

1 Computed tomographic analysis of the dental system 2 of three Jurassic ceratopsians and implications for the 3 evolution of tooth replacement pattern and diet in 4 early-diverging ceratopsians

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27 28 **Abstract**

29
30 The dental system of ceratopsids is among the most specialized structure in Dinosauria by the
31 presence of tooth batteries and high-angled wear surfaces. However, the origin of this unique
32 dental system is poorly understood due to a lack of relative knowledge in early-diverging
33 ceratopsians. Here we study the dental system of three earliest-diverging Chinese ceratopsians:

34 *Yinlong* and *Hualianceratops* from the early Late Jurassic of Xinjiang and *Chaoyangsaurus* from

35 the Late Jurassic of Liaoning Province. By micro-computed tomographic analyses, our study has
36 revealed significant new information regarding the dental system, including no more than five
37 replacement teeth in each jaw quadrant; at most one replacement tooth in each alveolus; nearly
38 full resorption of the functional tooth root; and occlusion with low-angled, concave wear facets.
39 *Yinlong* displays an increase in the number of maxillary alveoli and a decrease in the number of
40 replacement teeth during ontogeny as well as the retention of functional tooth remnants in the
41 largest individual. *Chaoyangsaurus* and *Hualianceratops* have slightly more replacement teeth
42 than *Yinlong*. In general, early-diverging ceratopsians display a relatively slow tooth replacement
43 rate and likely use gastroliths to triturate foodstuffs. The difference in dietary strategy might have
44 influenced the tooth replacement pattern in later-diverging ceratopsians.

45

46 **Introduction**

47

48 During the Cretaceous, the ceratopsids became one of the dominant herbivorous terrestrial clades
49 and developed dental batteries composed of a large number of teeth that interlocked vertically
50 and rostrocaudally in the jaw (*Edmund, 1960; Dodson et al., 2004*). Ceratopsids developed
51 two-rooted teeth to facilitate vertical integration of the tooth batteries with up to four teeth in
52 each vertical series (*Edmund, 1960*). This contrasts with non-ceratopsid taxa such as
53 *Protoceratops* which retain single-rooted teeth which, although compacted rostrocaudally, have
54 no more than two replacement teeth in each alveolus (*Edmund, 1960*). The Early Cretaceous
55 neoceratopsians, including *Auroraceratops* and *Archaeoceratops*, have only one replacement
56 tooth in each alveolus (*Tanoue et al., 2012*). By using computed tomography, *He et al., 2018*
57 added more detailed information on the Early Cretaceous neoceratopsian *Liaoceratops* and

58 presented evidence of the presence of the two replacement teeth per alveolus and shallow sulci on
59 the roots to facilitate close-packing. Tracts of partially resorbed functional teeth in *Liaoceratops*
60 appear to follow the growth of the jaws. *Liaoceratops* represents the first amniote for which
61 multiple generations of tooth remnants are documented (*He et al., 2018*).

62
63 Here we investigate the tooth replacement pattern in even earlier-diverging Late Jurassic
64 ceratopsians using micro-computed tomography (micro-CT) imaging. Three earliest-diverging
65 ceratopsians were studied: *Yinlong downsi*, *Hualianceratops wucaiwanensis*, and
66 *Chaoyangsaurus youngi* (*Zhao et al., 1999; Xu et al., 2006; Han et al., 2015*). *Yinlong* and
67 *Hualianceratops* are from the upper Jurassic Shishugou Formation of the Junggar Basin,
68 Xinjiang, China (*Xu et al., 2006; Han et al., 2015*). *Yinlong* is one of the earliest and most
69 complete ceratopsian dinosaurs and is known from dozens of individuals (*Han et al., 2018*),
70 whereas *Hualianceratops* is known from only the holotype, a partial skull and mandible (*Han et*
71 *al., 2015*). *Chaoyangsaurus* is from the Upper Jurassic Tuchengzi Formation of Liaoning
72 Province, China, and is represented by a partial skull and paired mandibles (*Zhao et al., 1999*).
73 This study provides crucial new evidence in our understanding of the initial evolution of
74 ceratopsian dental specializations and diet.

75
76 **Figure 1.** 3D reconstructions of maxillary teeth in *Yinlong downsi* (IVPP V18638). Transparent
77 reconstructions of the right maxilla in labial (A) and lingual (B) view, and right maxillary
78 dentitions in labial (C) and lingual (D) view. The reconstructions of maxillary dentitions are
79 transparent in D. Elements in the CT reconstructions are color-coded as follows: functional
80 maxillary teeth, yellow; replacement teeth, cyan. Abbreviations: M1-M13, the first to 13th

81 functional teeth in the maxilla; rM1, rM2, and rM10, the replacement teeth in the first, third, and
82 10th tooth alveolus; pc, pulp cavity. Scale bars equal 5 cm (A-B) and 2 cm (C-D).

83

84 **Results**

85 **Dentition of the early-diverging ceratopsian *Yinlong***

86

87 **Premaxillary teeth.** IVPP V18638 only preserves the right maxilla (**Figure 1**). All premaxillae
88 bear three alveoli (**Figure 2**, **Figure 3**, **Figure 4**), and all three teeth are preserved in IVPP
89 V14530 (**Figure 3C**). In IVPP V18636, the rostral two functional teeth are preserved in the left
90 premaxilla and the second functional tooth is shown in the right premaxilla (**Figure 2A and D**).
91 In the largest specimen (IVPP V18637), the second left functional premaxillary tooth has been
92 lost and a replacement tooth remains in the alveolus (**Figure 4E and G**). The right premaxilla is
93 incomplete and the first tooth is slightly damaged and the second and third are only present with
94 roots (**Figure 4D and F**).

95

96 **Figure 2.** 3D reconstructions of premaxillary and cheek teeth in *Yinlong downsi* (IVPP V18636).
97 Transparent reconstruction of the skull in right (**A**) and left (**B**) lateral view. The right tooth rows
98 in labial (**C**) and lingual (**E**) view. The left tooth rows in labial (**D**) and lingual (**F**) view. The
99 premaxillary teeth in rostral (**G**) view. Maxillary and dentary dentitions in rostral (**H**) view.

100 Elements in the CT reconstructions are color-coded as follows: functional premaxillary teeth,
101 green; functional maxillary teeth, yellow; functional dentary teeth, lavender; replacement teeth,
102 cyan. Abbreviations: M1-M11, first to 11th functional teeth in the maxilla; rM3 and rM10, the
103 replacement teeth in third and 10th alveolus; D2-D12, second to 12th functional teeth in the

104 dentary; PM1 and PM2, the first and second premaxillary functional teeth; wf, wear surface.

105 Scale bars equal 5 cm (A-B), 3 cm (C-F), and 2 cm (G-H).

106

107 The digital reconstructions show that the second functional premaxillary tooth is larger than all
108 maxillary or dentary teeth, and the third premaxillary tooth crown is quite short (*Figure 3A, C*
109 *and H*). The labial surface of the premaxillary teeth is convex (*Figures 2G, 3E and 4B*).

110 Compared with the functional teeth on the maxilla, the long axes of the roots of the premaxillary
111 teeth incline more dorsolingually (*Figures 2G, H, 3E and 4B*).

112

113 All well-developed roots of the functional teeth in the premaxilla are nearly conical and
114 compressed labiolingually into an oval cross-section. Compared with other premaxillary teeth,
115 the tip and root of the second premaxillary tooth curve more distally to appear arched in lateral
116 view (*Figures 3C, H and 4D*). The functional tooth crowns in the premaxilla are semiconical in
117 shape and have similar rhomboidal outlines in lateral view. They taper apically without excessive
118 wear (*Figures 2C, 3D, H, 4E and G*). In rostral view, the crowns are slightly compressed
119 labiolingually, with the lingual surface flattened and the labial rounded (*Figures 2G and 3G*).

120 The crown morphology of the first and second premaxillary teeth in IVPP V14530 is slightly
121 different, with an abrupt step in the second premaxillary tooth between the inflated base and the
122 lingually flattened crown above (*Figure 3G*) (*Xu et al., 2006*). The crown of the second tooth in
123 IVPP V18636 also possesses this step but is more weakly developed than in IVPP V14530
124 (*Figure 2E and G*).

125

126 **Figure 3.** 3D reconstructions of premaxillary and cheek teeth in *Yinlong downsi* (IVPP V14530).

127 Transparent reconstructions of the skull in right (**A**) and left (**B**) lateral view. The premaxillary

128 and maxillary dentitions in labiodorsal (C) view. The dentary dentitions in labiodorsal (D) view.
129 Tooth rows in the upper (E) and lower (F) jaws in dorsal view. The premaxillary teeth in rostral
130 (G) view. The right tooth row in the upper jaw in labial (H) view. Elements in the CT
131 reconstructions are color-coded as **Figure 2**. Abbreviations: M1-M13, first to 13th functional
132 teeth in the maxilla; rM9, the replacement tooth in the ninth alveolus; D1-D15, first to 15th
133 functional teeth in the dentary; PM1-PM3, first to third functional teeth in the premaxilla; rD8
134 and rD13, the replacement teeth in the eighth and 13th alveolus. Scale bars equal 5 cm (A-B) and
135 4 cm (C-H).

136
137 Premaxillary replacement teeth are only preserved in the largest skull (IVPP V18637) (**Figure 4A**
138 **and C**). In lingual view, replacement teeth are present in the first and second alveoli of the left
139 premaxilla (**Figure 4G**). They are positioned lingual to their corresponding functional teeth
140 although the functional tooth in the second alveolus is missing. The rootless replacement tooth in
141 the first alveolus lies adjacent to the lingual wall of the functional tooth root. The apex of its
142 crown is positioned halfway down the root of its functional tooth (**Figure 4G**). Slight resorption
143 can be seen in the lingual side of the root of the left first functional tooth (**Figure 4E and G**). The
144 cross-section shows that the pulp cavity in the first replacement tooth is larger than that of the
145 functional tooth, with a thinner layer of dentine. The apex of the first replacement tooth is more
146 acuminate than that of the corresponding functional tooth (**Figure 4G**). The first replacement
147 tooth is nearly triangular in lingual and labial view with an oval, mesiodistally elongated, and
148 labiolingually compressed cross-section (**Figure 4G**). The second replacement tooth in the left
149 premaxilla is newly erupted and only preserves the tip of the crown. The replacement
150 premaxillary teeth in *Liaoceratops* have cone-shaped crowns and are similar in morphology to

151 their corresponding functional teeth (*He et al., 2018*). In *Liaoceratops*, one or two replacement
152 teeth exist in each premaxillary alveolus.

153
154 **Maxillary teeth.** The incomplete right maxilla of IVPP V18638 contains 10 functional teeth and
155 three empty alveoli (*Figure 1A*). The left and right maxillae of IVPP V18636 contain seven
156 functional teeth and eight functional teeth respectively with some empty sockets (*Figure 2C and*
157 *D*). According to cross-sections, four empty sockets in the left maxilla and three empty sockets in
158 the right maxilla can be discerned in IVPP V18636. Both the left and right maxillae of the
159 holotype contain 13 functional teeth as identified before (*Figure 3C and E*) (*Xu et al., 2006*;
160 *Han et al., 2016*). However, in the largest specimen IVPP V18637, the incomplete maxillae
161 contain seven functional teeth and 14 functional teeth on the left and right sides respectively
162 (*Figure 4D and E*). The left maxilla of IVPP V18637 contains seven empty sockets, suggesting
163 that the maxilla bears 14 or more teeth in an adult *Yinlong*.

164
165 The maxillary tooth row is curved lingually (*Figures 3E and 4C*). Generally, the length of
166 functional teeth increases to a maximum in the middle part of the maxillary tooth row and then
167 decreases caudally (*Figure 1, Figure 2, Figure 3, Figure 4*). All roots of functional teeth are
168 widest at their crown bases and taper apically to form elongated roots with a subcircular
169 cross-section (*Figures 1C, 3H and 4D*). The root cross-sections reveal a pulp cavity surrounded
170 by a thick layer of dentine. According to our 3D reconstructions and cross-sections, the pulp
171 cavities of some functional teeth are open at their tips such as M3 and M9 in IVPP V18638 and
172 the functional teeth with the open pulp cavity have a thinner layer of dentine (*Figure 1D*). The
173 elongated pulp cavity in the functional tooth nearly extends over the whole root (*Figure 1D*). In
174 all specimens, strong root resorption is seen on the lingual surface of some functional teeth

175 adjacent to replacement teeth (**Figures 1D, 2F, 3C and D**). In these cases, the dentine has been
176 resorbed by the replacement teeth such that the root base has been hollowed (**Figure 3C**). The
177 root of M4 on the right maxilla of the holotype is also hollowed, but no replacement tooth is
178 present (**Figure 3C**). M4s are hollowed less than D8 which is attached by a replacement crown
179 tip. Therefore, M4 may represent the primary stage of the resorption prior to replacement tooth
180 development.

181
182 The crowns of functional teeth in the maxilla have a spatulate outline in labial view and are
183 slightly bulbous at the base (**Figures 1C, 2C, D, 3H, 4E and F**). In IVPP V18638, all of the
184 crowns are relatively complete with the apex of most of the crowns (except M1 and M10)
185 showing slight wear (**Figure 1C**). The mesiodistal length and labiolingual width of erupted
186 crowns increase to their base. In labial view, several denticles are distributed over the margin
187 beneath the base of the crown (**Figure 1C**). Approximately four denticles are distributed over the
188 mesial and distal carinae of tooth crowns and all the denticles are subequal in size and taper
189 apically (**Figure 1C**). This feature is present but weakly developed in *Chaoyangsaurus*,
190 *Psittacosaurus*, *Liaoceratops*, and *Archaeoceratops* (**Tanoue et al., 2009**). The primary ridge is
191 prominent in M13 of V18638 and centered on the crown. The lingual surfaces of crowns are
192 concave except for M9 whose lingual surface is convex (**Figure 1D**). In addition, M10, which is
193 in the replacement process, has a more concave lingual surface than other functional teeth that
194 have not undergone resorption. Therefore, we hypothesize that the lingual surfaces of the crowns
195 are flat and gradually become concave as the wear facet develops (**Figure 1D**). Similar wear
196 facets can be seen in *Heterodontosaurus tucki* (**Sereno, 2012**).

197

198 The count of the replacement teeth in the maxilla of *Yinlong* is one out of 13 functional teeth in
199 the holotype. The smallest specimen (IVPP V18638) has the most replacement teeth in the
200 maxilla and CT data reveal three replacement teeth out of 10 functional teeth inside the right
201 maxilla (**Figure 1D**). The replacement tooth (rM10) in the holotype occurs lingual to M10 whose
202 root has been almost completely resorbed with only a fragmented layer of dentine remaining.
203 This replacement tooth is well developed and consists of the complete crown and partial root.
204 The apex of rM10 reaches the base of the crown of the functional tooth. Compared with the
205 functional teeth, the crowns of the replacement teeth are rhomboidal in labiolingual view,
206 compressed labiolingually, and the denticles extend along nearly the entire margin of the crown
207 (**Figure 1D**). In IVPP V18636, there are two replacement teeth preserved in the right maxilla
208 (**Figure 2C and E**). The first replacement tooth, preserving only the crown, is attached to the
209 lingual side of M3. The base of the corresponding functional tooth has been hollowed and the
210 root has been resorbed although the crown is still functional (**Figure 2D**). In IVPP V18636, the
211 crown of rM10 is positioned distal to M10 and is similar to the premaxillary replacement tooth of
212 V18637 in having a triangular outline in labiolingual view (**Figures 2E and 4G**). This suggests
213 that a replacement tooth with a labiolingually compressed shape is relatively common in *Yinlong*.
214
215 Remnants of resorbed functional teeth occur in IVPP V18637. The remnants are positioned
216 labiodistal to functional M11 and M14 in the right maxilla (**Figure 4A and D**). Remnants of
217 resorbed functional teeth preserve a thin layer of dentine and exhibit a crescent outline in
218 cross-section. There is only one generation of resorbed tooth remnants along the maxillary tooth
219 row. Remnants of resorbed functional teeth are also reported in *Liaoceratops*, *Coelophysis*, and a
220 hadrosaurid, but the number of resorbed functional teeth in *Liaoceratops* is far greater than in
221 *Yinlong* (**Bramble et al., 2017; Leblanc et al., 2017; He et al., 2018**). In the holotype of

222 *Liaoceratops*, about 28 remnants of the functional teeth are preserved in the right maxilla and at
223 most four generations of teeth remnants are located at the middle part of the tooth row.

224
225 **Figure 4.** 3D reconstructions of premaxillary and maxillary teeth in the largest specimen (IVPP
226 V18637) of *Yinlong downsi*. Transparent reconstructions of the skull in right (A), occlusal (B),
227 and left (C) view. Right tooth row in labial (D) and lingual (F) view. Left tooth row in labial (E)
228 and lingual (G) view. Elements in the CT reconstructions are color-coded as *Figure 2* and
229 remnants of functional teeth are coded as red. Abbreviations: OF, remnants of the old functional
230 tooth; M1-M14, first to 14th functional teeth in the maxilla; PM1-PM3, first to third functional
231 teeth in the premaxilla; rM7, the replacement tooth in the seventh alveolus. Scale bars equal 10
232 cm (A, B, and C) and 4 cm (D-G).

233
234 **Dentary teeth.** The holotype has a complete dentary containing 15 functional teeth on the left
235 and 14 functional teeth on the right (*Figure 3D and F*). The dentaries of IVPP V18636 are
236 incomplete, containing nine functional teeth and one empty socket on the right dentary and eight
237 functional teeth and three empty sockets on the left (*Figure 2C and D*). The left dentary is
238 distorted so that the long axes of functional teeth on the two sides extend in different directions
239 (*Figure 3F*). The size of the dentary teeth increases to a maximum at tooth five and six and then
240 decreases caudally in the jaw. In dorsal view, the functional teeth in the middle of the dentary
241 tooth row are compressed and their long axes incline ventromedially (*Figure 3F*).

242
243 The morphologies of dentary roots are similar to those of the maxillary teeth with a nearly
244 conical shape and oval, labiolingually compressed cross-sections (*Figures 2E, F and 3D*). Most
245 functional teeth in the dentary have complete crowns (*Figure 2C and D*). In labiolingual view,

246 the outline of functional teeth in the dentary is similar to maxillary teeth but its labial surface is
247 concave (**Figure 2D**). This concave surface has never been found in other ceratopsians,
248 suggesting that *Yinlong* had relatively precise occlusion.

249
250 Two replacement teeth can be seen in the dentary of the holotype (**Figure 3D**). Among them, the
251 roots of D9 in the left dentary and D12 in the right dentary have been hollowed although no
252 replacement tooth is preserved. However, the cavity caused by the resorption is similar to its
253 corresponding functional tooth on the contralateral side (**Figure 3D and F**). It can be concluded
254 that they are at a similar stage of the replacement process. In addition, D8 on the right side also
255 exists a replacement tooth. Patterns of symmetry in replacement patterns can be seen in *Yinlong*,
256 but the replacement stage between two dentaries is slightly different.

257
258 **Figure 5.** 3D reconstructions of premaxillary and cheek teeth in *Chaoyangsaurus youngi*
259 (IGCAGS V371). Transparent reconstructions of the skull in occlusal (**A**) and right lateral (**C**)
260 view. Transparent reconstructions of the mandible in occlusal (**B**) and right lateral (**D**) view. Left
261 maxillary and premaxillary dentitions in lingual (**E**) and labial (**F**) view. Right premaxillary and
262 maxillary dentitions in lingual (**G**) and labial (**H**) view. Dentary dentitions in right dorsal (**I**) and
263 left dorsal (**J**) view. The reconstructions of maxillary dentitions are transparent in F and H.
264 Elements in the CT reconstructions are color-coded as **Figure 2**. Abbreviations: M1-M9, first to
265 ninth functional teeth in the maxilla; D1-D11, first to 11th functional teeth in the dentary;
266 PM1-PM3, first to third functional teeth in the premaxilla. Scale bars equal 3 cm (A-D) and 2 cm
267 (E-J).

268

269 **Dentition of *Chaoyangsaurus***

270
271 The holotype of *Chaoyangsaurus* (IGCAGS V371) preserves the two premaxillary teeth. The
272 premaxillary teeth of *Chaoyangsaurus* are ellipsoidal in cross-sections and the crowns are not
273 expanded mesiodistally at their base as in *Yinlong*. The apices of the crowns are missing (**Figure**
274 **5E-H**). The first preserved functional tooth in the left premaxilla is undergoing replacement and
275 its corresponding replacement tooth crown is triangular with the apex inclined distally (**Figure**
276 **5E**). The long axis of the replacement tooth in the premaxilla retains the same angle of tilt with
277 its corresponding functional tooth (**Figure 5E**).

278
279 CT reconstructions reveal that the maxillary teeth of *Chaoyangsaurus* possess different crown
280 morphology from *Yinlong*. In *Chaoyangsaurus*, the primary ridges are located more distally on
281 the teeth (**Figure 5F and H**) and the basal ridge extends over more than 70% of the crown with
282 denticles spread over the mesial and distal margins (**Figure 5F**). The lingual surfaces of the
283 maxillary crowns are concave and the crowns in the dentary also show concave surfaces similar
284 to the situation in *Yinlong* (**Figure 5E, I and J**). The concave surface in the lingual side of
285 maxillary crowns and the labial side of dentary crowns may indicate wear facets similar to those
286 of *Yinlong*. The roots of the teeth in *Chaoyangsaurus* are elongated and inclined lingually. CT
287 data also reveals the phenomenon that the fourth and seventh functional teeth have pulp cavities
288 open at their tip and these teeth show less wear than others (**Figure 5F and H**). Therefore, the
289 functional teeth with open pulp cavities may be newly erupted.

290
291 The morphology of the dentary teeth is similar to that of maxillary teeth although no primary
292 ridges or denticles exist on the dentary crowns (**Figure 5I and J**). The left dentary of
293 *Chaoyangsaurus* possesses three replacement teeth out of nine functional teeth and on the other

294 side there are five replacement teeth out of 11 functional teeth (**Figure 5I and J**). According to
295 3D reconstructions of maxillary and dentary teeth, the pulp cavity is gradually reduced through
296 time after tooth eruption (**Figure 5F and H**). The number of replacement teeth in
297 *Chaoyangsaurus* is slightly more than that of *Yinlong*.

298

299 **Dentition of *Hualianceratops***

300

301 The crowns of the teeth in *Hualianceratops* are similar to those of *Yinlong* but differ in some
302 respects (**Figure 6C and D**). The dentary preserves the complete morphology of the crowns.
303 They are subtriangular in labiolingual view and the mesial and distal margins bear about seven
304 denticles respectively (**Figure 6C**), more than in *Yinlong*. Ten functional dentary teeth are
305 identified. The tooth crowns are slightly imbricated with the distal margin of each tooth
306 overlapping the lingual side of the mesial margin of the preceding tooth. The first functional
307 tooth is broken with only part of the root remaining. Five replacement teeth are exposed on the
308 lingual aspect of their corresponding functional teeth and exposed at the border of the alveoli
309 (**Figure 6D**).

310

311 **Figure 6.** *Hualianceratops wucaiwansensis*, IVPP V28614. The left dentary in labial (**A**) and
312 lingual (**B**) view. Dentary tooth row in labial (**C**) and lingual (**D**) view. Abbreviations: r, rostral;
313 RT, replacement tooth. Scale bars equal 2 cm (A-B) and 1 cm (C-D).

