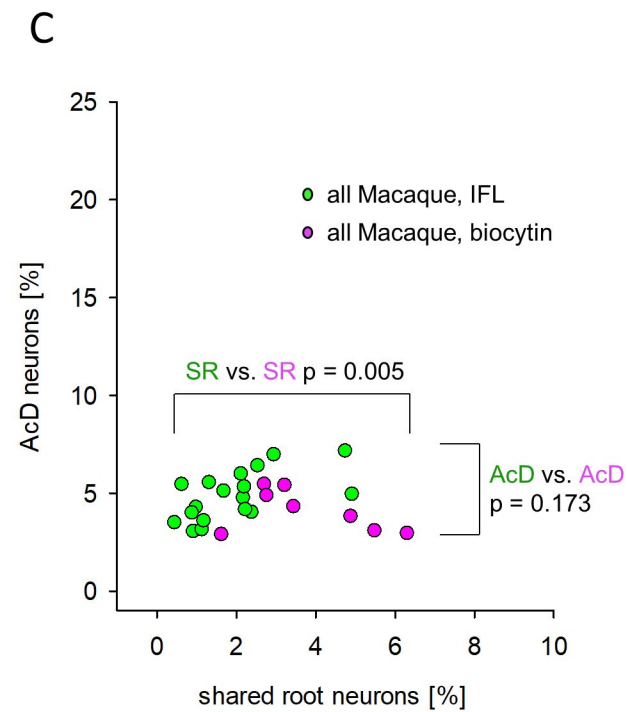
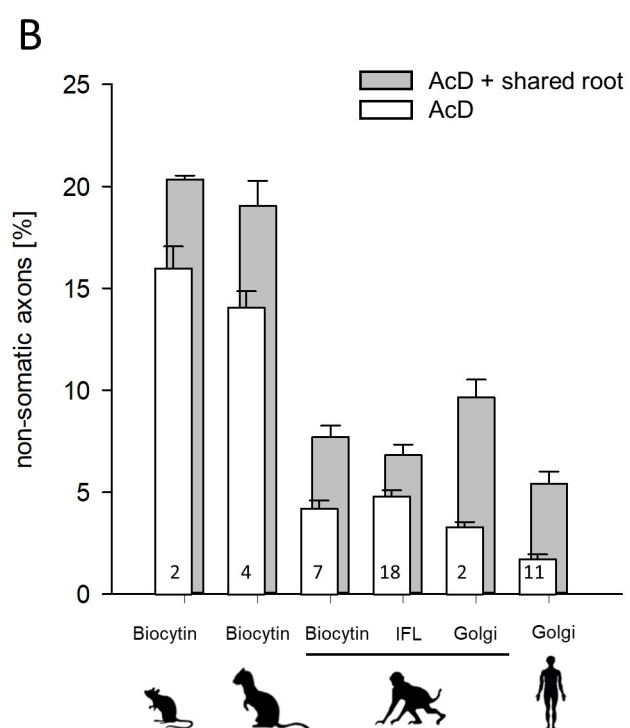
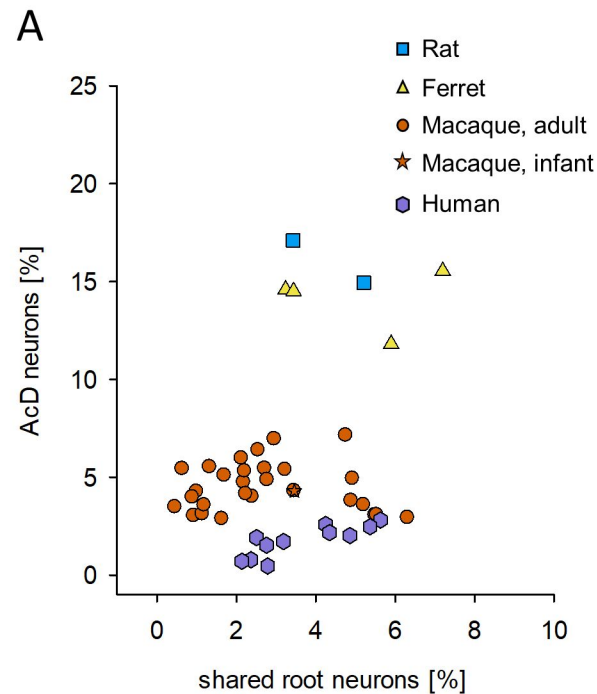
Area	V1	A1	S2	cingulate cortex	intraparietal sulcus	parietal / premotor
% total AcD cells						
Individual 2	3.12	5.09	3.02	5.97	5.43	4.26
Individual 4	4.74	4.00	3.57	3.98	5.52	4.93
Individual 5	3.48	5.31	6.38	6.95	4.15	7.14
mean ± S.D.	3.78 ± 0.85	4.8 ± 0.70	4.32 ± 1.80	5.63 ± 1.51	5.03 ± 0.77	5.44 ± 1.51