314

315 **Replacement progress and tooth development in *Yinlong* and**

316 ***Chaoyangsaurus***

317
318 In *Yinlong* and *Chaoyangsaurus*, the resorption of the functional tooth is initiated before the
319 successional tooth has germinated (**Figures 1D, 5G and I**). The functional tooth roots are
320 resorbed resulting in a depression on the middle part of the roots (**Figures 1D and 5I**). After the
321 depression extends enough, the replacement teeth form lingual to the functional tooth roots with
322 the crown situated a small distance away from the middle part of the roots. The replacement tooth
323 crown then gradually grows crownward towards the margin of the alveolus. The most immature
324 replacement teeth are represented by small cusps (**Figures 1C, 5E and G**). With ontogeny, the
325 crowns of more mature teeth become fully developed and largely resorb the lingual aspects of the
326 roots of the functional teeth, and become partially housed in their pulp cavities (**Figures 1C, 3D,**
327 **4G and 5G**). In this stage, some replacement tooth crowns in *Yinlong* and *Hualianceratops* were
328 flat labiolingually and possibly kept this morphology until erupted (**Figures 1D, 2C, 4G and 6D**).
329 However, the replacement crowns in *Chaoyangsaurus* were inflated and the morphology was
330 almost the same as that of the functional teeth (**Figure 5E and G**). Differing from the maxillary
331 teeth, the crowns of the premaxillary replacement teeth are housed in the more apical part of the
332 functional tooth root in *Yinlong* and a similar situation occurs in *Chaoyangsaurus* (**Figures 4E, G**
333 **and 5E**). As the lingual surface of the functional teeth becomes heavily resorbed, the replacement
334 teeth reach about 60% or more of their predicted full size (**Figure 1D**). When the replacement
335 tooth grows to its final size, most of the roots of the predecessors have faded through heavy
336 resorption and may leave small root remnants on the labial surface of its successor's tooth
337 (**Figure 7A, B, D and E**).

338
339 **Figure 7.** Three different replacement processes illustrated by teeth at similar replacement stage
340 of *Chaoyangsaurus* (**A and D**), *Yinlong* (**B and E**), and *Liaoceratops* (**C and F**). The tooth eight

341 in the left maxilla of IGCAGS V371 in distal view (A) and cross-section (D). The tooth 10 of
342 IVPP V18638 in mesial view (B) and cross-section (E). The tooth seven in the right maxilla of
343 the holotype of *Liaoceratops* (IVPP V12738) in mesial view (C) and cross-section (F). Elements
344 in the CT reconstructions are color-coded as **Figure 2**. The arrows of A, B, and C indicate where
345 the cross-sections generate. The replacement teeth here have developed the complete crown and
346 part of the root. The root of the replacement tooth in *Liaoceratops* inclines lingually and that in
347 *Yinlong* also inclines lingually but with a smaller angle of inclination. The root of the
348 replacement tooth in *Chaoyangsaurus* clings to its corresponding functional tooth tightly. The
349 resorbed area on the functional tooth is larger in *Chaoyangsaurus* and *Yinlong* than in
350 *Liaoceratops* because of the larger contact area. Therefore, the resorption degree of the functional
351 tooth in *Chaoyangsaurus* and *Yinlong* is also larger than in *Liaoceratops*. Scale bars equal 5 mm
352 (A-C) and 3 mm (D-F).

353

354 **The Zahnreihen in *Yinlong* and *Chaoyangsaurus***

355

356 In the Zahnreihen graph of IVPP V18638, these teeth show the regular pattern that the growth
357 stage decreases progressively over a two-tooth position or three-tooth position period and hence
358 at least four Zahnreihen are possibly identified (**Figure 8B**). The resulting Zahnreihen are formed
359 by M1 to M3, M5 to M6, M8 to rM10 and M10 to M11 respectively and run more or less parallel
360 to each other (**Figure 8B**). The M1-M3 and M8-M10 are well-defined tooth replacement series
361 and the exceptions are rM1, rM2, and M13. In *Yinlong*, Z-spacing is between 1.5 and 3.0, and the
362 average Z-spacing is 2.54. In *Chaoyangsaurus*, Z-spacing is 2.0 and 3.33 with an average of 2.67.
363 **Edmund, 1960** suggested that the Z-spacing in reptilian dentitions is higher in the rostral region

364 of the tooth row generally. This pattern is also present in *Yinlong*, whereas Z-spacing is higher in
365 the caudal region of the tooth row in *Liaoceratops* (He et al., 2018). Fastnacht, 2008 suggested
366 that the replacement ratio of tooth formation against tooth resorption can be directly derived by
367 the Z-spacing. The replacement ratio represents the replacement rate to a certain extent but is
368 only comparable within a single taxon. The lower the value is, the higher the tooth replacement
369 rate (Fastnacht, 2008). Therefore, Z-spacing provides an index to compare the replacement rate
370 in one taxon or jaw element.

371
372 **Figure 8.** Z-spacing diagrams of *Yinlong downsi* (IVPP V14530 and IVPP V18638) and
373 *Chaoyangsaurus youngi* (IGCAGS V371). Zahnreihen graphs of right maxillary dentitions of
374 IVPP V14530 (A) and right maxillary dentitions of IVPP V18638 (B). Zahnreihen graphs of
375 IGCAGS V371 in the left maxilla (C), right maxilla (D), left dentary (E), and right dentary (F).
376 The X-axis is the tooth position, Y-axis is the tooth replacement stage. The black triangle
377 represents the functional tooth and the gray circle represents the replacement tooth. Each
378 imaginary line represents the Z-spacing which is the distance between Zahnreihen whose unit is a
379 tooth position.

380
381 The lower Z-spacing in the caudal maxillary region of *Yinlong* may suggest that this region of the
382 tooth row has a higher replacement rate. To maintain the efficiency of chewing, it is
383 advantageous to replace more rapidly worn teeth at a higher rate. Therefore, this may indicate
384 that the caudal region of the jaw in *Yinlong* is used more than the rostral portion to chew food.
385 The situation in *Liaoceratops* and *Chaoyangsaurus* is the opposite of that in *Yinlong* in that the
386 rostral jaw region has a higher replacement rate and the food preparation may therefore occur
387 more frequently in that region (Figure 8C-F) (He et al., 2018). This suggests that there may be a

388 transfer of the position of the main chewing region during the evolution of early-diverging
389 ceratopsians.
390
391 *Demar, 1972* reported that the value of the Z-spacing ranges from 1.56 to 2.80 in most reptiles.
392 Z-spacing as the quantitative index could be used to assess the replacement patterns and avoid
393 arbitrary interpretation of replacement patterns and facilitates objective comparison of patterns
394 between different jaw elements, individuals, growth stages, taxa and so forth (*Hanai and Tsuihiji,*
395 *2019*). In *Liaoceratops*, the spacing between Zahnreihe ranges from 2.16 to 2.90 with a mean
396 value of 2.58 (*He et al., 2018*). So far, only the Z-spacings of *Yinlong*, *Chaoyangsaurus*, and
397 *Liaoceratops* are known in ceratopsians and more research on the Z-spacing of ceratopsians are
398 required to make meaningful comparisons. In non-avian dinosaurs, all known Z-spacing values
399 are greater than 2.0 (*Chatterjee and Zheng, 2002; Weishampel et al., 2004; Wiersma and*
400 *Sander, 2017; Hanai and Tsuihiji, 2019; Becerra et al., 2020*). *Hanai and Tsuihiji, 2019*
401 examined some extant crocodiles such as *Alligator mississippiensis* and *Crocodylus siamensis*
402 which present infrequent Z-spacing less than 2.0. These values indicate the replacement wave
403 direction which is rostral to caudal when Z-spacing is greater than 2.0, reversed when less than
404 2.0 and replaced in simple alternation between odd- and even-numbered tooth positions when
405 exactly 2.0 (*Hanai and Tsuihiji, 2019*). This indicates that new teeth erupt from caudal to rostral
406 order in either odd- and even-numbered alveoli in the maxilla of *Yinlong* and *Chaoyangsaurus*.

407

408 **Discussion**

409 **Ontogenetic changes in dentitions of *Yinlong***

410

411 **Table 1.** List of the ontogenetic difference in specimens of *Yinlong*.

Specimen number	Alveoli						The replacement teeth						Resorbed functional teeth	
	Premaxilla		Maxilla		Dentary		Premaxilla		Maxilla		Dentary			
	left	right	left	right	left	right	left	right	left	right	left	right		
IVPP V18638	n.p.	n.p.	n.p.	13	n.p.	n.p.	n.p.	n.p.	n.p.	n.p.	3	n.p.	n.p.	0
IVPP V18636	2	1	12*	10*	9*	12*	0	0	0	2	0	0	0	0
IVPP V14530	3	3	13	13	15	14	0	0	1	1	1	1	0	0
IVPP V18637	3	3	14	14	n.p.	n.p.	2	0	0	1	n.p.	n.p.	Right maxilla: 2	2

412 n.p. = not preserved.

413 * represents the loss of alveoli.

414

415 Although the accurate ontogenetic stage of these four specimens is not clear, the ontogenetic
416 variation of the tooth replacement pattern in this taxon can be discussed relative to the specimens'
417 size difference. Previous research suggests that the maxilla in *Yinlong downsi* bears 13 teeth (**Han**
418 **et al., 2016**). Our 3D reconstructions reveal that 13 functional alveoli are preserved in the maxilla
419 of V18638 and a larger individual (IVPP V14530). However, the count of functional teeth in the
420 largest individual (IVPP V18637) is at least 14. Hence the number of the maxillary teeth may
421 increase with the ontogeny of *Yinlong downsi*, as in *Psittacosaurus mongoliensis* and
422 *Protoceratops* (**Brown & Schlaikjer, 1940; Sereno, 1990; Czepiński, 2020**). In large individuals
423 (IVPP V18637, IVPP V14530), there is one replacement tooth out of 14 or 13 functional teeth in
424 the maxilla whereas smaller specimens (IVPP V18637, IVPP V18638) have a higher ratio of the
425 replacement teeth to the functional teeth such as two RT/8 FT and three RT/10 FT (**Table 1**). This
426 phenomenon may reflect that the early ontogenetic stage specimens of *Yinlong* may have a faster
427 tooth replacement rate. As noted by **He et al., 2018**, remnants of mostly resorbed functional teeth
428 are present in both juvenile and adult specimens of *Liaoceratops*. But the remnants of resorbed
429 functional teeth are only present in the largest specimen (IVPP V18637) of *Yinlong*. Therefore,

430 we conclude that the resorption rate may decrease through the ontogeny of *Yinlong*.

431

432 **Figure 9.** The reconstructions of three functional maxillary teeth at the middle part of the tooth
433 row. The tooth 6 in IVPP V18638 in mesial, labial view (**A**), and cross-section (**D**). The tooth 7
434 in the left maxilla of IGCAGS V371 in mesial, labial view (**B**), and cross-section (**E**). The tooth 9
435 in the left maxilla of the holotype of *Liaoceratops* in distal, labial view (**C**), and cross-section (**F**).
436 The arrows indicate where the cross-sections generate. Scale bars equal 10 mm (A-C) and 5 mm
437 (D-F).

438

439 **The evolution of dental anatomy and replacement pattern in** 440 **Ceratopsia**

441

442 **Dental anatomy.** *Tanoue et al., 2009* have concluded that the evolutionary trend in dentitions of
443 early-diverging ceratopsians includes an increase in the angle of the wear facets, development of
444 a prominent primary ridge, development of deep indentations on the mesial and distal sides of the
445 primary ridge and increase in size in neoceratopsians. By computed tomographic analysis, we
446 found that the dentitions in *Yinlong*, *Hualianceratops*, and *Chaoyangsaurus* exhibit features that
447 differ from neoceratopsians including small numbers of teeth in tooth rows, concave surfaces on
448 the lingual side of the maxillary crowns and labial side of the dentary crowns, loosely packed
449 tooth rows, and regular occlusal surfaces. There are also some differences between
450 early-diverging taxa. The crowns of unworn teeth in *Yinlong* and *Hualianceratops* are
451 subtriangular and bear primary ridges located at the midline of the crowns (*Figures 1C and 6C*).
452 Unlike *Yinlong* and *Hualianceratops*, the maxillary dentitions of *Chaoyangsaurus* developed

453 ovate crowns and the relatively prominent primary ridge located relatively distal to the midline of
454 the crowns as in most neoceratopsians (**Figure 5F and H**). In addition, the roots in *Yinlong* are
455 straight, unlike *Chaoyangsaurus* whose functional roots are curved lingually (**Figure 9A-C**).
456 Overall, the dentitions of *Yinlong* and *Hualianceratops* exhibit primitive conditions compared to
457 *Chaoyangsaurus*.

458

459 *Psittacosaurus lujiatunensis* (IVPP V12617) exhibits similar concave surfaces on the occlusal
460 surface of the crowns as in *Yinlong*, *Chaoyangsaurus*, and *Hualianceratops*. These
461 early-diverging ceratopsians bear similar low-angled wear facets but the depression on the
462 occlusal surface indicates a different occlusion from the shearing occlusal system as in
463 neoceratopsians. In addition, the primary ridges are located at the midline of the crowns in
464 *P.lujiatunensis*.

465

466 In *Liaoceratops*, *Archaeoceratops*, and *Auroraceratops*, the crowns developed slightly more
467 prominent and narrow primary ridges and the teeth of *Leptoceratops* and *Protoceratops*
468 developed the most prominent primary ridge outside of ceratopsids (**Tanoue et al., 2009**).
469 Significantly, the primary ridges in the dentary teeth in *Archaeoceratops* (IVPP V11114) are
470 located relatively mesial to the midline of the crowns in contrast to its maxillary dentitions and
471 other neoceratopsians. Late-diverging neoceratopsians including *Leptoceratops* and
472 *Protoceratops* have deeper indentations mesial and distal to the primary ridge, as in ceratopsids,
473 than early-diverging neoceratopsians (**Tanoue et al., 2009**). In *Liaoceratops*, *Protoceratops*,
474 *Leptoceratops*, and *Zuniceratops* which bear closer-packed dentitions, shallow longitudinal sulci
475 form on the roots to accommodate adjacent crowns in neighboring tooth families. This allows for
476 closer packing of the dentition (**Figure 9C and F**) (**Brown and Schlaikjer, 1940; Wolfe et al.,**

477 *1998; He et al., 2018*). Among all specimens we examined here, the occlusal surfaces of the
478 functional teeth are regular and generally on the same plane whereas they are irregular in
479 *Protoceratops* and Ceratopsidae (*Edmund, 1960; Tanoue et al., 2009; Mallon et al., 2016*).
480 Differing from early-diverging ceratopsians, ceratopsids have evolved unique dental features
481 including two-rooted teeth, high angle wear facets, and a very prominent primary ridge flanked
482 by deep indentations (*Edmund, 1960; Tanoue et al., 2009*).

483
484 **Replacement progression.** The replacement progression in *Yinlong* and *Chaoyangsaurus* differs
485 slightly from that of *Liaoceratops* (*He et al., 2018*). The resorption of the functional tooth in
486 *Liaoceratops* is initiated after the replacement tooth grew, in contrast to *Yinlong* and
487 *Chaoyangsaurus* (*He et al., 2018*). When the replacement tooth growth is nearly complete, the
488 labial dentine of the roots in *Liaoceratops* remains more completely preserved than in *Yinlong*
489 (*Figure 7C and F*). In addition, the root of the replacement tooth in *Liaoceratops* inclines
490 lingually at 24° and that in *Yinlong* also inclines lingually but with a smaller angle of inclination
491 (12°), and the root of the replacement tooth in *Chaoyangsaurus* is relatively vertical and is
492 appressed to the functional tooth (*Figure 7A-C*). As a result, the far labial side of the root in
493 *Liaoceratops* and *Yinlong* possibly lies beyond the zone of resorption and the dentine of the
494 functional tooth next to the replacement tooth is still preserved, while that in *Chaoyangsaurus* is
495 resorbed (*Figure 7*) (*He et al., 2018*). In general, the degree of resorption of the functional tooth
496 root is most severe in *Chaoyangsaurus* followed by *Yinlong*, and it is the weakest in
497 *Liaoceratops*. In addition, the functional crown detaches from the root in *Liaoceratops* and the
498 functional root remnants are still present labial to the replacement tooth while the functional tooth
499 is shed (*He et al., 2018*). The relatively slight resorption and the separation between the resorbed

500 functional crown and root may explain why remnants of the functional teeth are so prevalent in
501 *Liaoceratops*.

502
503 At present, the replacement process in ceratopsids has not been described in detail. Some
504 transverse sections previously reported suggested a difference in the replacement process
505 between ceratopsids and early-diverging ceratopsians (*Erickson et al., 2015*). In ceratopsids, the
506 replacement teeth germinated inside the pulp cavities of the predecessors instead of lingual to the
507 root of predecessors (*Erickson et al., 2015 Figure 1B*). The transition of the location of the
508 replacement teeth from the lingual side of the functional roots to the tip of that has been reported
509 in *Leptoceratops* (*Brown & Schlaikjer, 1940*) and may represent the primitive state in
510 ceratopsids. This may explain the transition to double-rooted teeth in ceratopsids, where the
511 replacement tooth is positioned between the labial and lingual roots of the functional tooth
512 (*Erickson et al., 2015 Figure 1B*). As the teeth developed, the long axes of the replacement teeth
513 in the same alveolus inclined from labially to lingually (*Erickson et al., 2015 Figure 1B*). The
514 roots of the preceding functional teeth in ceratopsids would shed after the crowns have been worn
515 away instead of mostly resorbed as they do in early-diverging ceratopsians (*Edmund, 1960*).

516
517 **Tooth replacement pattern.** Besides the morphological differences, a high rate of tooth
518 replacement characterizes ceratopsids, identified by more replacement teeth in each vertical
519 series (*Erickson, 1996*). In early-diverging neoceratopsians (*Liaoceratops, Auroraceratops*), an
520 alveolus bears at most two replacement teeth with a relatively lower replacement rate (*Tanoue et*
521 *al., 2012; He et al., 2018; Morschhauser et al., 2018*). In most early-diverging species of
522 ceratopsians (*Yinlong, Chaoyangsaurus, Psittacosaurus, Hualianceratops*), each alveolus bears at
523 most one replacement tooth indicating lower replacement rates than late-diverging ceratopsians

524 (Table 2).

525

526 **Table 2.** List of the number of replacement teeth and the functional teeth in some ceratopsians
527 which have been studied by computed tomography.

Higher taxa	Genus	Specimen number	Left maxilla		Right maxilla		Left dentary		Right dentary	
Ceratopsia	<i>Psittacosaurus</i>	CUGW VH104	9 FT	7 RT	9FT	5 RT	9 FT	6 RT	10 FT	7 RT
Ceratopsia	<i>Yinlong</i>	IVPP V14530	13 FT	1 RT	13FT	1 RT	14 FT	1 RT	14 FT	2 RT
Ceratopsia	<i>Chaoyangsaurus</i>	IGCAGS V371	9 FT	3 RT	9 FT	3 RT	9 FT	3 RT	11 FT	5 RT
Neoceratopsia	<i>Liaoceratops</i>	IVPP V12738	13 FT	11 RT and 1 2 nd RT	13 FT	11 RT and 1 2 nd RT	15 FT	13 RT and 2 2 nd RT	15 FT	12 RT
Neoceratopsia	<i>Auroraceratops</i>	CUGW VH106	-	-	-	-	-	-	15 FT	7 RT

528 RT = replacement tooth; FT = functional tooth; 2nd RT = the second generation replacement

529 tooth.

530

531 Overall, the evolution of dentitions from the earliest-diverging ceratopsians to ceratopsids are as
532 follows: the development of the primary ridges and the deep indentations; the increased angle of
533 the wear facets on the crowns; the increase of tooth counts in tooth rows; the presence of the
534 shallow grooves on the roots trending from single-rooted teeth to two-rooted teeth; the
535 arrangement of teeth into a more compact mass; the increase of teeth in each tooth family; the
536 location of the replacement teeth transferring from the lingual side of the functional teeth to the
537 inside of the pulp cavities (**Figure 10**).

538

539 **Figure 10.** Phylogenetic tree of ceratopsians (composite from *Erickson et al., 2015, Han et al.,*
540 *2018, and Yu et al., 2020*) and comparison of the dental anatomy and the tooth replacement

541 pattern. *Psittacosaurus* from *Averianov et al., 2006; Liaoceratops* from *He et al., 2018;*

542 *Auroraceratops* from *Tanoue et al., 2012* and *Morschhauser et al., 2018*; *Leptoceratops* from
543 *Tanoue et al., 2009*; *Protoceratops* from *Edmund, 1960* and *Brown and Schlaikjer, 1940*;
544 *Triceratops* from *Edmund, 1960*.

545

546 **Implications for diet and environment**

547

548 The upper half of the Shishugou Formation, in which the bonebeds containing *Yinlong* and
549 *Hualianceratops* occur, indicates a warm and seasonally dry climate in the Middle and Late
550 Jurassic (*Eberth et al., 2001*; *Clark et al., 2004*; *Eberth et al., 2006*; *Bian et al., 2010*; *Eberth et*
551 *al., 2010*). *Wang et al., 2000* have described the megaplant fossils *Equisetites* and *Elatocladus*,
552 and pollen and spores of *Hymenophyllum*, *Anemia*, and *Cicatricosisporites*. This area developed
553 forests near the banks of rivers under moist conditions and consisted primarily of conifers like
554 Araucariaceae and the understory of the forest mainly consisted of *Angiopteris*, *Osmunda* and
555 *Coniopteris* (*Mcknight et al., 1990*; *Hinz et al., 2010*). Feeding strategy can be inferred from its
556 body size and tooth pattern. The holotype of *Yinlong* is estimated to be 120 cm in total body
557 length (*Xu et al., 2006*), which implies that *Yinlong* likely feeds on low-growing plants such as
558 *Equisetites*.

559

560 *Maiorino et al., 2018* pointed out that *Yinlong* was not able to tolerate high loadings due to its
561 more primitive lower jaw morphology, and may have fed on softer foliage and fruits or
562 swallowed the food in a relatively unprocessed form. In addition, although the tooth replacement
563 rate in *Yinlong* is not clear, previous researchers have suggested that the tooth replacement rates
564 in some sauropods and hadrosaurids, which have elaborate dental batteries, are relatively fast

565 (*D'Emic et al., 2013*). The low number of replacement teeth in *Yinlong* likely reflects slow tooth
566 replacement rates which would not imply rapid tooth wear. All these features suggest that
567 *Yinlong* is unlikely to grind tough foods. Therefore, *Yinlong* possibly has food processing
568 strategies other than grinding food with their dentitions. *Xu et al., 2006* noticed that the ribcage
569 of IVPP V14530 preserved seven gastroliths, which is also known in some other ornithischians
570 (i.e., *Psittacosaurus* (*Osborn, 1923; Ignacio, 2008*) and some non-avian theropods (*Kobayashi et*
571 *al., 1999; Fritz et al., 2011*). Furthermore, an armoured dinosaur *Borealopelta markmitchelli* with
572 ingested stomach contents and gastroliths preserved has been reported recently and represents the
573 most well-supported and detailed direct evidence of diet in a herbivorous dinosaur (*Brown et al.,*
574 *2020*). The diet of *Borealopelta markmitchelli* includes selective ferns, preferential ingestion of
575 leptosporangiate ferns, and incidental consumption of cycad-cycadophyte and conifer (*Brown et*
576 *al., 2020*). *Borealopelta markmitchelli* possessed simple teeth and gastroliths and likely occupied
577 similar ecological niches as *Yinlong*. In such a context, we suggest that ferns such as *Angiopteris*,
578 *Osmunda*, and *Coniopteris* are suitable to be food choices of *Yinlong*. Some low and tender leaf
579 and other less abrasive plant foods could also be possible. Early-diverging ceratopsians that show
580 relatively slow tooth replacement rates and lack evidence of heavy tooth wear likely used
581 gastroliths to triturate foodstuffs to cope with the stringent requirements for digestion of plant
582 materials.

583

584 Several morphological adaptations occurred during the evolution of Ceratopsia including the
585 longitudinal ridge of ceratopsids and thickening of the lower jaw in early-diverging
586 neoceratopsians besides the transition of dentitions mentioned above (*Bell et al., 2009; Maiorino*
587 *et al., 2018*). Finite element analysis on the lower jaws of ceratopsians suggests that ceratopsids
588 represent the clade with the most efficient masticatory apparatus in Ceratopsia whereas the

589 early-diverging ceratopsians *Hualianceratops* and *Yinlong* retained a primitive lower jaw
590 (*Maiorino et al., 2018*). These changes undoubtedly improved the chewing ability in
591 neoceratopsians and ceratopsids. Given their body difference, the greater food consumption
592 brought by the increased body size may have driven, in part, the evolution of the jaw and the
593 replacement patterns. However, increased body size may not be the only reason for increased
594 replacement tooth number and the stronger jaw *Liaoceratops* and *Psittacosaurus* are similar in
595 size to *Yinlong* but have more replacement teeth than *Yinlong* as well as two generations of
596 replacement teeth in *Liaoceratops* and the jaws able to withstand higher stress (*He et al., 2018*;
597 *Maiorino et al., 2018*). The Jehol flora, which occurs in the Yixian Formation of Liaoning, is
598 dominated by Cycadopsida and Coniferopsida (*Deng et al., 2012*). It suggests that *Liaoceratops*
599 had a different diet strategy from *Yinlong*. Likewise, one of the greatest changes in terrestrial
600 ecosystems during the Late Cretaceous Period saw the diversification of angiosperms (*Barrett*
601 *and Willis, 2001*). Changes in the floral composition may have resulted in the different diet
602 strategies in ceratopsids which in turn may help explain the different tooth replacement patterns
603 and rates.

604

605 **Materials & Methods**

606 **Institutional abbreviations**

607

608 IVPP - Institute of Vertebrate Paleontology and Paleoanthropology, Beijing, China; IGCAGS -
609 Institute of Geology Chinese Academy of Geosciences, Beijing, China.

610

611 **Material**

612

613 **Table 3.** Skull length and scanning parameters of *Yinlong* and *Chaoyangsaurus*.

Taxa	Specimen number	Skull length* (cm)	Scanning voltage	Scanning current	Resolution (μm)
<i>Yinlong</i>	IVPP V18638	13.4 (uncomplete)	130 kV	140 mA	36.039
	IVPP V18636	15.5	430 kV	1500 μA	160
<i>Yinlong</i>	IVPP V14530	18	430 kV	1500 μA	300
<i>Yinlong</i>	IVPP V18637	23	430 kV	1500 μA	160
<i>Chaoyangsaurus</i>	IGVAGS V371	13.7	150 kV	160 mA	46.493

614 *Skull length is measured from the rostral end to the posterior surface of the quadrate condyles.

615

616 Three earliest-diverging ceratopsians *Yinlong*, *Chaoyangsaurus* and *Hualianceratops* were
617 examined. The skull and mandible materials of *Yinlong* have been described in detail previously
618 (*Han et al., 2016; Han et al., 2018*). Four skulls of *Yinlong downsii* are included in this study,
619 IVPP V14530 (the holotype), IVPP V18636, IVPP V18637, and IVPP V18638.

620

621 IVPP V18638 (CT scanned). This is the smallest specimen of *Yinlong* described here with the
622 skull length (measured from the rostral end to the posterior surface of the quadrate condyles)
623 estimated to be about 13 cm. Only the right maxilla, jugal, squamosal, postorbital, quadratojugal,
624 and pterygoid are preserved (*Figure 1*). The right maxillary dentition was reconstructed.

625

626 IVPP V18636 (CT scanned). This specimen consists of a nearly complete skull with a mandible
627 and partial postcranial skeleton (*Figure 2*). The skull length is about 15.5 cm. The dentitions of
628 the premaxillae, the maxillae, and the dentary are reconstructed.

629

630 IVPP V14530 (CT scanned). The holotype preserves a nearly complete skull with a mandible and
631 nearly complete postcranial skeleton (**Figure 3**). The skull length is about 18 cm. The dentitions
632 of the premaxillae, the maxillae, and the dentary are reconstructed.

633

634 IVPP V18637 (CT scanned). The preserved elements on this specimen consist of a nearly
635 complete skull lacking a mandible (**Figure 4**). It is the largest specimen of *Yinlong* with a skull
636 length measured as 23 cm. Only the premaxillary and maxillary dentitions are studied.

637

638 The holotype of *Chaoyangsaurus* (IGCAGS V371) includes the dorsal part of a skull and a nearly
639 complete mandible (**Figure 5**) (*Zhao et al., 1999*). The skull and the mandible of IGCAGS V371
640 were CT scanned respectively. The dentitions of the premaxillae, the maxillae, and the dentary
641 are reconstructed.

642

643 The holotype of *Hualianceratops* (IVPP V18641) was also CT scanned, but we were unable to
644 study teeth due to poor preservation. An additional specimen, IVPP V28614 (field number
645 WCW-05A-2), which only preserves the left dentary, is described here for comparison although it
646 was not CT scanned (**Figure 6**). However, the external morphology provided information on the
647 tooth replacement pattern. We assigned this specimen to *Hualianceratops* based on the deep and
648 short dentary which measures 83.46 mm in length and has a depth of 33.38 mm at the rostral end
649 (40% length) and strongly rugose sculpturing present on the lateral surface of the dentary (**Figure**
650 **6A**) (*Han et al., 2015*).

651

652 Each functional tooth and replacement tooth's total height, maximum mesiodistal width, and
653 maximum labiolingual width of all studied specimens are displayed in **Table S1**.

654

655 **Computed tomography**

656

657 The roots of the functional teeth and the replacement teeth are usually encased in the
658 tooth-bearing elements. By employing traditional methods, it is difficult to obtain the internal
659 anatomical features of the dentitions in any detail. The advent of non-invasive and
660 non-destructive radiological approaches, X-ray computed tomography, has revolutionized the
661 study of fossil specimens (*Conroy and Vannier, 1984*), providing new insights into internal
662 structures normally obscured by bones and rock matrix. Here, high-resolution X-ray
663 micro-computed tomography was used to reveal internal anatomical features of teeth and tooth
664 replacements in the premaxillae, maxillae, and dentary. Scanning of IVPP V14530, IVPP
665 V18636, and IVPP V18637 was carried out using a 450 kV micro-computed tomography
666 instrument (450 ICT) at the Key Laboratory of Vertebrate Evolution and Human Origins of the
667 Chinese Academy of Sciences, Beijing, China. Scanning on IVPP V18638 and IGCAGS V371
668 was carried out using a 300 kV micro-computed tomography instrument (Phoenix Vtomex M)
669 and the detector (Dynamic41-100) at the Key Laboratory of Vertebrate Evolution and Human
670 Origins of the Chinese Academy of Sciences, Beijing, China. Scanning parameters of these
671 specimens are displayed in *Table 3*. High-resolution 3D models of the dentitions of *Yinlong* and
672 *Chaoyangsaurus* are available in Dryad, at <https://doi.org/10.5061/dryad.9ghx3ffk0>.

673

674 CT datasets were input in Mimics[®] (Materialise Corporation, Leuven, Belgium, versions 15.0 and
675 16.0) to render 3D models of bones and teeth. The program builds meshes based on density
676 differences in each specimen and applies material properties to each mesh.

677

678 **The reconstruction of Zahnreihen**

679

680 *Edmund, 1960* hypothesized that teeth in reptiles are replaced in an ordered, alternating
681 segmented pattern called a Zahnreihe. Each Zahnreihe consists of a series of teeth, including
682 unerupted teeth, where a rostrally placed tooth is more mature than a more caudal one along the
683 same tooth row (*Hanai and Tsuihiji, 2019*). The distance between two successive Zahnreihen is
684 the Z-spacing (*Demar, 1972*). Previous researchers usually defined the Zahnreihen by
685 measurements of teeth or by applying a replacement index (*Demar & Bolt, 1981; Fastnacht,*
686 *2008; He et al., 2018*). Because few replacement teeth are preserved in *Yinlong* and
687 *Chaoyangsaurus*, it is difficult to reconstruct the tooth replacement waves by applying the same
688 replacement index used in *Liaoceratops*. Therefore, we reconstructed the Zahnreihen according
689 to the degree of tooth wear and the location of replacement teeth, as used in *Shunosaurus*
690 (*Chatterjee and Zheng, 2002*), as well as applying a new methodology that includes the
691 developmental stage of the pulp cavity. We divided the functional teeth in *Yinlong* and
692 *Chaoyangsaurus* into four stages: (F1) no or slight wear on marginal denticles with an open pulp
693 cavity; (F2) wear on marginal denticles and a slightly concave lingual wear facet with a large
694 pulp cavity; (F3) extensive wear on marginal denticles and a concave lingual wear facet with the
695 depression on the lingual surface of the roots or a bud of the replacement tooth; (F4) polished and
696 greatly worn marginal denticles and a highly concave lingual wear facet with a broken pulp
697 cavity or the emergence of a replacement tooth. Three stages of replacement teeth are recognized:
698 (R1) small incipient tooth showing the tip of the crown; (R2) crown fully erupted; (R3) crown
699 reaches the base of the functional crown.

700
701 Based on stage division, each functional tooth and replacement tooth was plotted on a graph
702 whose vertical axis is the growth stage and the horizontal axis is the tooth position. In the graph,
703 these teeth show a regular pattern that the growth stage decreases progressively and periodically
704 over several-tooth positions. Each degressive sequence represents a Zahnreihe indicated by a
705 series of teeth linked with each other as black lines (**Figure 8**). The distance between adjacent
706 Zahnreihen is Z-spacing and the Z-spacing of *Yinlong* is described by the mean of all
707 measurements.

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709
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716

717 **Competing interests**

718

719 The authors declare no competing interests.

720

721 **Supplementary Information**

722

723 **Supplementary File 1.** List of each functional and replacement tooth's total height, maximum
724 mesiodistal width, maximum labiolingual width, and the height of tooth remnants of all
725 specimens.