B - cat, ferret

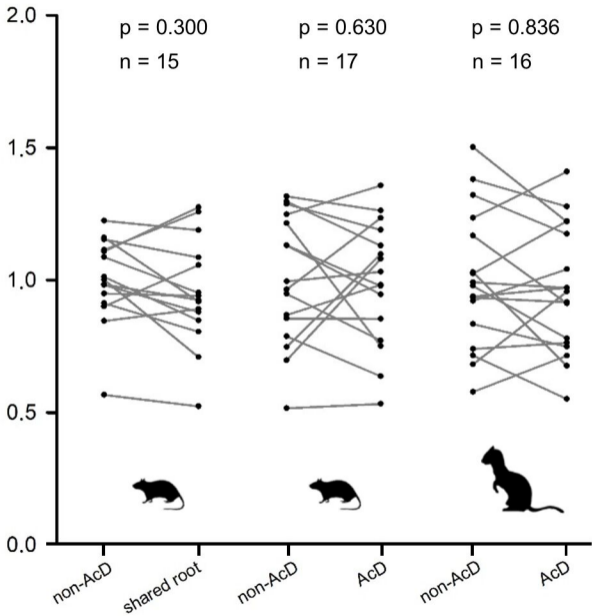


Area	Area 17 (V1)	Areas 18, 19, 21
% total AcD cells		
Indiv. 1	12.25	16.02
Indiv. 2	15.00	20.00
Indiv. 3	11.46	15.00
Indiv. 4	17.59	20.53
Indiv. 5	14.81	12.25

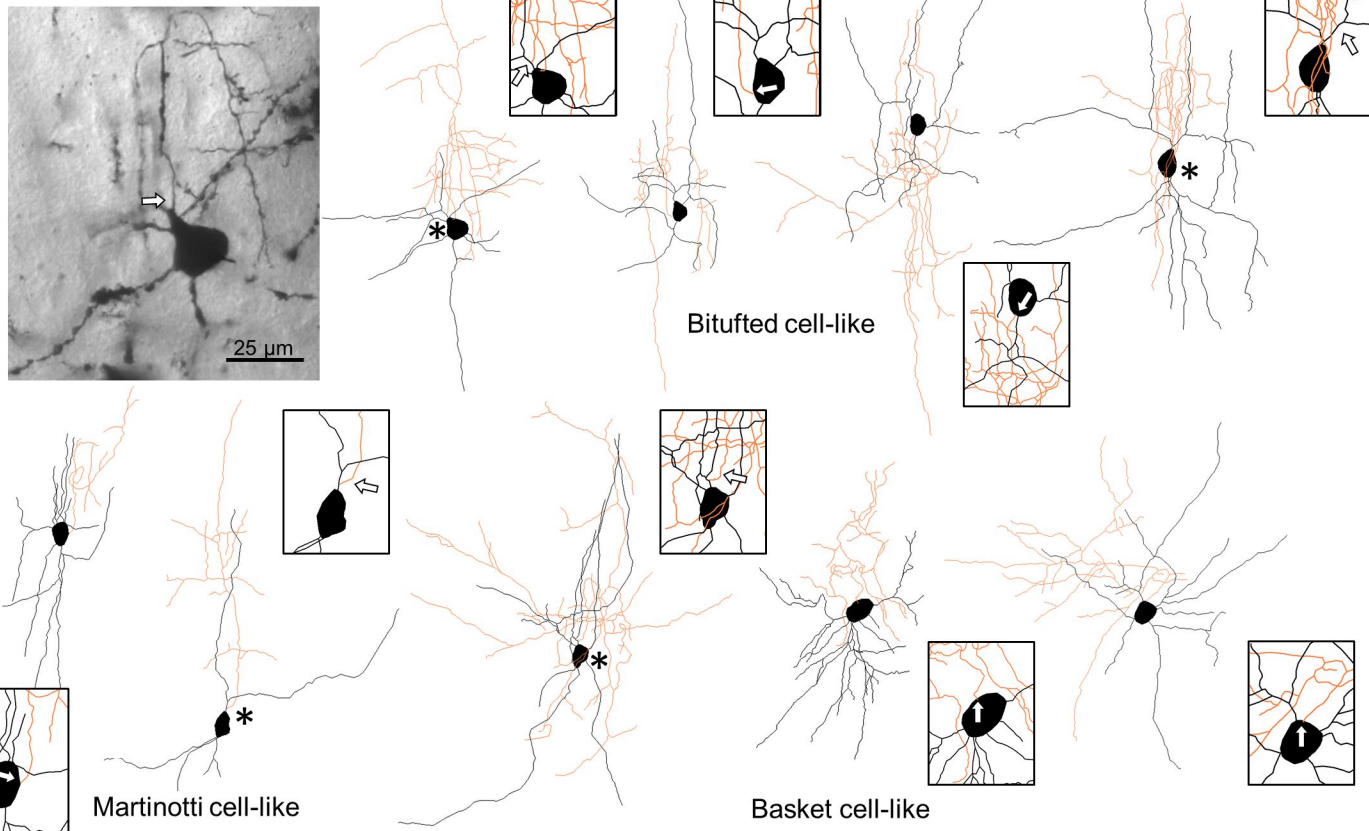




Spine density normalized to non-AcD condition



A - Interneurons, human cortex



Staining	Individual	AcD interneurons [%]	no. of AcD interneurons	total no. of interneurons
Golgi-Cox	Individual 1, 53 years, male	22.83	79	346
Golgi-Cox	Individual 2, 56 years, male	28.78	97	337
Golgi-Kopsch	Individual 4, 71 years, female	29.25	31	106
Parvalbumin	Individual 11, 33 years, female	22.15	35	146
Parvalbumin	Individual 12, 44 years, male	23.28	17	72
Parvalbumin	Individual 13, 53 years, male	22.50	21	93

B - Interneurons, cat cortex