726

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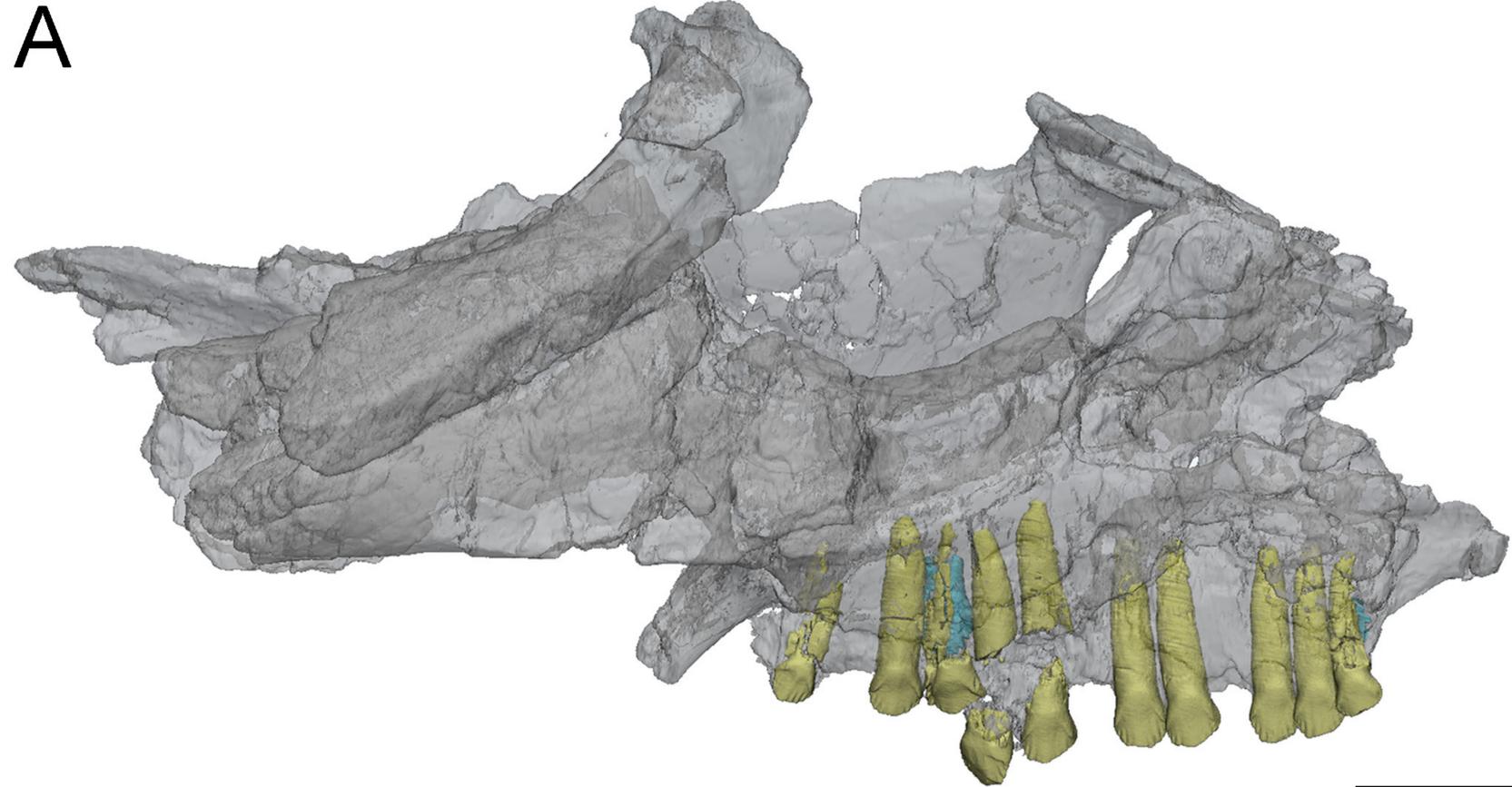
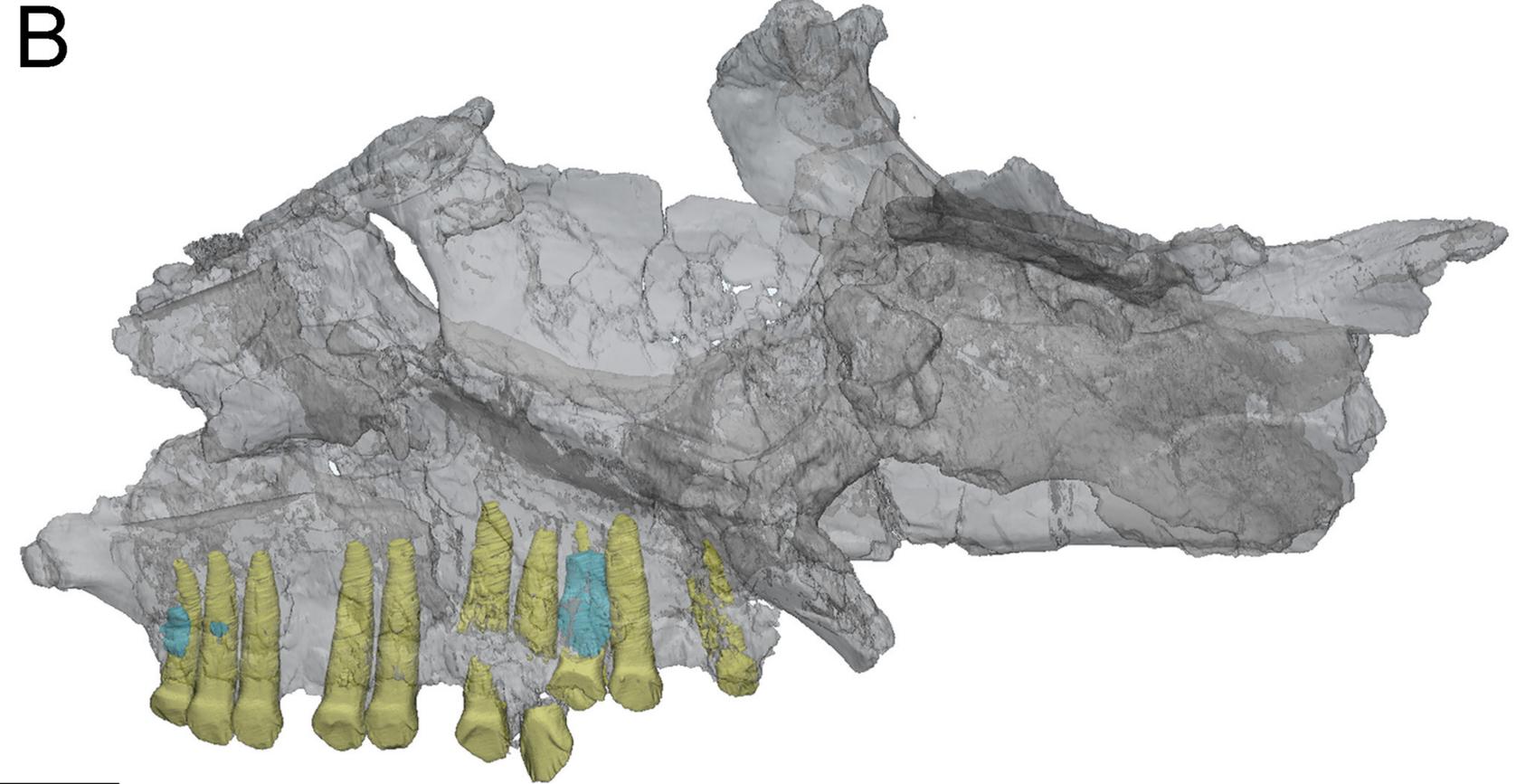
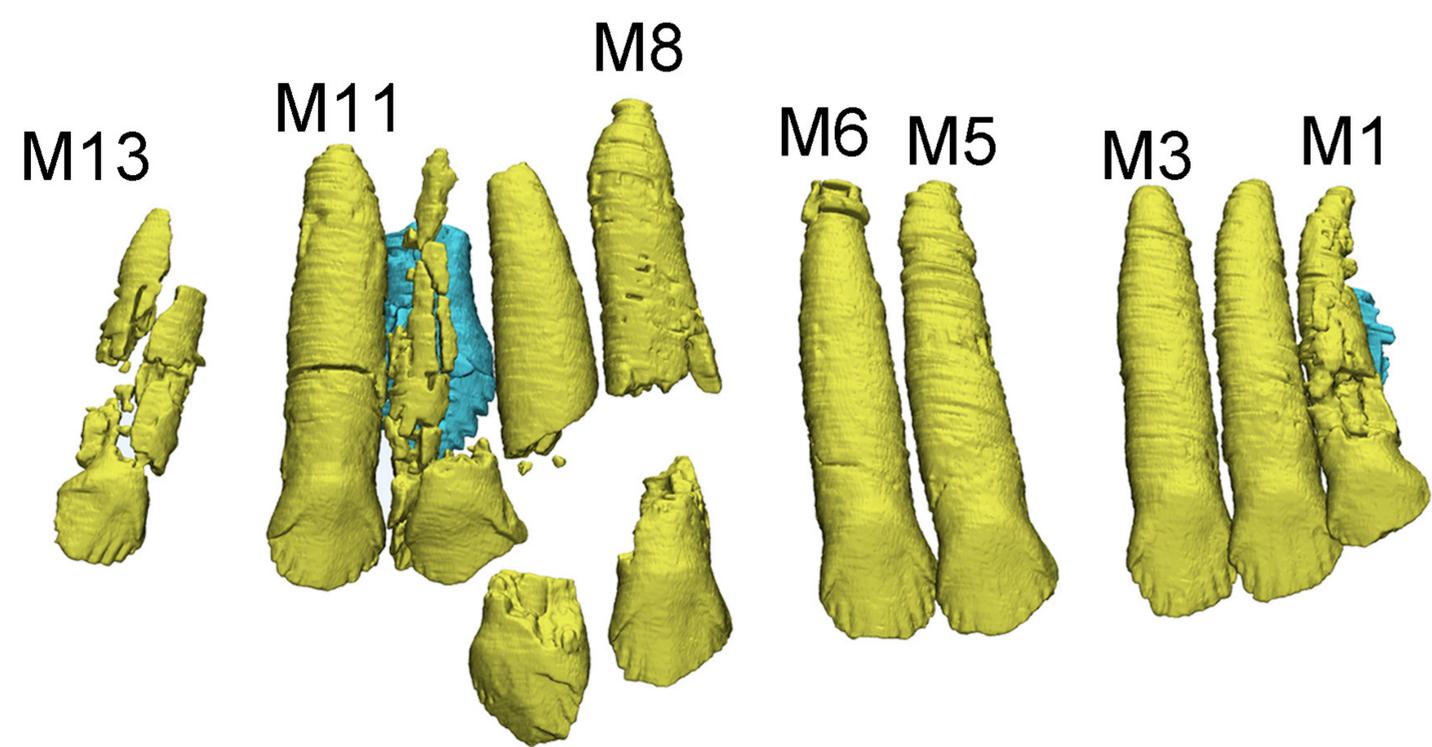
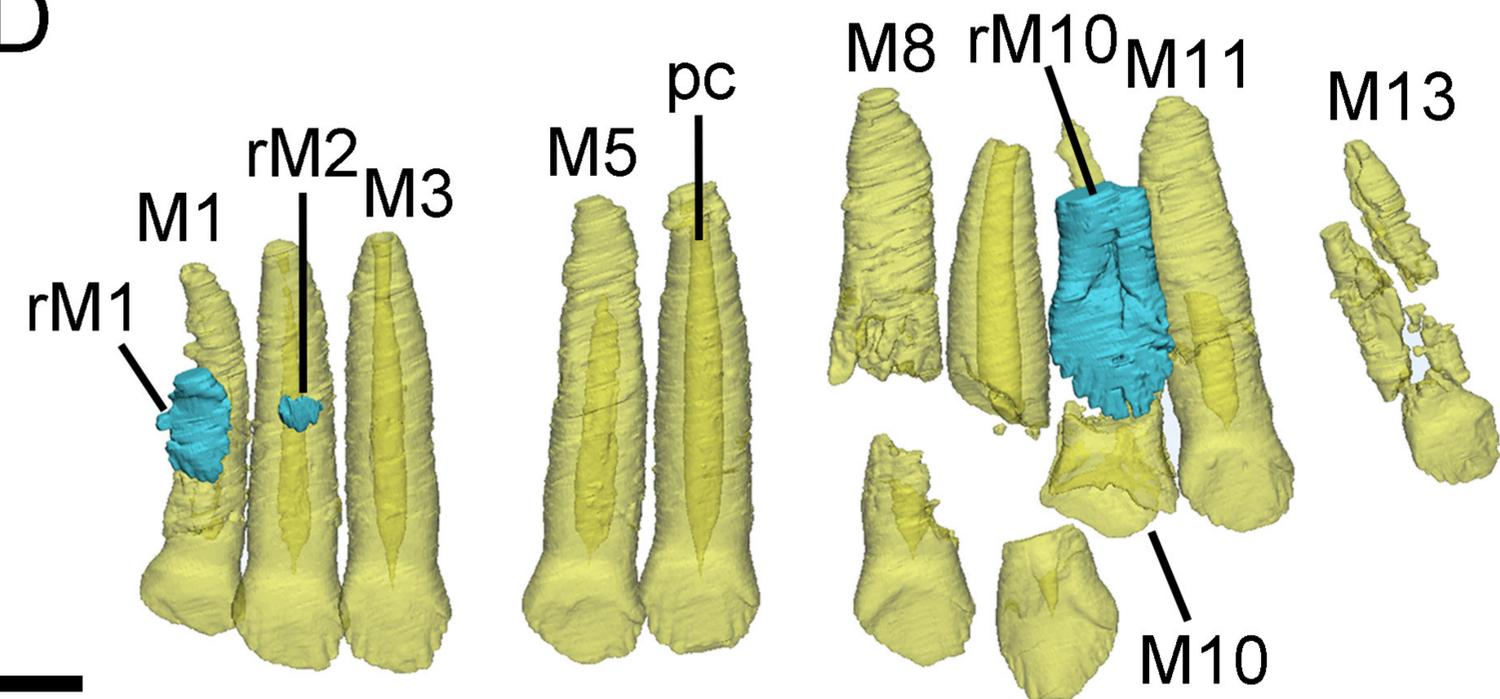
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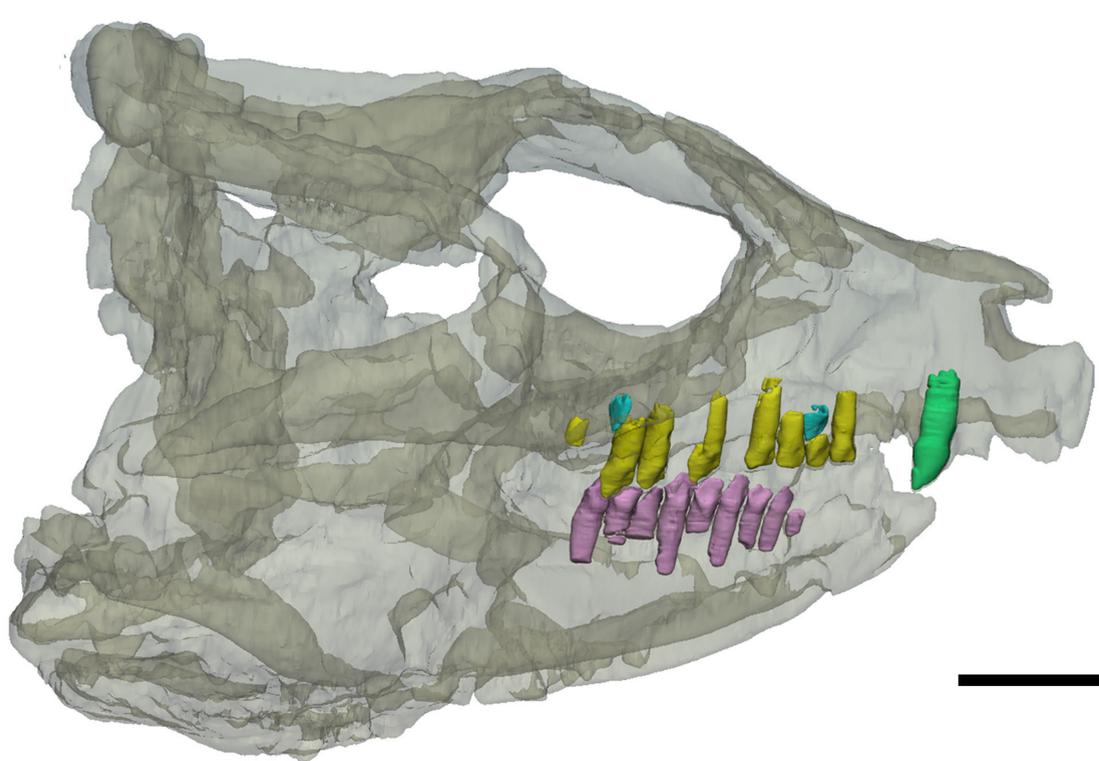
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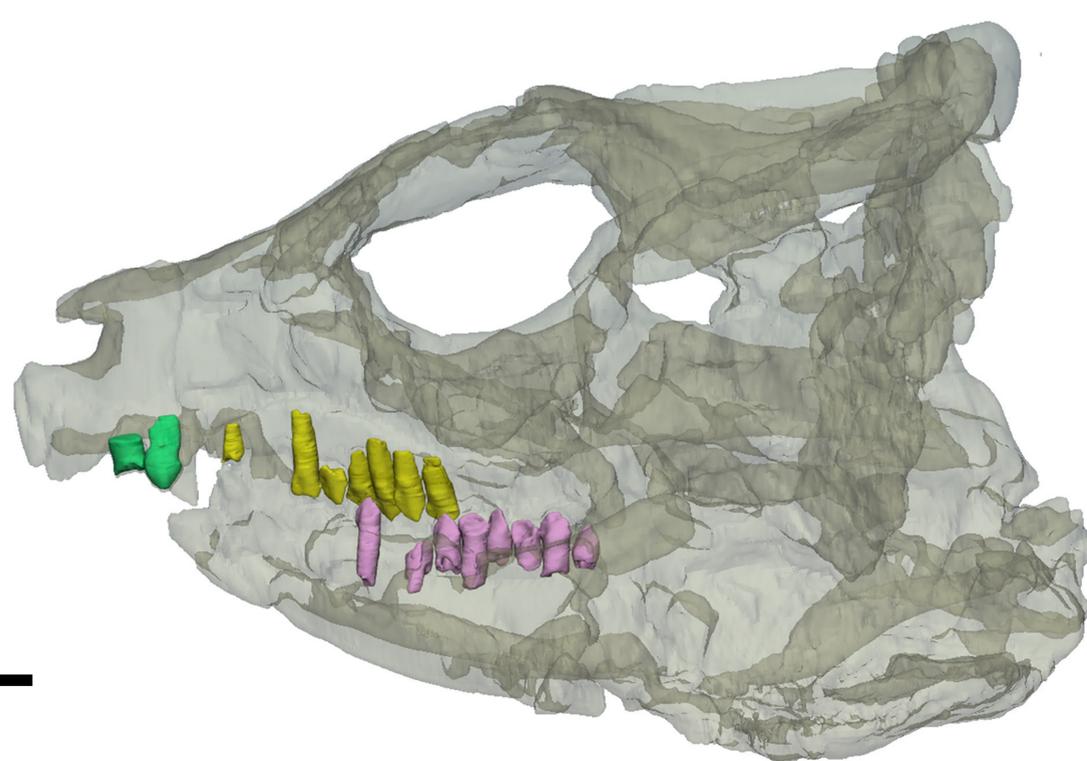
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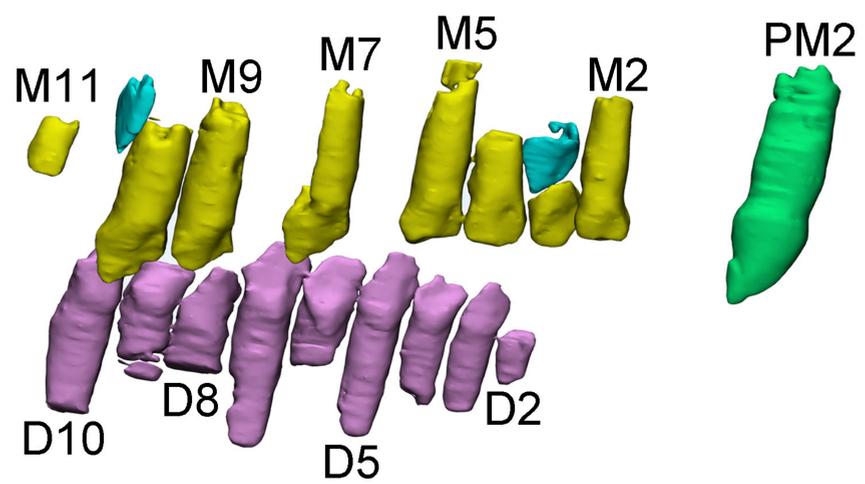
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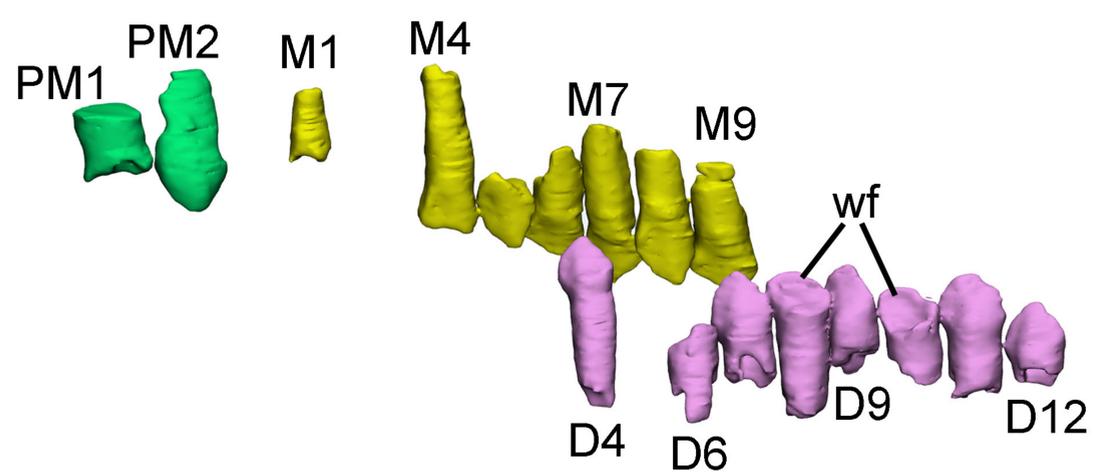
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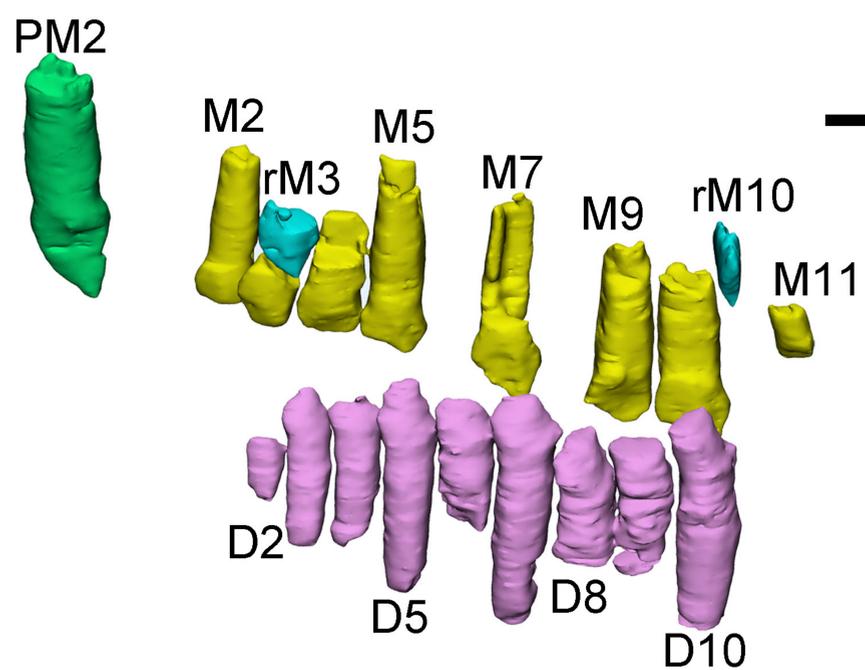
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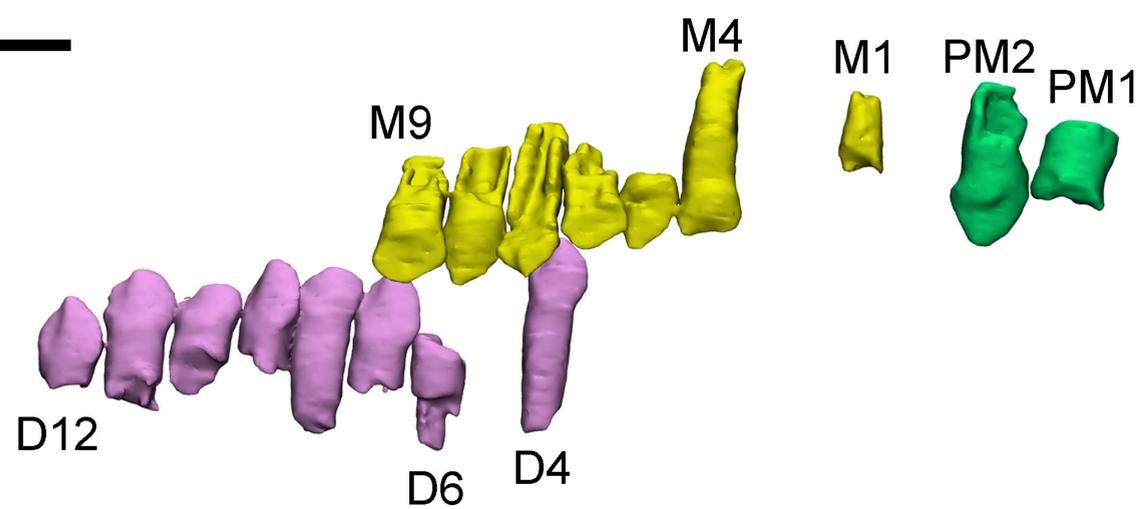
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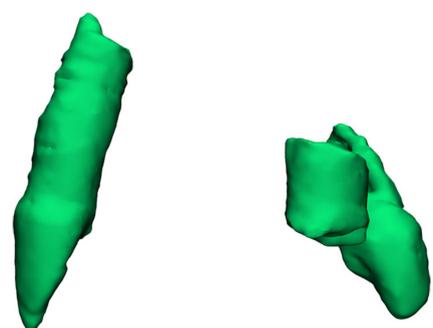
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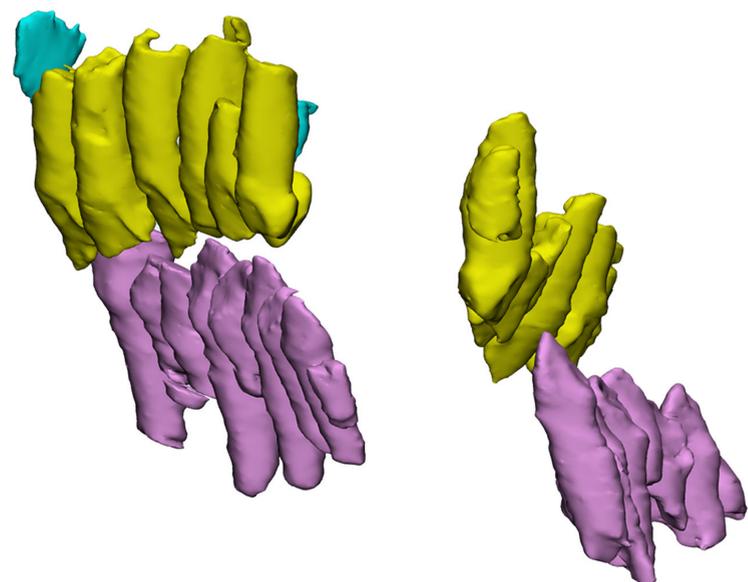
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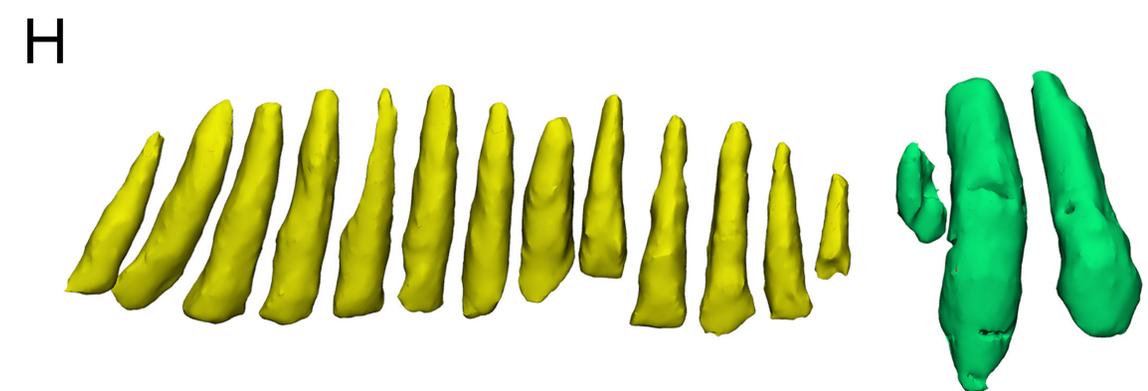
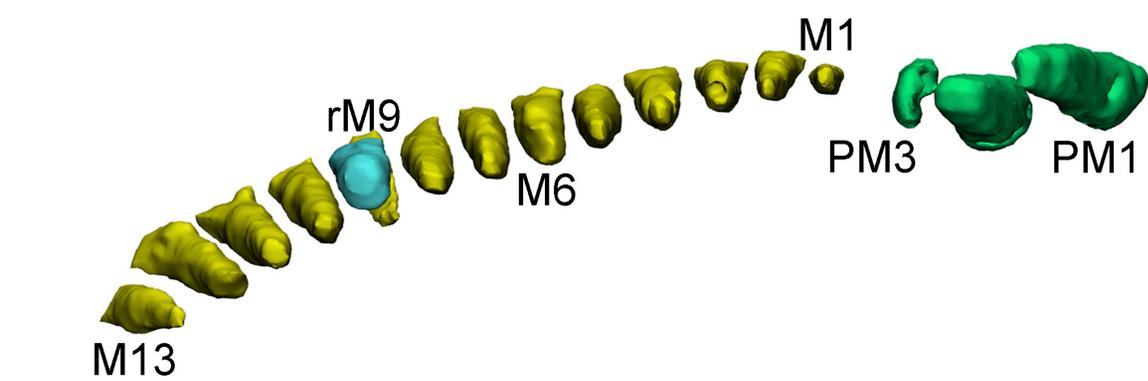
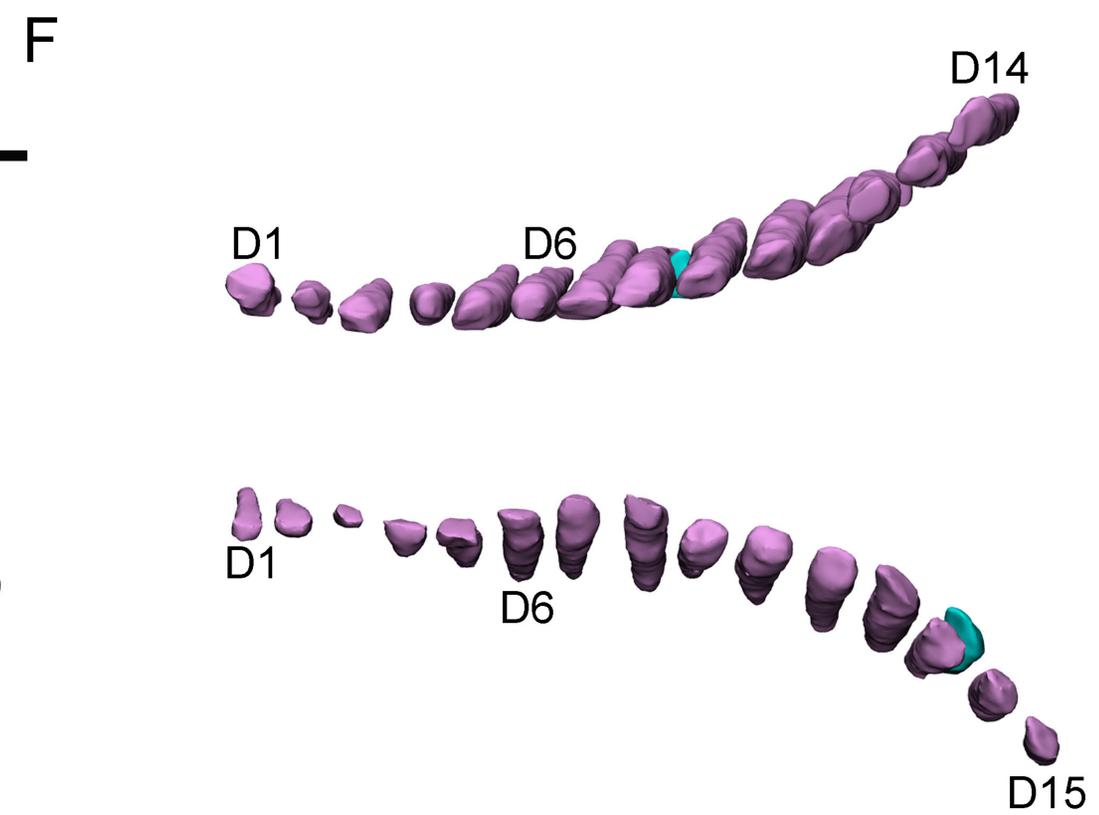
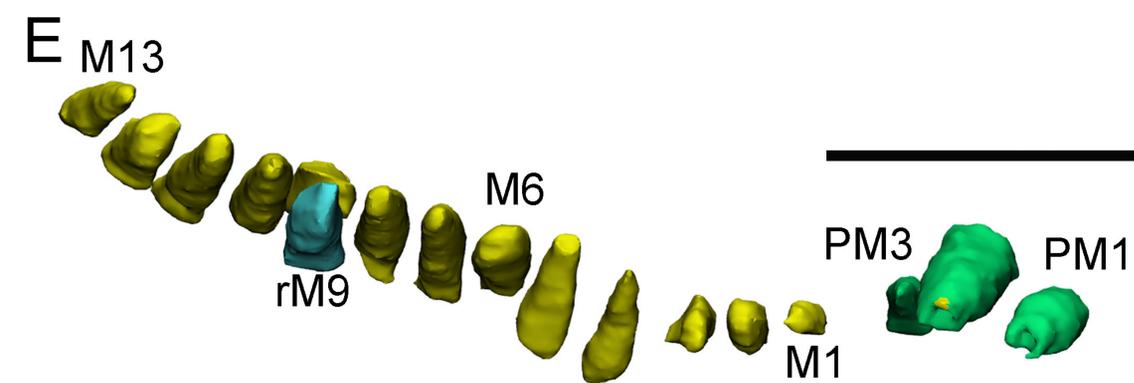
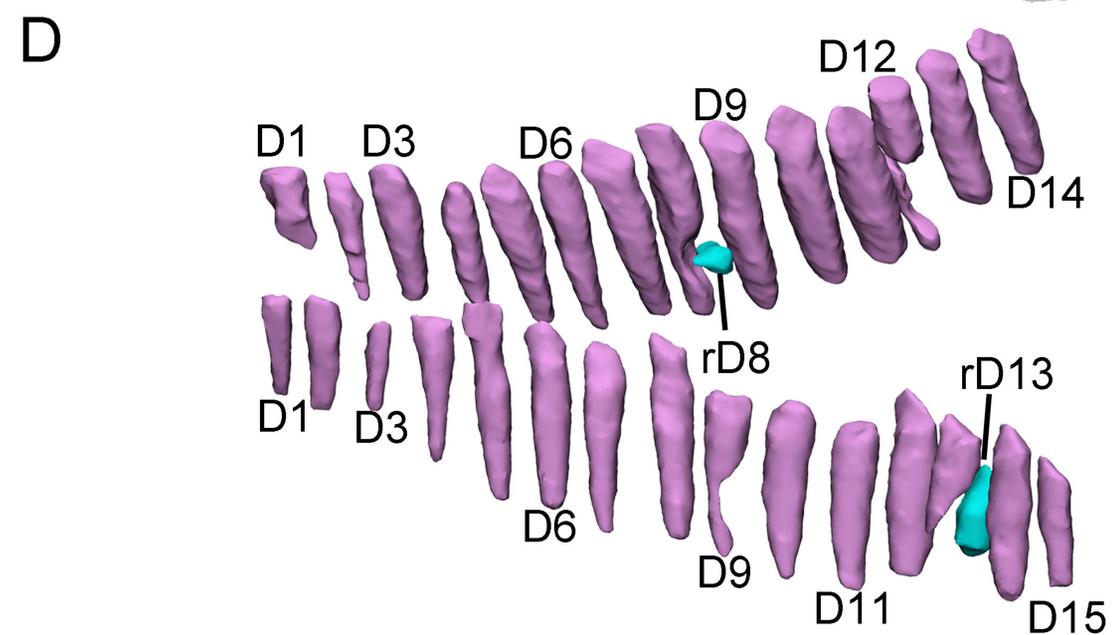
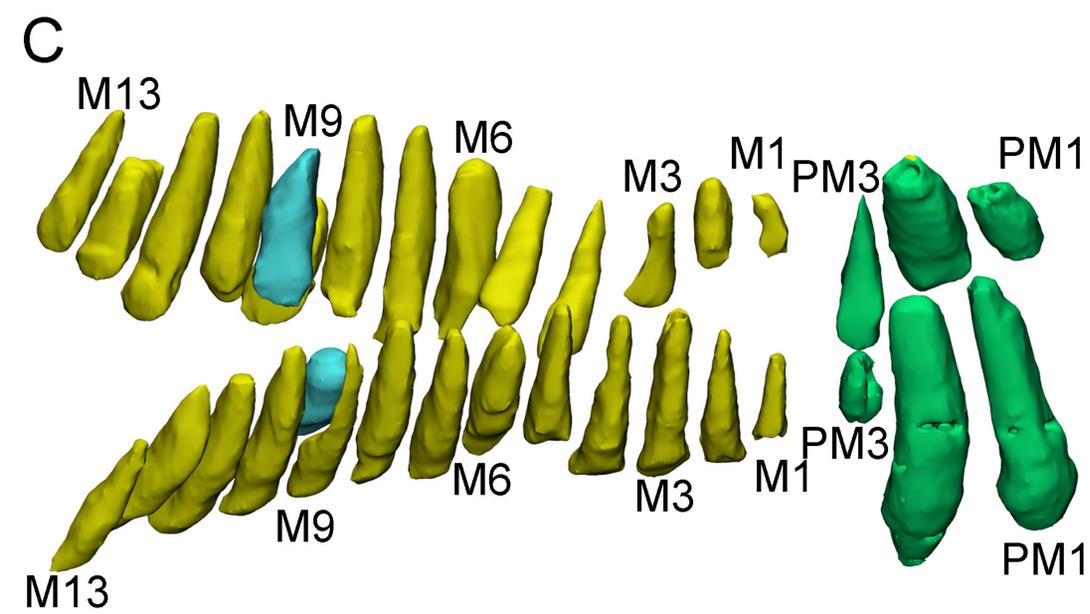
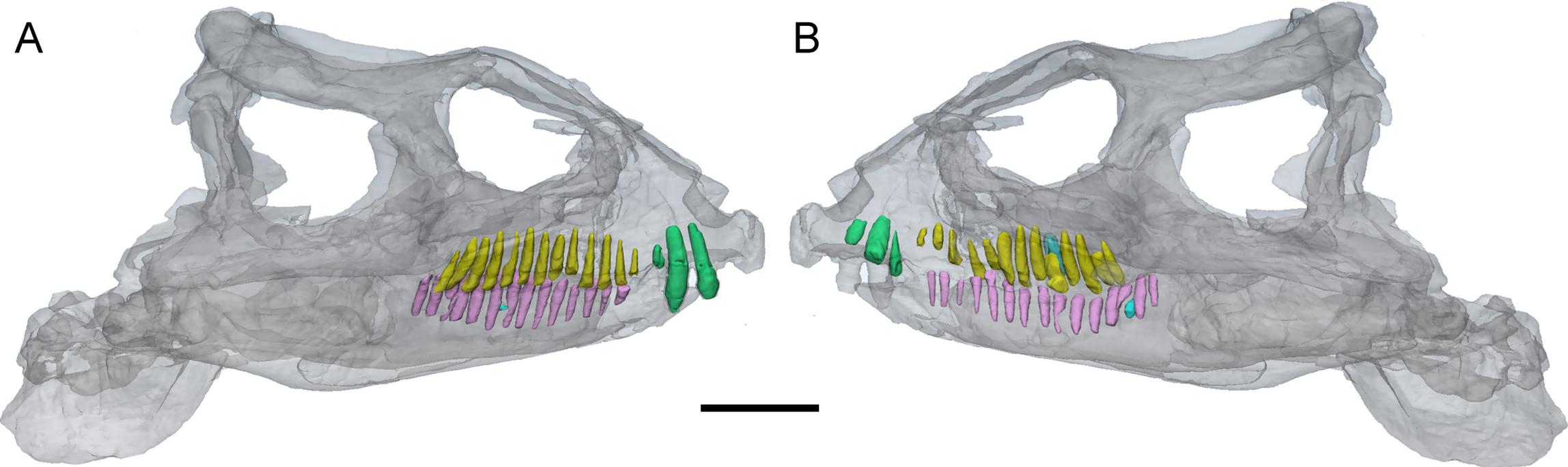


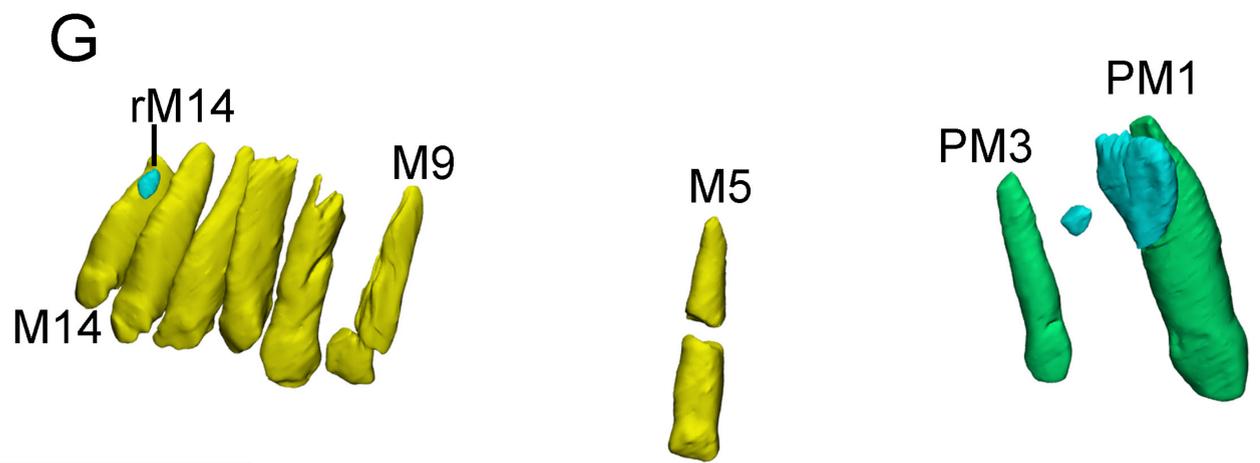
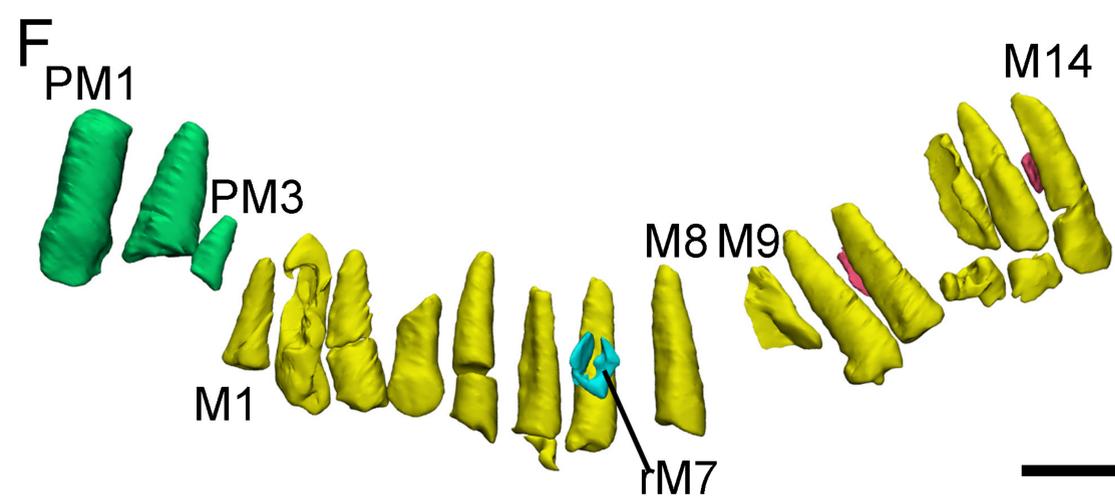
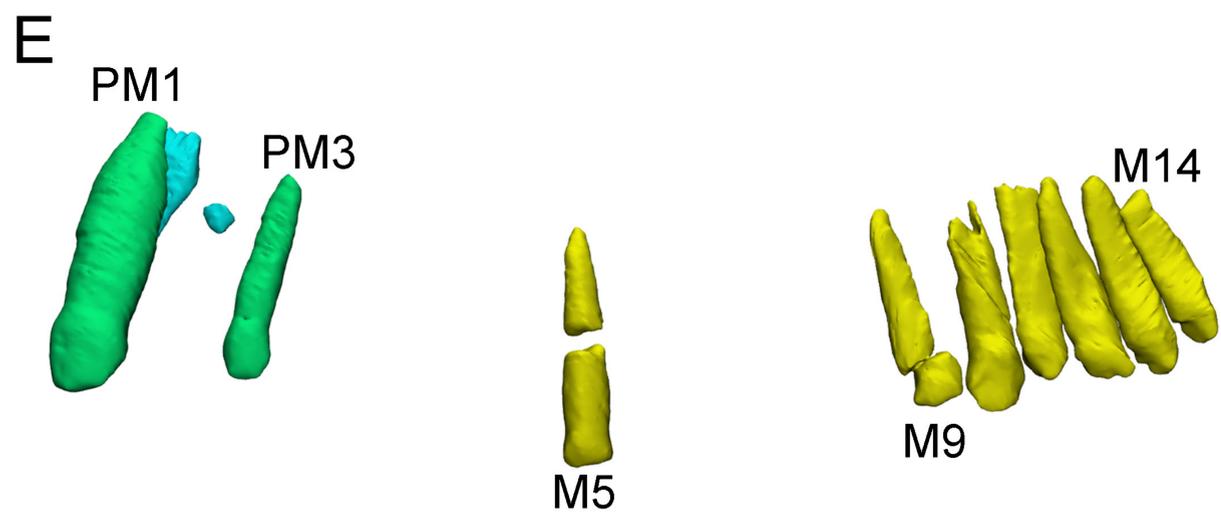
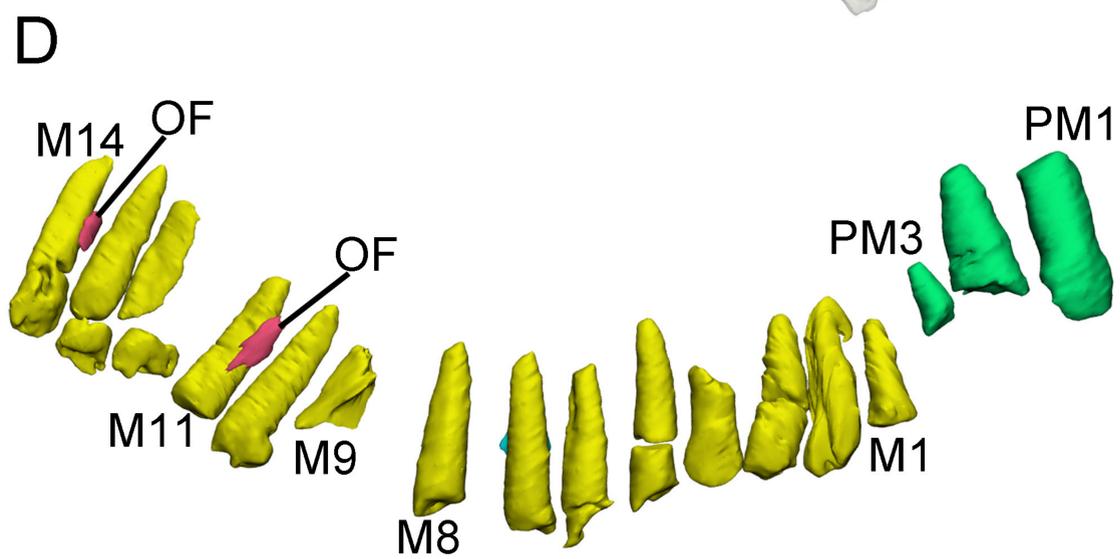
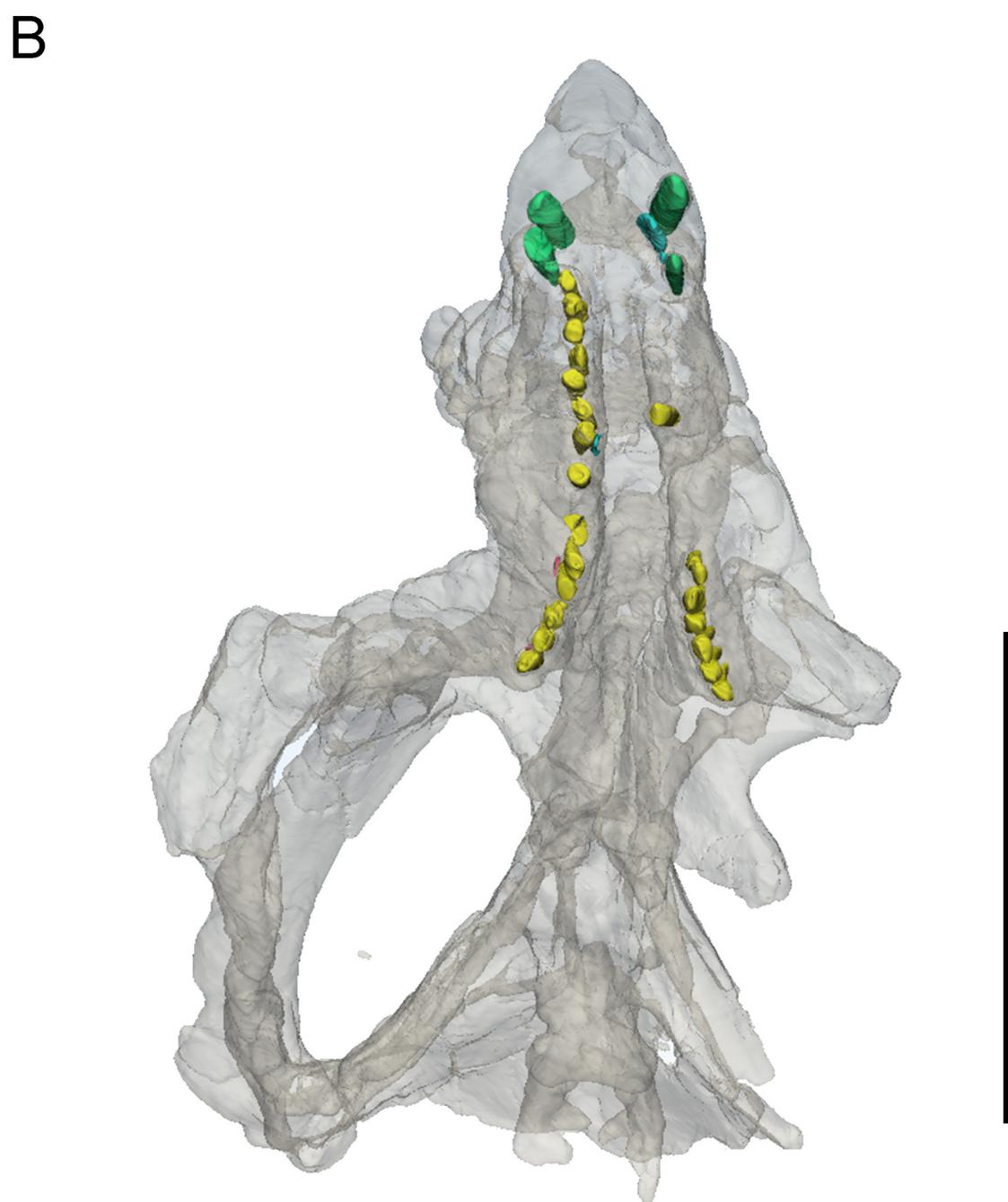
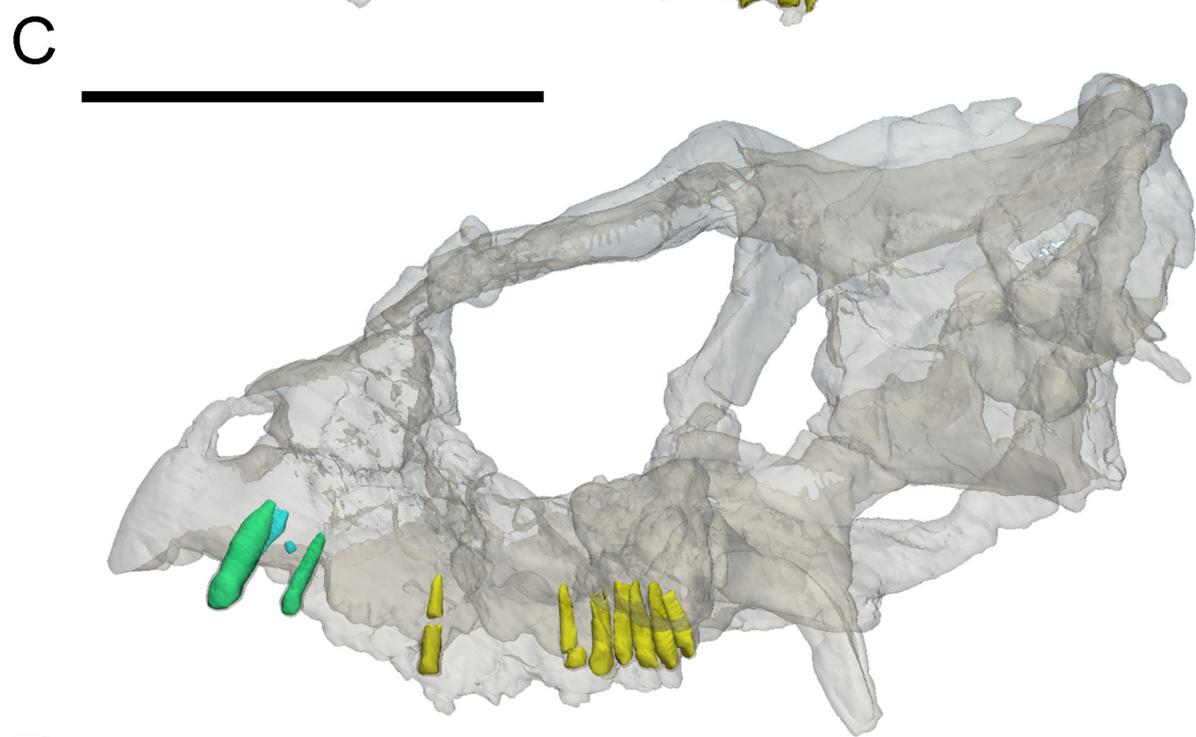
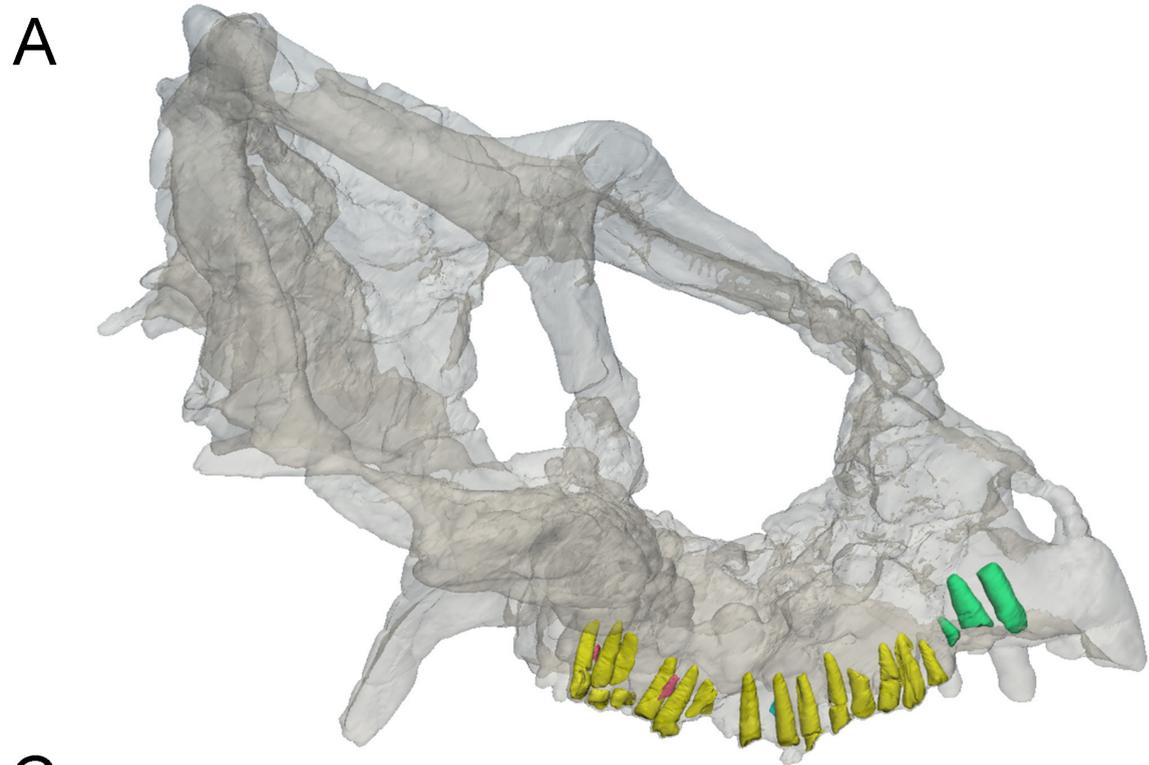
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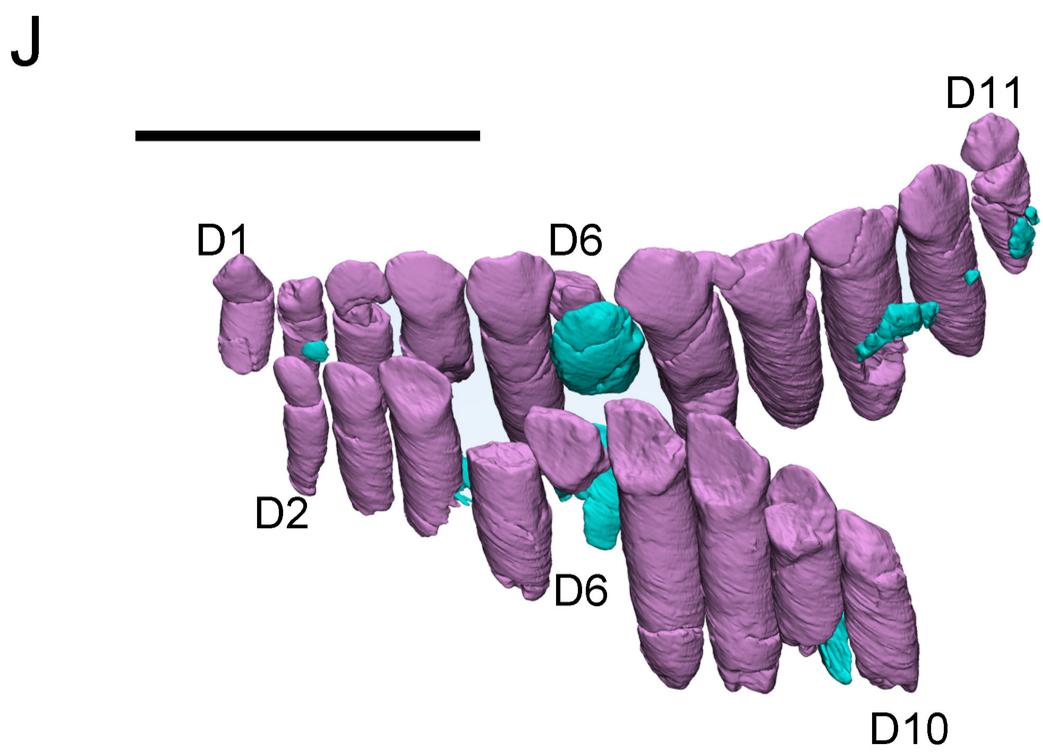
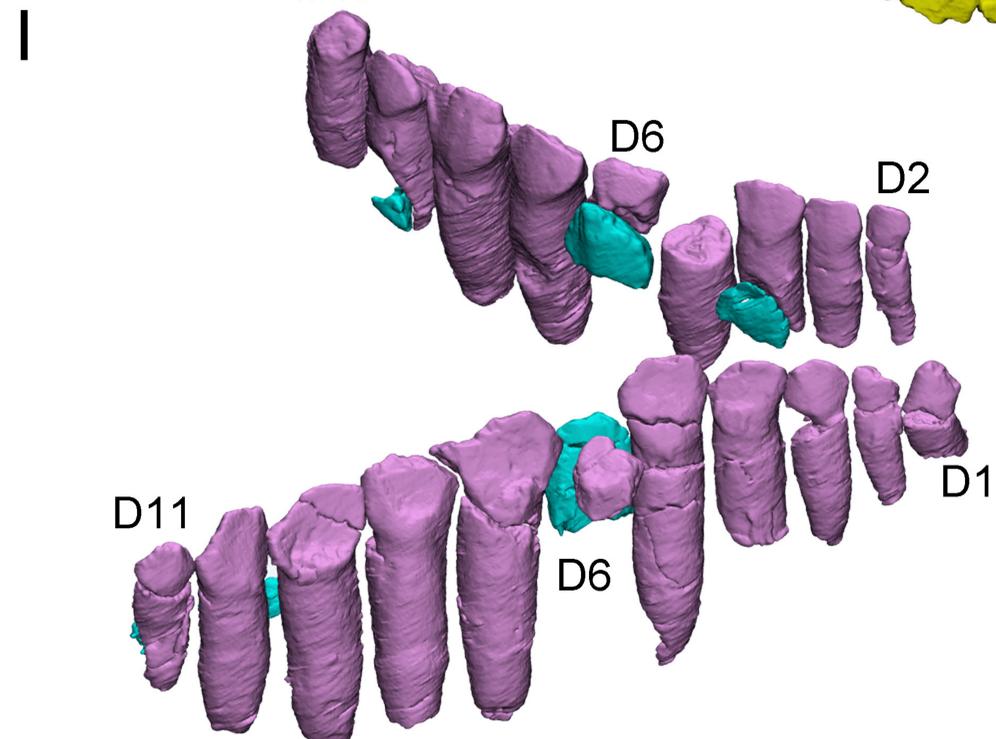
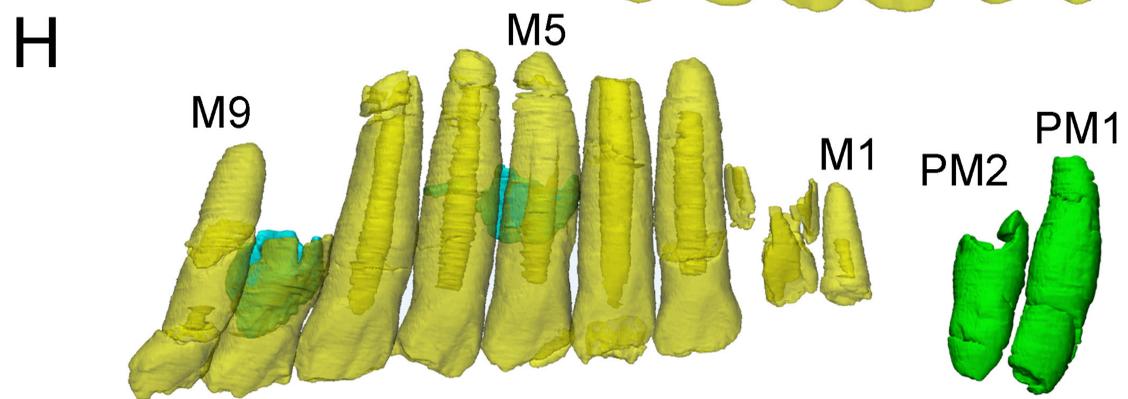
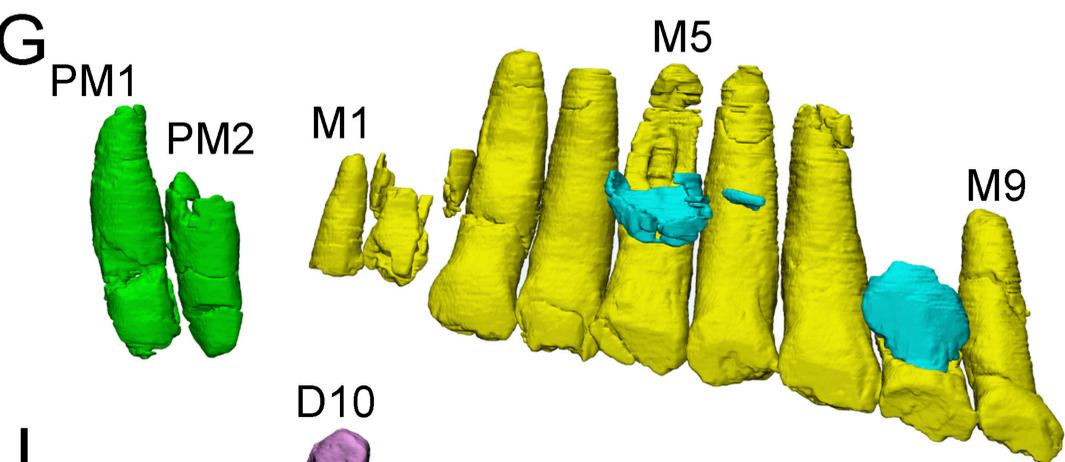
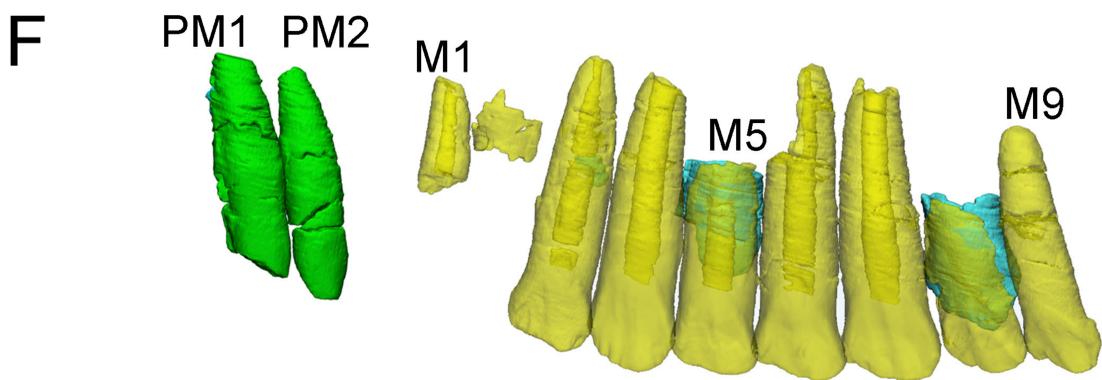
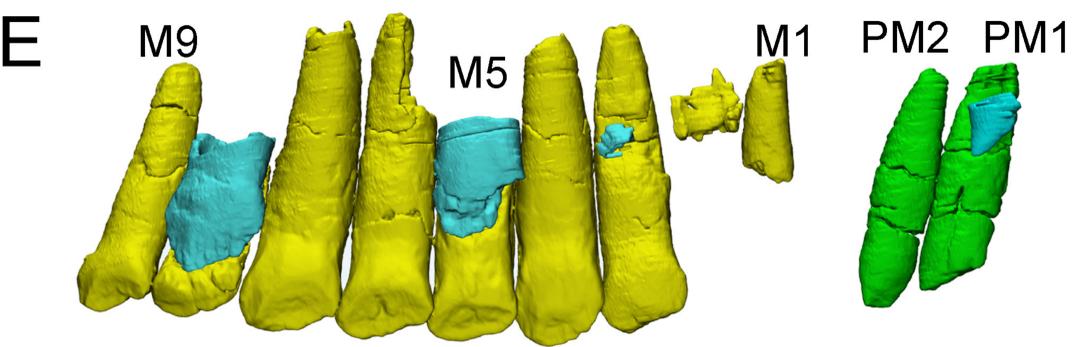
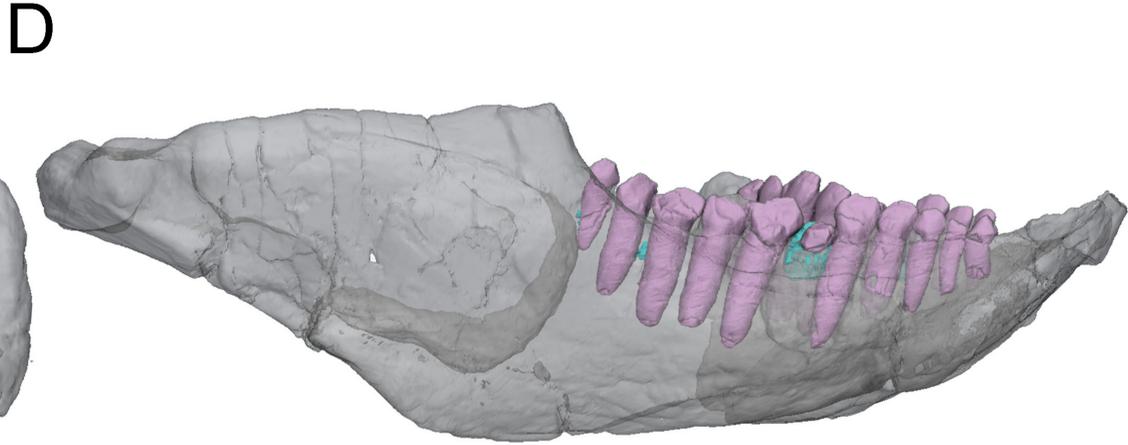
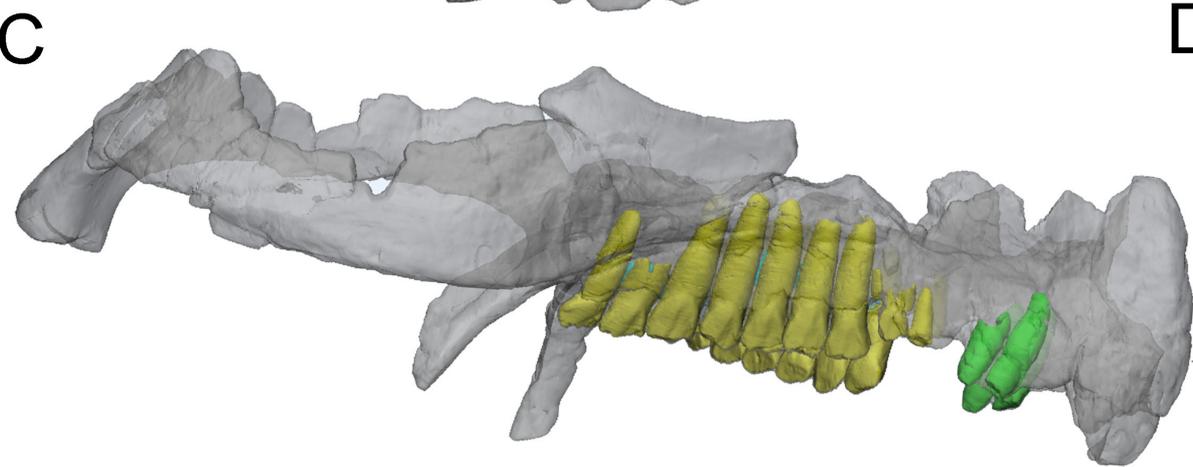
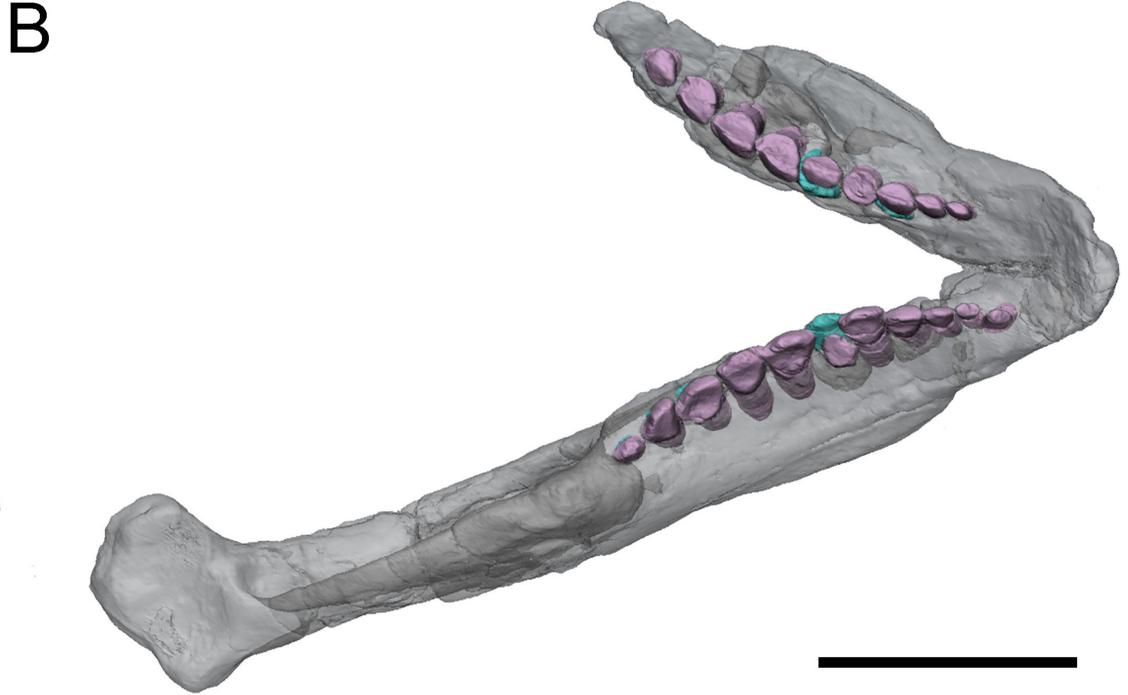
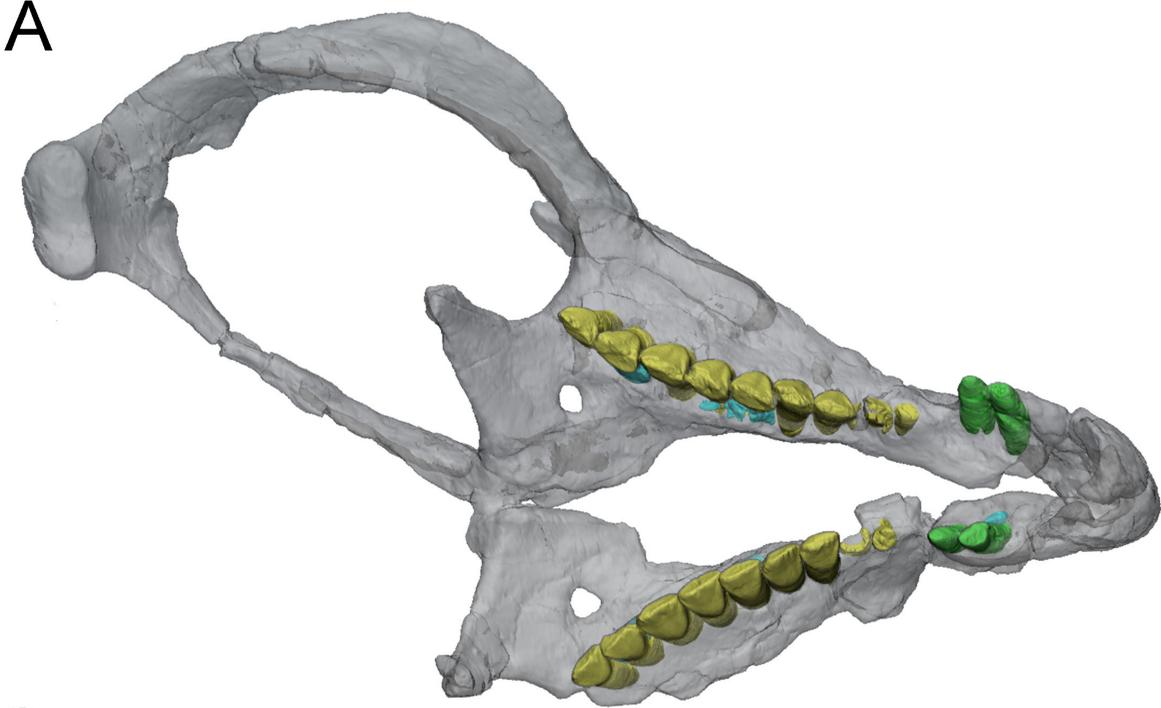


H









A



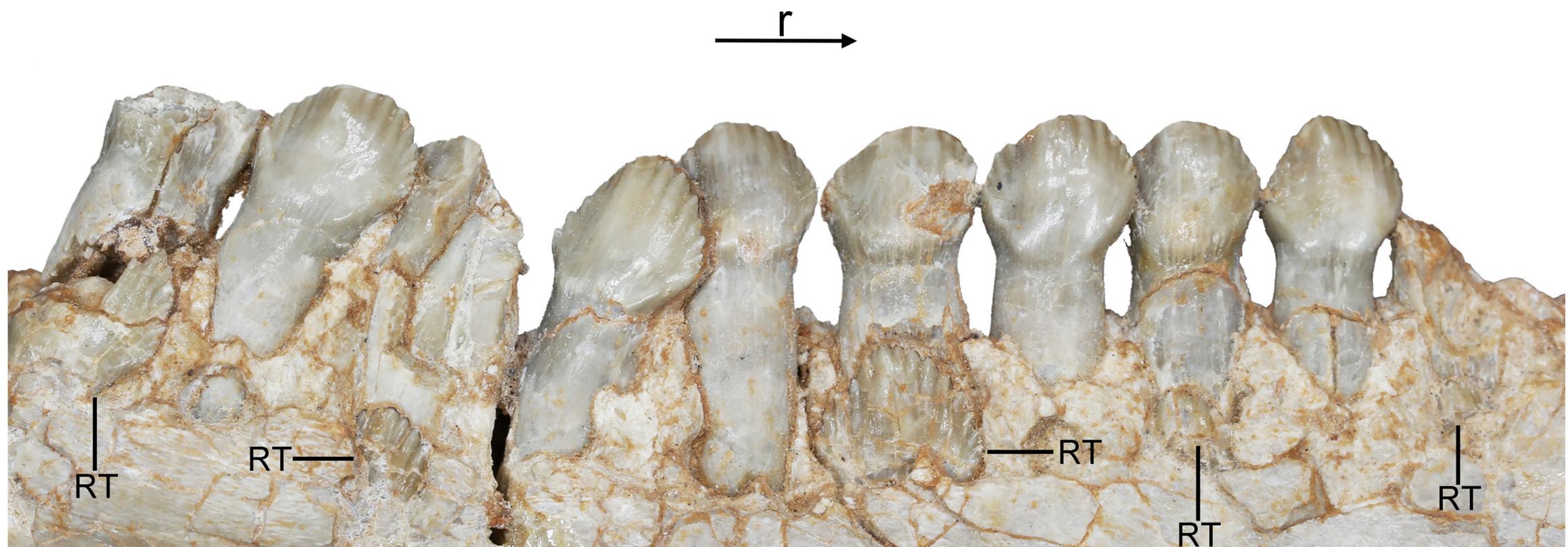
B



C



D



RT

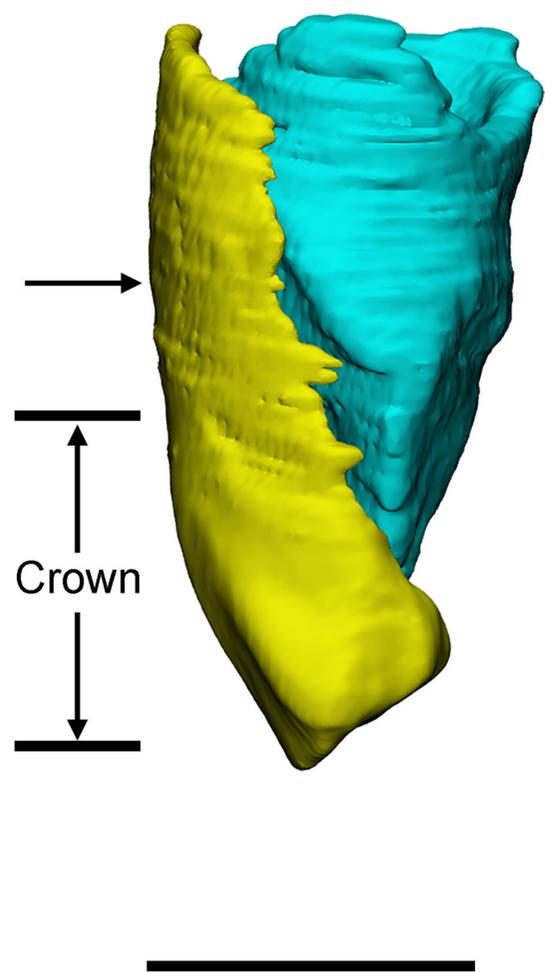
RT

RT

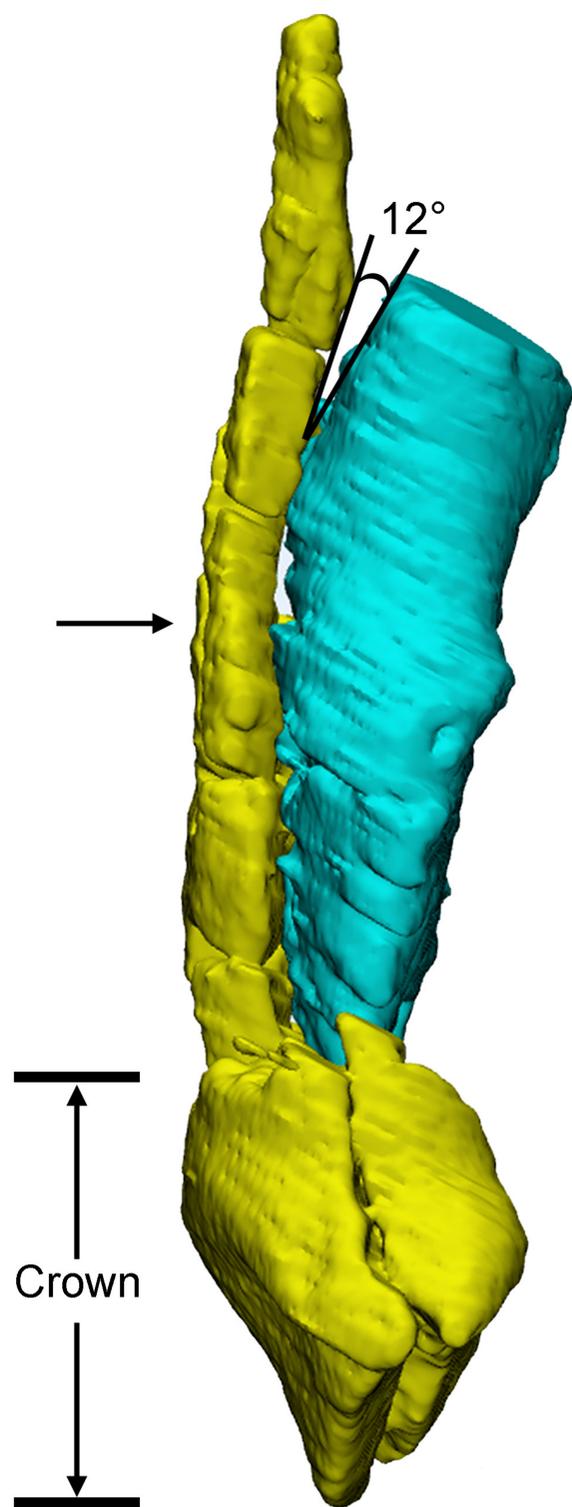
RT

RT

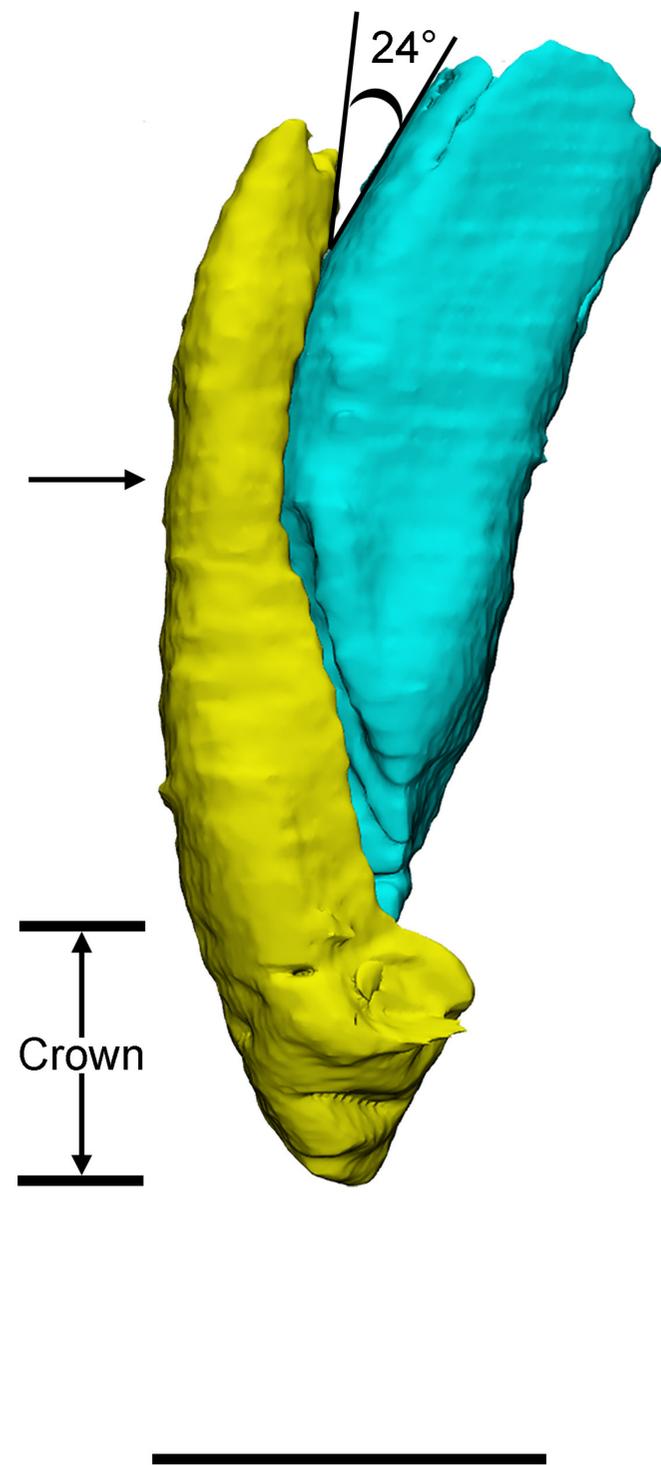
A



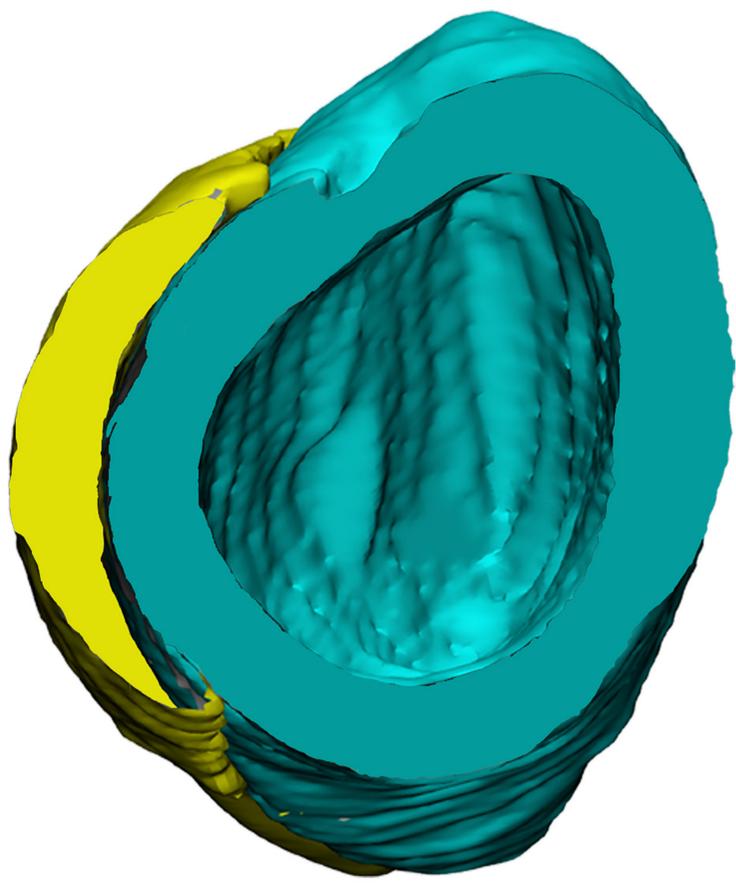
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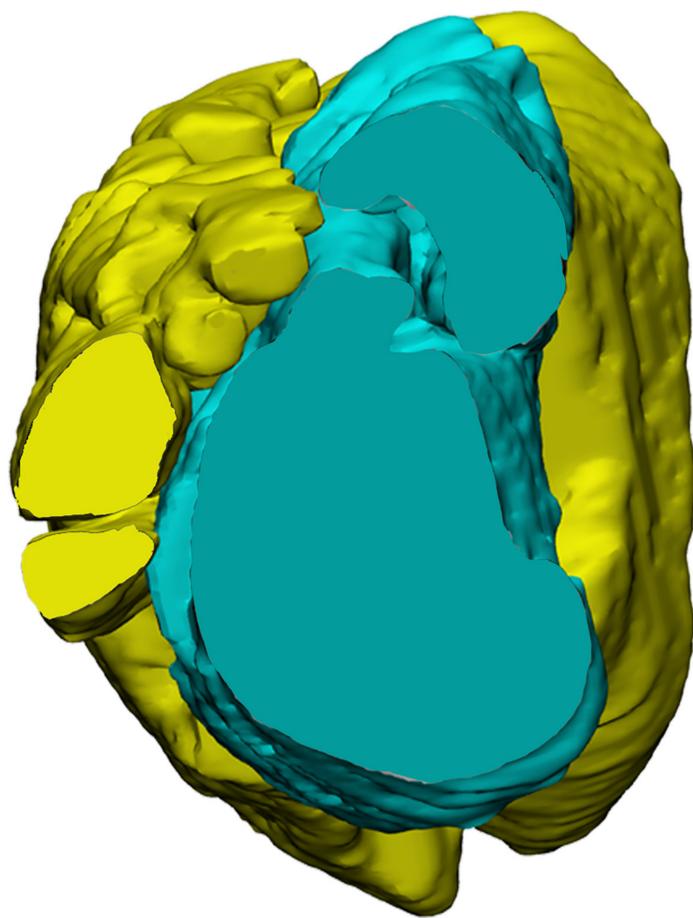
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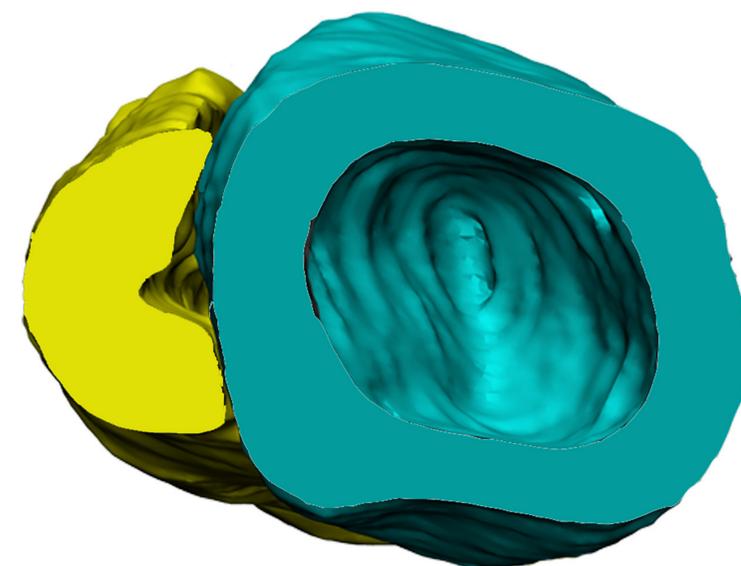
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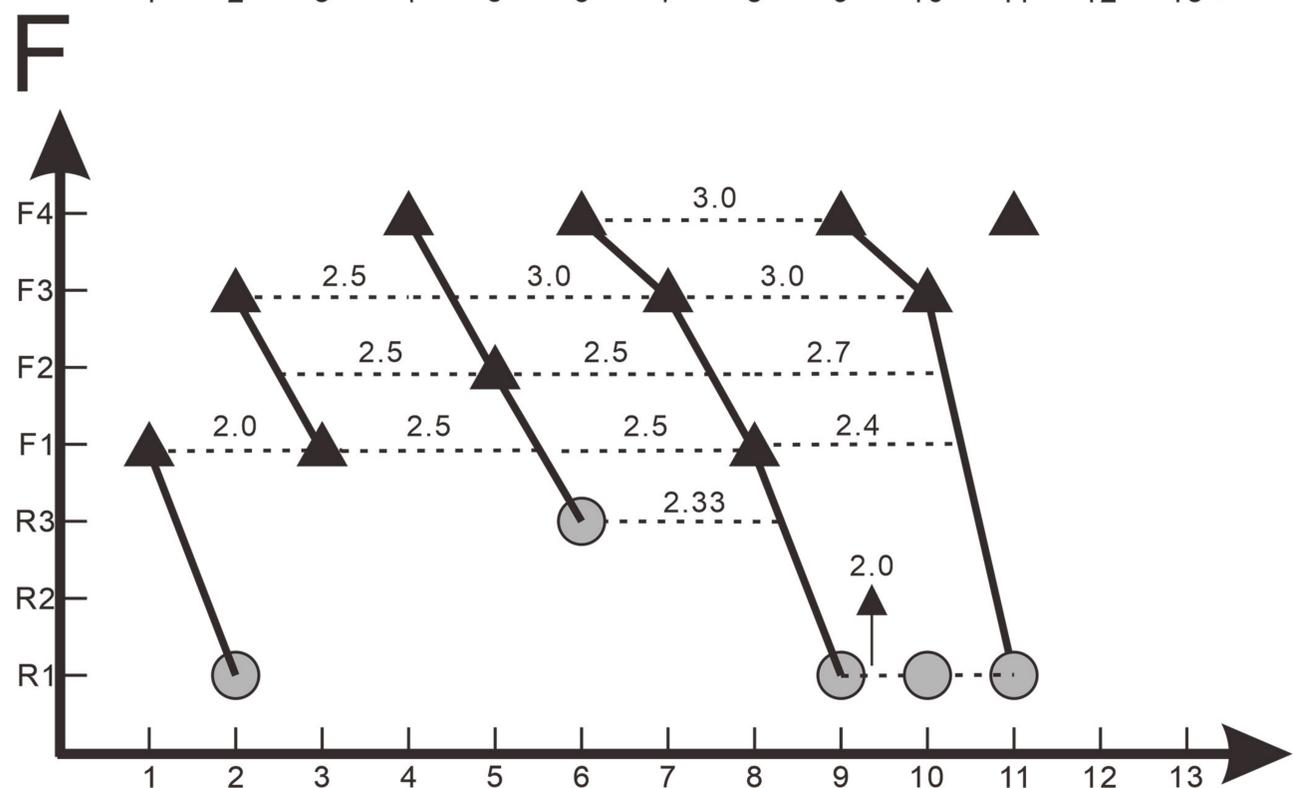
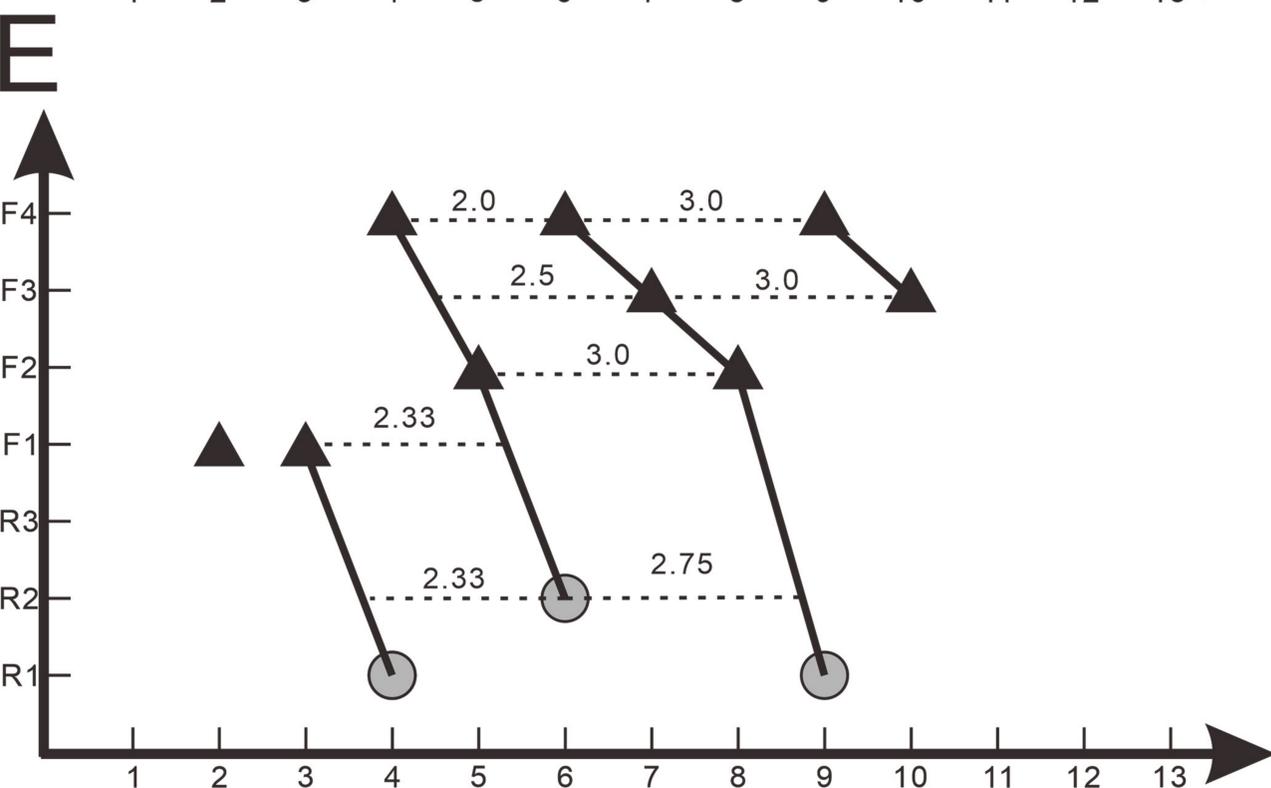
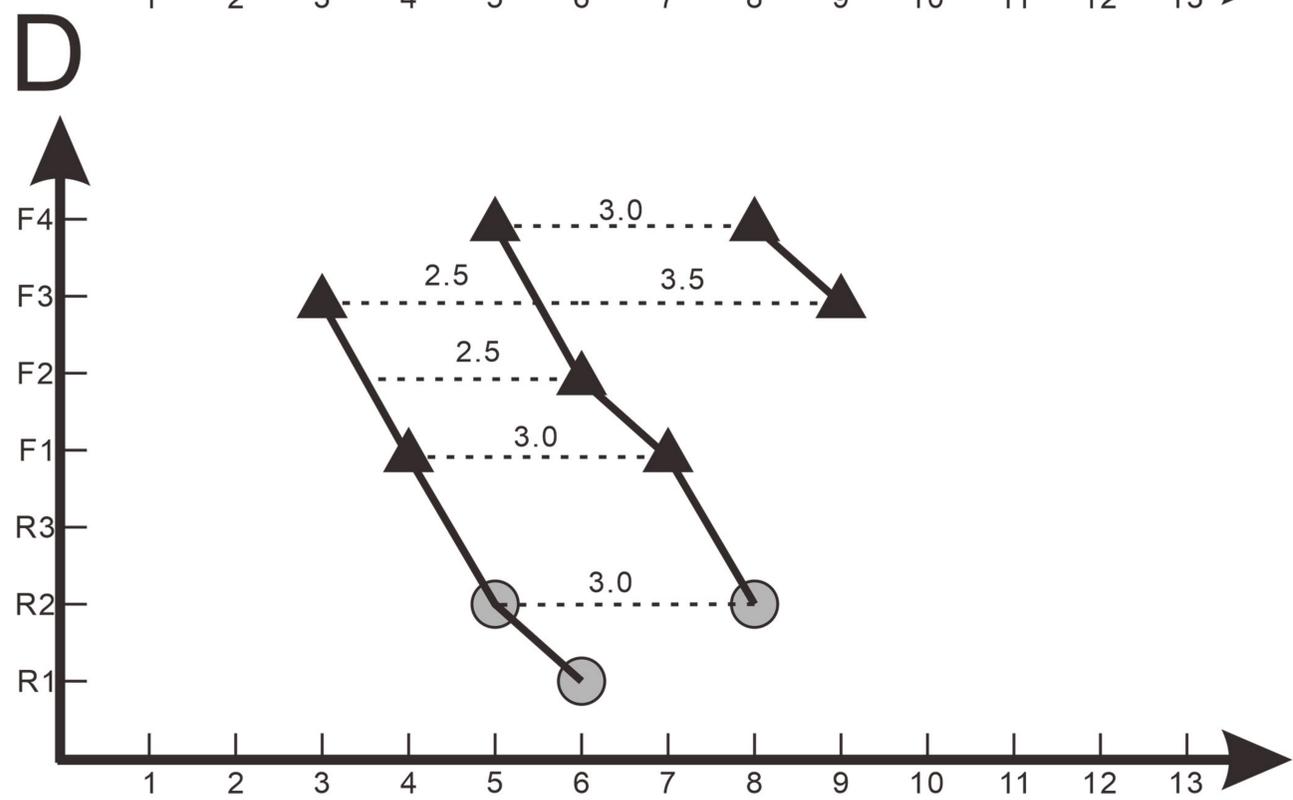
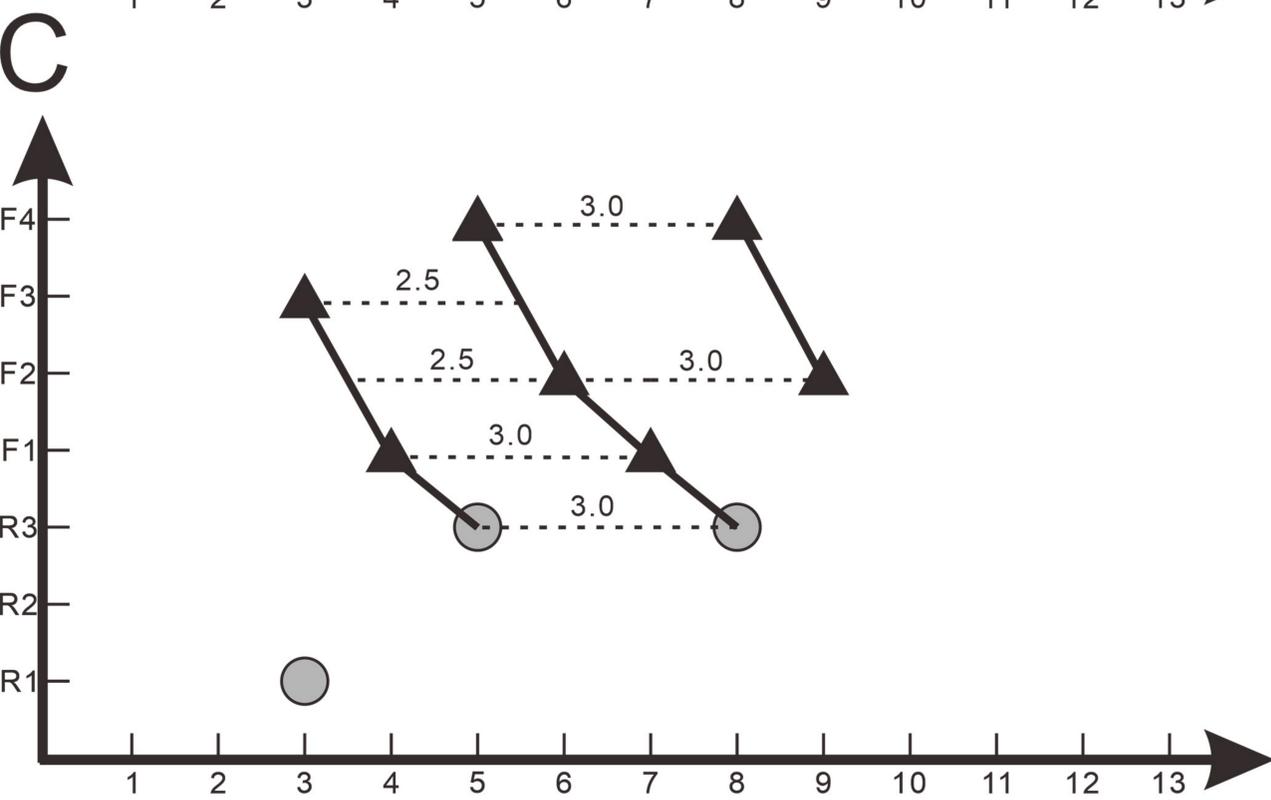
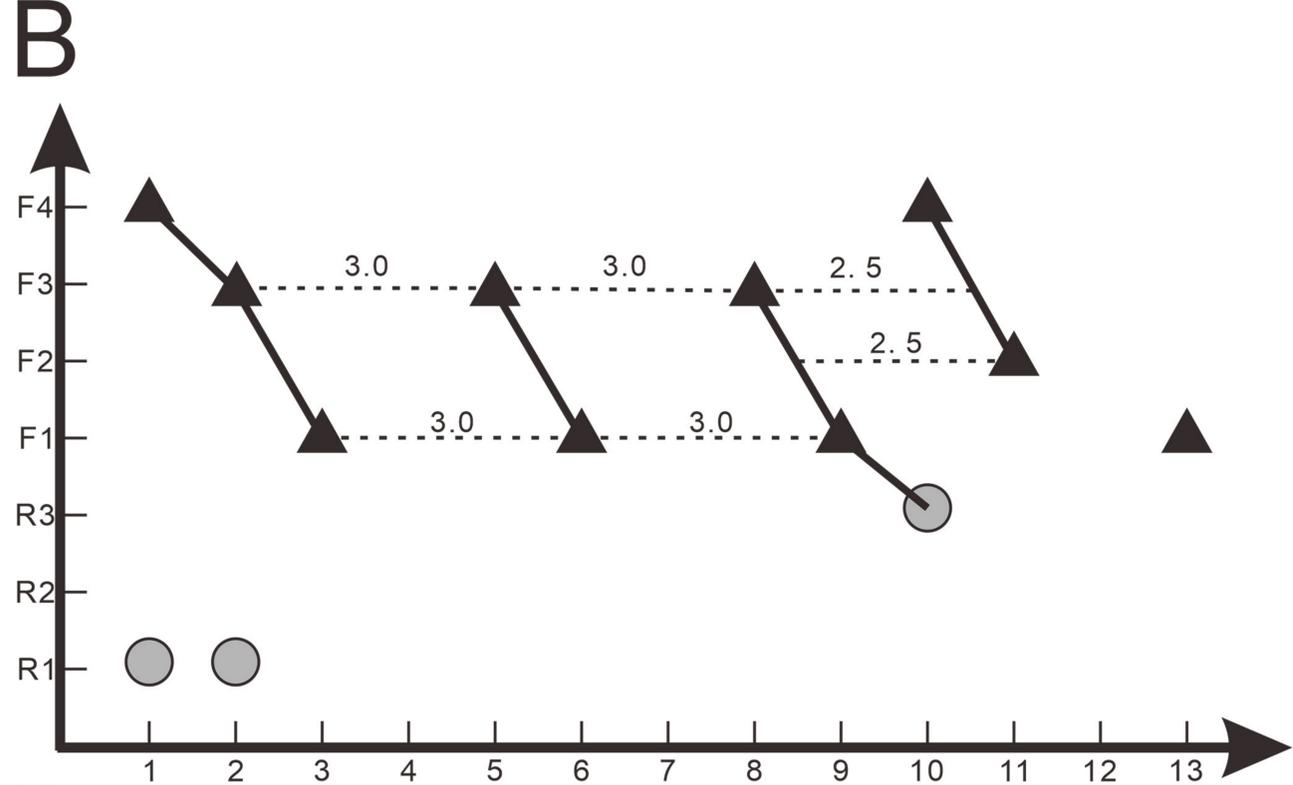
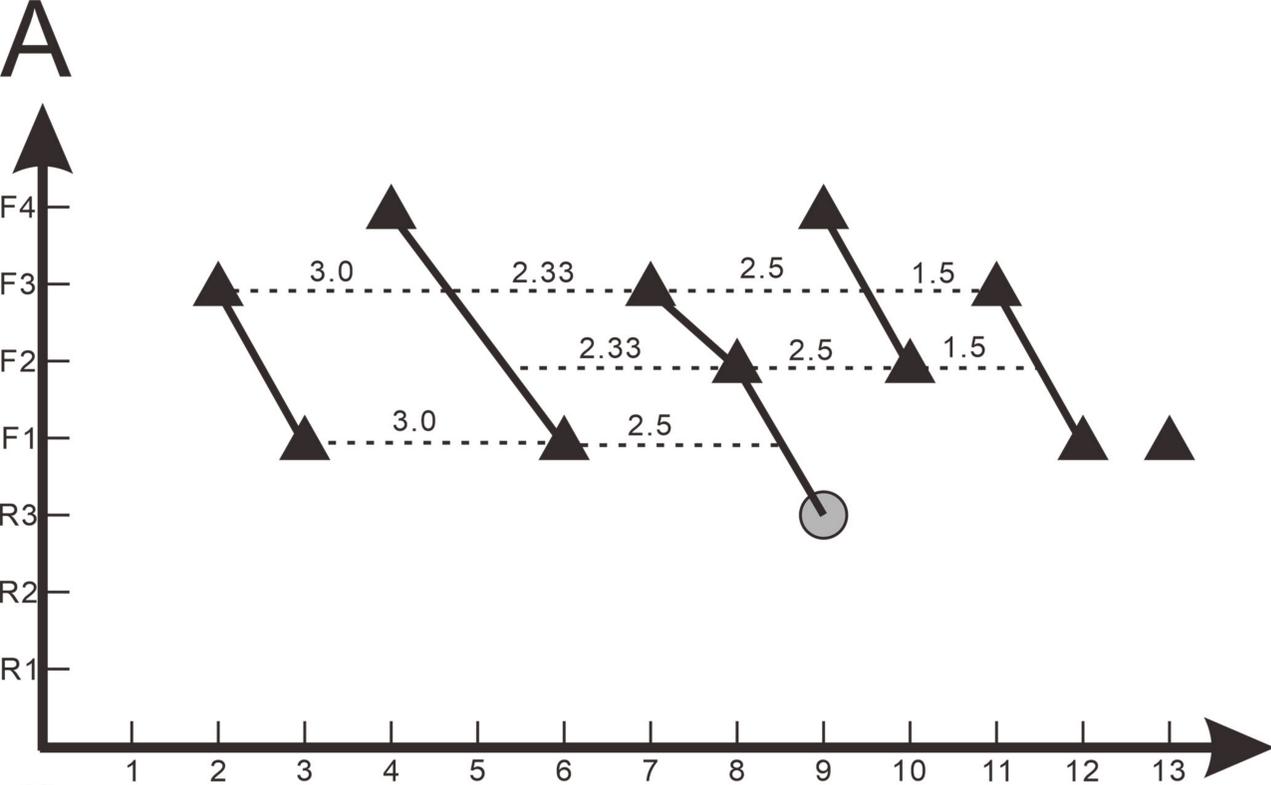


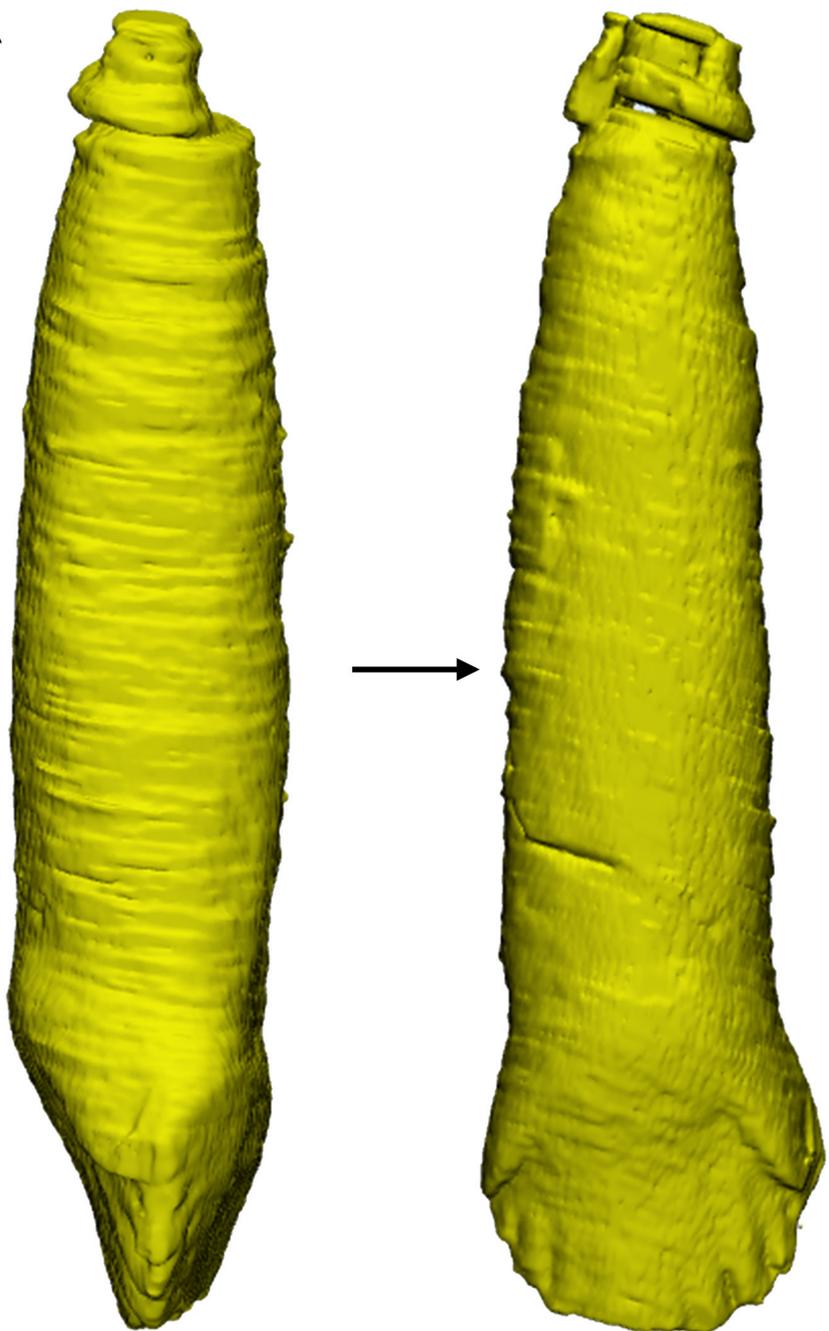
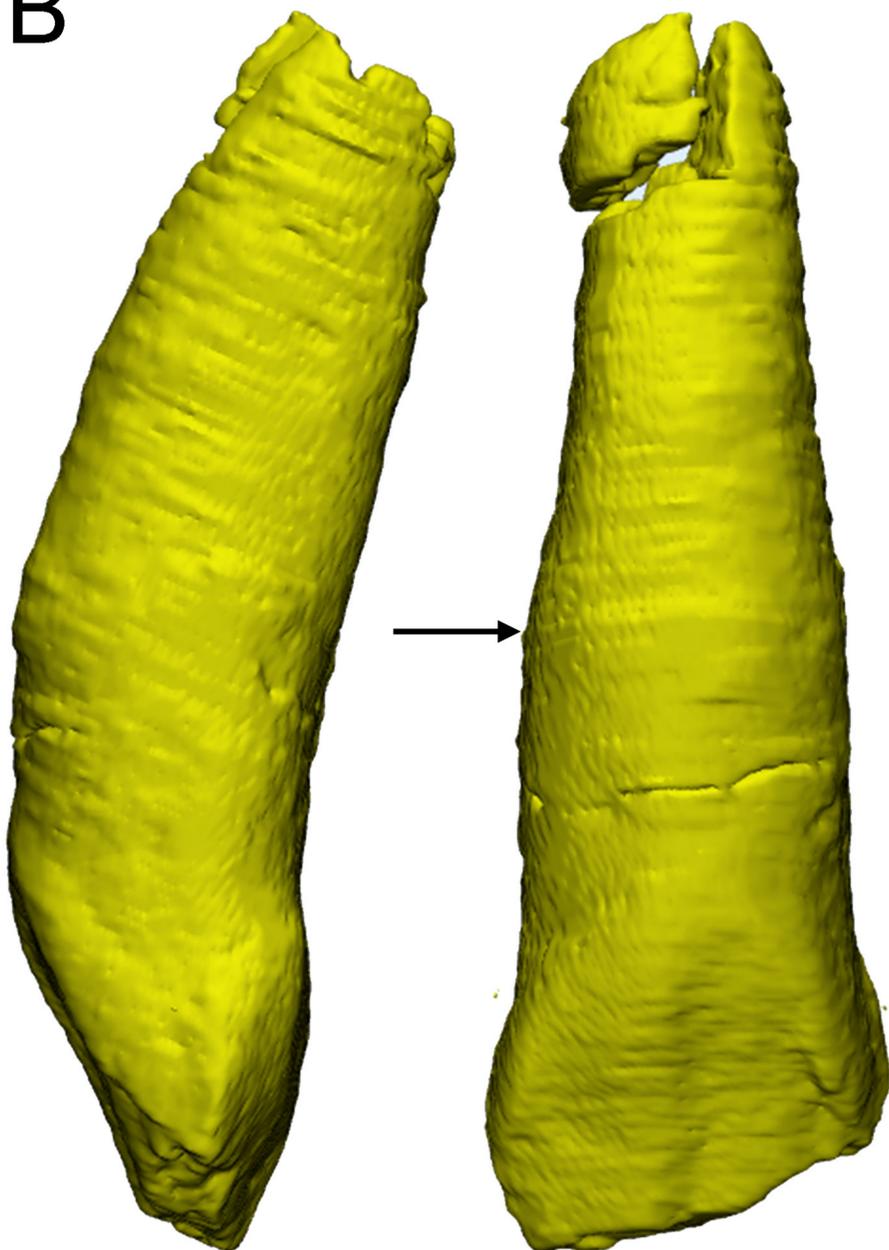
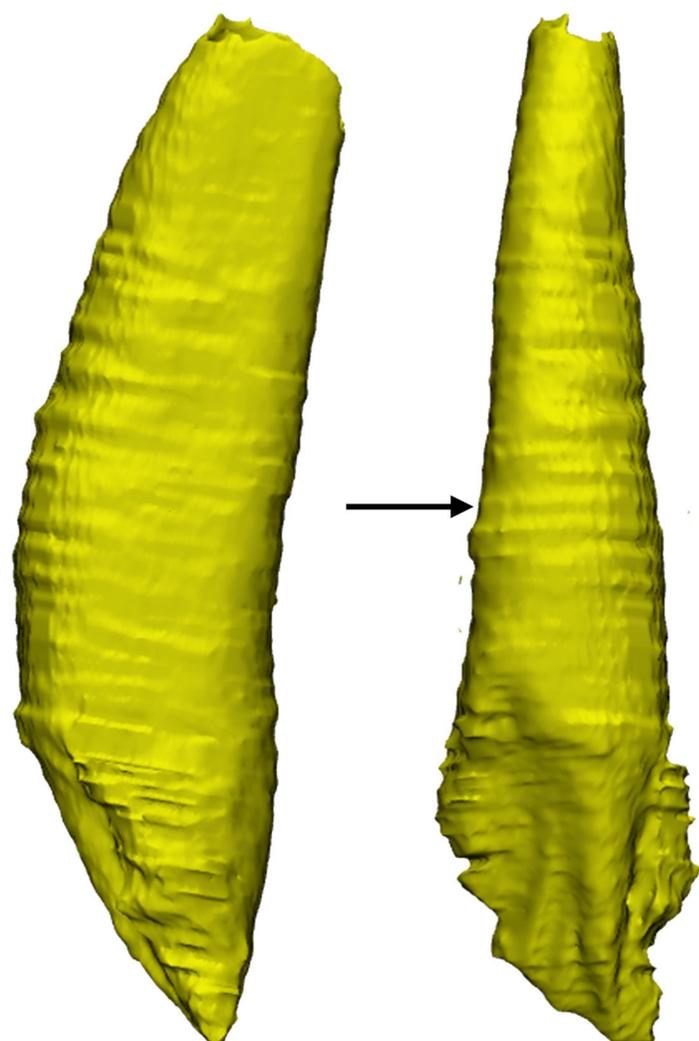
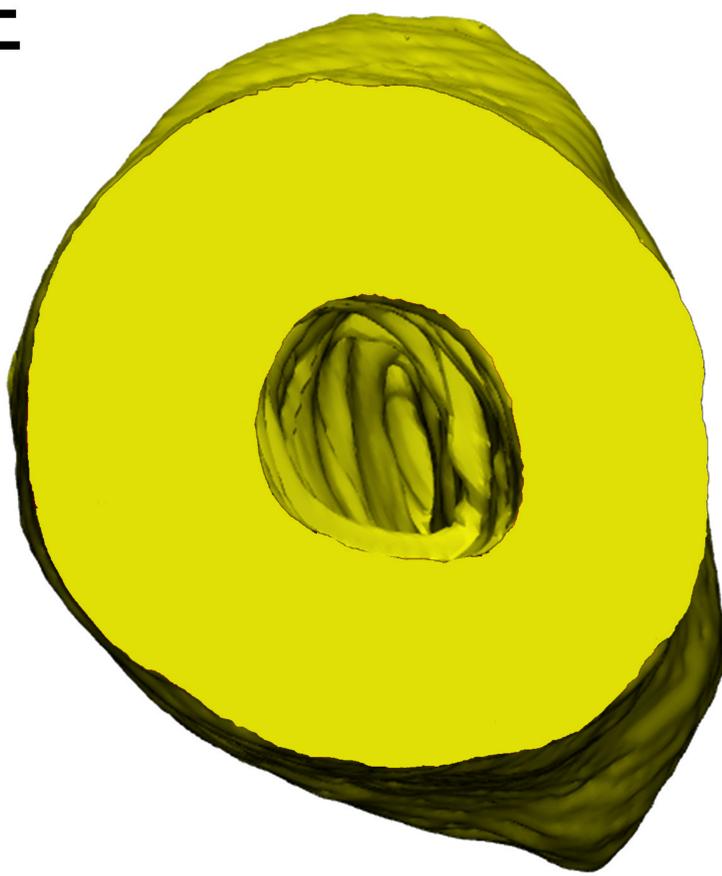
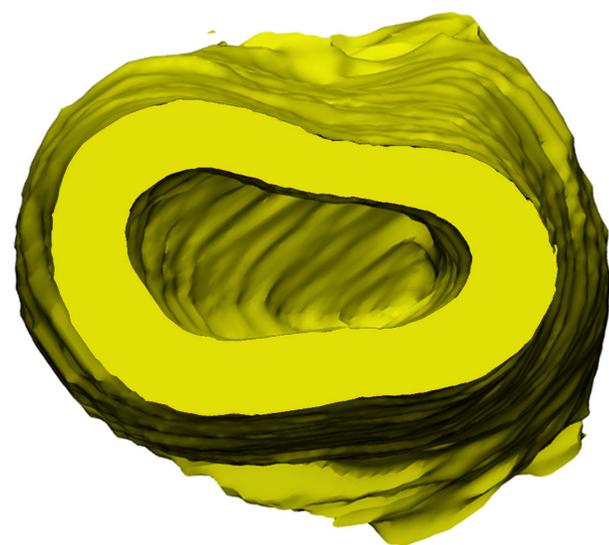
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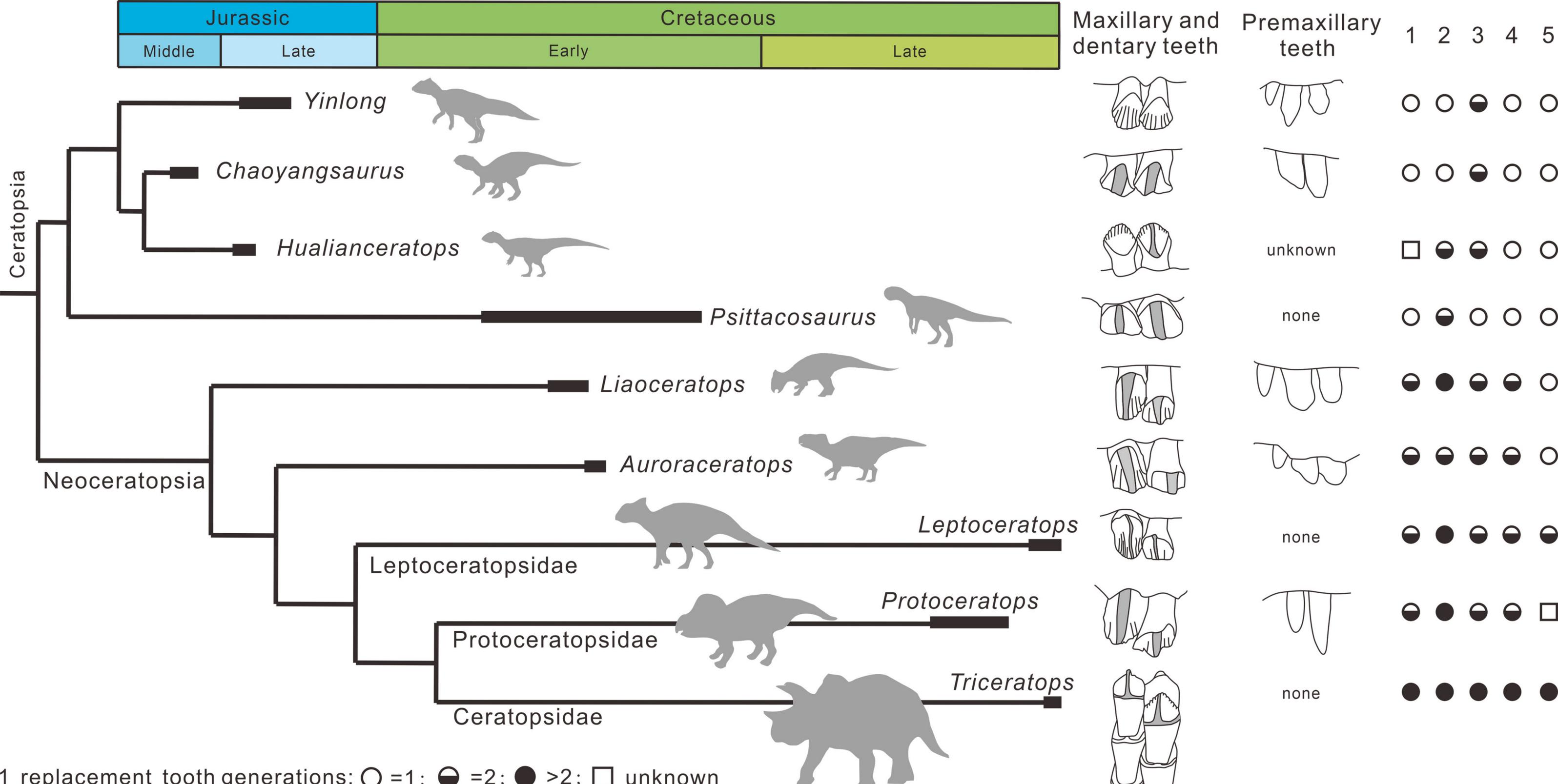


F





A**B****C****D****E****F**



1 replacement tooth generations: ○ =1; ◐ =2; ● >2; □ unknown

2 replacement teeth counts in each jaw quadrant: ○ <5; ◐ 5-10; ● >10

3 tooth counts in maxillary tooth rows: ○ <10; ◐ 10-20; ● >20

4 root shape: ○ conical; ◐ with shallow grooves; ● bifid

5 location the succeeding tooth germinated: ○ lingual side of the roots; ◐ tip of the root; ● inside of the pulp cavities; □ unknown